

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND THIRTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 12 and 13, 2007

The Board of Trustees met at its regular monthly meeting on Thursday, July 12, and Friday, July 13, 2007, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meetings were approved.

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The Chairman, Dr. Cloyd, called the meeting of the Board of Trustees to order on Thursday, July 12, 2007, at 3:35 pm. He requested the Secretary to call the roll.

Present: G. Gil Cloyd, Chairman, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Algenon L. Marbley, Christopher Alvarez-Breckenridge, and Debra J. Van Camp.

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Dr. Cloyd:

At this time, I will entertain a motion to recess into Executive Session to consider a personnel matter regarding employment and appointment.

Upon motion of Mr. Shumate, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Cloyd, Hendricks, McFerson, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Marbley.

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Dr. Cloyd reconvened the meeting at 4:30 pm.

Present: G. Gil Cloyd, Chairman, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Algenon L. Marbley, Christopher Alvarez-Breckenridge, and Debra J. Van Camp.

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ELECTION OF PRESIDENT

Dr. Cloyd:

Before we start, I would like to acknowledge and thank Chancellor Eric D. Fingerhut for joining us here today. It is great to have you here, sir. I would also like to acknowledge that Speaker Jon Husted was here earlier -- and we have some other members of the General Assembly here today -- and I want to thank them for their participation.

Over the years The Ohio State University has been blessed with many great and visionary leaders, men and women who have inspired us to define and articulate the mission of this great University. Men and women who have developed meaningful goals and then recruited the talent, built the consensus, created the climate, and helped us acquire the resources to achieve them. Men and women who have encouraged us to raise our aspirations and then guided us as we have worked to close the gap between those aspirations and our actual performance.

Each of these leaders has contributed to Ohio State's standing as the nation's largest public land-grant university, as the state's best public university, and importantly as a leader in defining how society can have high-quality postsecondary education for all of its citizens while preparing them for success in a knowledge-driven, global economy. Each has left his or her indelible mark on the University, on its outstanding academic programs, on its talented faculty, on its world-class researchers and research facilities, and on the great learning experiences that prepare our students to become tomorrow's leaders of business and industry,

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entrepreneurs, writers and artists, inventors, political decision makers, and contributing citizens.

This reality explains why the Board of Trustees approached the search for a new president as both a challenge and an opportunity. It has been a challenge because our next president will have the responsibility to lead Ohio State in very uncertain times; to accelerate the University's climb up the academic ladder while fulfilling our promise to provide broad access to excellent education; to attract the best and brightest students and faculty to this University; to make the difficult, strategic choices that will allow Ohio State to make better use of its resources; and to capitalize on the new confidence shown by Ohio's leaders in higher education as the engine of economic growth and prosperity for this state

But it also has been a great opportunity for us because Ohio State's 14th president will guide us as we improve Ohioans' access to excellent postsecondary learning experiences, as we prepare them for the global and diverse world in which they are going to have to live and work, as we build our capacity to conduct life-changing basic and applied research, and as we search for ways to do all of this more productively and efficiently.

This blending of challenge and opportunity is reflected in the profile of the next president that guided our search. We set out to find a leader with a clear and inspirational vision for higher education in the 21st century; exemplary integrity, trustworthiness and wisdom; breadth and depth of intellect and a distinguished record of innovation and scholarly achievement; and superb interpersonal, communication and leadership skills.

It has been daunting, yet an inspiring task led by my fellow Board member, Alex Shumate. And today, I am pleased to report that we have completed our search and that the Board is ready to consider the recommendation of the Presidential Search Committee. Mr. Shumate --

Mr. Shumate:

Thank you, Mr. Chairman. The charge to the members of the Presidential Search Committee was to look for a proven leader; someone with experience leading a large, complex institution; and an innovative and effective implementer. We were asked to search for a bold leader, someone with imagination, perception and creativity, skilled at working with all elements of the University, and with external constituencies upon whom we depend and whom we have an obligation to serve. We were directed to identify a leader who could build on our traditions, harness the University's strengths, address its weaknesses, respond to emerging needs, seize new opportunities, and inspire us. Mr. Chairman, I believe that we have met the Board's expectations.

I do want to take a moment to acknowledge the dedicated work of our Search Committee members. They gave freely of their time and their talent, and they always put the interest of this great University first. I want to also thank our search firm, Heidrick & Struggles, and, more specifically, Bonnie Gwin for her informed and wise guidance and counsel. And to my colleagues on this Board, thank you for setting a high bar and for your determined efforts to ensure that our expectations were met by our performance.

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Through our search, we believe that we have found the leader we are looking for. So this afternoon, we are recommending that the Board of Trustees formally extend an offer to Gordon Gee, currently the chancellor at Vanderbilt University, to serve as the 14th president of The Ohio State University.

Many of us know Gordon Gee from his 7 years as president here at Ohio State. Under his previous leadership the University expanded and strengthened its academic offerings, launched new research initiatives, and began the development of its Science and Technology Campus. We also expanded efforts to rebuild our aging infrastructure and conducted an unprecedented capital campaign that raised \$1.23 billion, driven by an understanding that there can be no great creation without a dream.

Gordon Gee led Ohio State with a clear understanding that this University, as Ohio's intellectual and economic engine, must stay true to its mission to educate a broad cross-section of Ohio's citizens, even as it expands its research capacity. In everything he did, Gordon Gee also reflected the Buckeye Spirit. That sense of pride felt by more than 400,000 graduates living and working around the world, that connection that all of us feel when University researchers announce another scientific discovery, when a new company commercializes a technology developed at Ohio State, or when 104,000 fans celebrate another victory at Ohio Stadium.

During the past 10 months, we have conducted a thorough, open-minded national search. We have consulted with scores of education leaders from across the country some of whom could have been candidates for this position. Throughout our search, we were guided by several criteria. We looked for someone with comprehensive experience at a public university, an educator with excellent academic credentials. We looked primarily for a sitting president, a strategic, visionary leader, a tough minded decision maker, a skilled collaborator with external constituencies, and someone who has worked with an academic medical center.

On all of these criteria, Gordon Gee met and he exceeded our expectations. All of us know, just as Chancellor Gee knows, that Ohio State today is not the same university that he led in the 1990s. During the past decade, Ohio State has experienced significant academic progress and its reputation has been enhanced. Ohio State's stature as a center for academic research has been strengthened and the University's annual budget, which was \$1.7 billion a decade ago, is \$3.7 billion today.

But let me also be clear, Gordon Gee is not the same president that led this institution a decade ago. As we talked with Gordon -- and as the Board of Trustees explored its options -- we discovered that Chancellor Gee is a more seasoned and mature leader, and an incredibly successful university president. He is committed to the power of public higher education and he continues to prove that he is the consummate fundraiser. He is a team leader who knows the importance of empowering people to achieve and who believes in shared governance and shared responsibilities. He is a passionate advocate for universities that are genuine, student-centered learning communities. And he is an unequalled champion, on and off the campus, for higher education and for the opportunities it creates for the students and communities we serve.

Mr. Chairman, we believe that Gordon Gee is one of the most experienced and highly skilled university presidents in the nation. He is the best person for our job and for the challenges and opportunities that lie ahead for Ohio's flagship public university.

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Therefore, on behalf of my colleagues on the selection committee who have worked with great dedication to get us to this point and who have earned our sincerest appreciation for the work they have done, I move that the Board of Trustees extend an offer to E. Gordon Gee to become the 14th president of The Ohio State University.

Dr. Cloyd:

Thank you. Do we have a second?

Ms. Hendricks:

Chairman Cloyd, I would like to second the nomination. I was on the Search Committee, along with Alex, and we considered a broad range of people for this job. When we came down to looking at the candidates and the skill sets that they had, I think that we went back and said, "This is the man for Ohio State and for now."

We know Gordon brings the strengths that we all experienced some years ago, but as Alex said he has grown and brings now private institution experience as well as a lot of public experience to Ohio State. I think we feel that we will grow as a university also.

Dr. Cloyd:

Thank you, Karen. Any other discussion?

Mr. Borrer:

Thank you, Chairman Cloyd. As a member of the Search Committee, I was on the subcommittee that wrote the "Presidential Profile." Upon reviewing that document and going through the criteria and all the different candidates that we considered, there is no question that Chancellor Gee fits that bill excellently.

One of the issues that we did raise was: "Can you come home again?" "How do you come back to a place that you have been previously?" "How will that be perceived by the public?" As we talked with Gordon -- and throughout this process -- we realized that his enthusiasm, leadership, vision, and goals for this University far outweighed any baggage that he may have had with him. It became very apparent to me, as a member of this Committee, that he was the person for the job. I very strongly support his candidacy.

Mrs. Davidson:

First, I would like to thank our good Search Committee chairman, Alex, for his patience through this process and his great leadership. It was really a unique experience, because the Search Committee itself was balanced and represented by all the aspects of this University. In our initial conversations as we came together -- because we all come at it from different perspective -- it was clear that everybody felt that we are in a unique position right now in the history of the University, in which we need people with unique qualifications.

I had an opportunity to work with Gordon in a different setting when I was a member of the legislature. We are very fortunate right now from both the administration and the legislature to have the strongest support we've had in

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a long time for higher education, but it also brings with it expectations for what we do here. As we all know, we are poised to move up the ladder not only as a flagship university in Ohio, but as one of the national leaders in public education.

I think that Dr. Gee brings together those qualities that this University needs at this particular time in its history to move us up that ladder and to address those legitimate expectations, quite frankly, that are very important for the economy of the state of Ohio, too.

I am fully supportive of the motion.

Mr. Hicks:

I did not have the luxury of serving on the Search Committee, but first of all let me commend everybody that did serve. I felt as a trustee, who is not on the Search Committee, that I was fully informed throughout the entire process and I think we had a lot of deliberations about the scope and the breadth of the search that was done. Again, I want to commend Alex and commend the Committee members. People took an awful lot of their time and their effort to serve on the Search Committee. We certainly commend them for their thoroughness, patience, and persistence that has led us here.

Everybody around the table, obviously working on the Search Committee, really loves this University otherwise you wouldn't have devoted this amount time. The University has changed all of us. For some of us, it has opened the door to a pathway of opportunity that we never thought possible. As we look at where we are at today, as Ohio State, we are at a critical defining point in the life of this University. I think it is not just about the past, although certainly we are going to build on the traditions of the past, but it is about the future. The Search Committee, as they went about their work and created the profile that Doug spoke so eloquently to, really did a fabulous job in finding an individual who is uniquely qualified in all of the country who fits the test of what we laid out that we wanted.

I couldn't be more excited for this to happen. I think it is going to be a great thing for the University, it is a great thing for the state of Ohio, and I would echo Speaker Davidson's comments. I look forward to serving with Dr. Gee in the future.

Dr. Cloyd:

Thank you, Mr. Hicks. You know you are making a very important point. I think the Search Committee and the breadth of the various stakeholders of Ohio that they engaged in the process of establishing the profile that Mr. Borror outlined, led to a sense of clarity of what we wanted that we would not have achieved absent that kind of input. That is an excellent point.

Amb. Ong:

Alex, in your remarks you pointed out that Ohio State University is not the same in 2007 as it was when Dr. Gee left here in 1997 and that he is not the same person who left here in 1997. The University has grown and developed and so has he. Can I assume that the Search Committee -- which, like Brian, I was not a member of -- gave him a good overview of where we are and a lot of the very exciting things that, as we all know, lie in the immediate future for this University? Is he aware of that and of the implications of the Academic Plan and so on?

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Mr. Shumate:

Thank you, Amb. Ong. He absolutely is aware of this great University. As he will speak to later I am sure. He has stayed in touch with the University and is well aware of the fact that, for example, our research dollars have substantially increased and the programs that we have for our undergraduate research programs are distinguishing this University. Also, Dr. Gee is very much aware of the quality of our student body and the increases in the performance of our students, not just when they enter, but also when they leave Ohio State.

He is aware of the challenges that we have particularly in the area of making sure that as we strive for the kind of excellence that we, as a Board, believe is important for this University, that we also have that balance of access and affordability. That is a unique balance that we are going to have to make sure we pay special attention to. I spent a number of hours talking with Dr. Gee about these issues. There is a need for that kind of leadership and not just in the state of Ohio. As we talked with various leaders throughout the country, there is a need for a national public university like Ohio State with its research focus, its teaching focus, as well as its community focus, to become the national spokesperson for public higher education to make sure that this balance between equity, access, and affordability is maintained and strengthened as we go forward. Dr. Gee is very much aware of these issues and other issues. I believe that as we look afresh at our Academic Plan – and we believe it is time to take a fresh look at that – that he has the kind of experience to help us in a strategic planning process that engages all of the interested parties and stakeholders of the University in saying, “What do we need to do now to move to the next level of excellence.”

Mr. McFerson:

Like Brian I was not on the Search Committee this time, but I was last time and I know how much work is involved. Alex, super, super job to you and your colleagues, and Dr. Cloyd, for all of the time you had to spend on this, too.

I knew Gordon quite well when he was here last time. I was working downtown and we interacted a lot. The man knows how to get things done. As I have been sitting here thinking about this, he has spent more than half of his professional life as a sitting president of several universities – public and private. To think that someone of his stature -- probably acknowledged as if not the best in the country, right near the top as university presidents -- would want to come to The Ohio State University, is a real feather in our cap. I think we should be pretty darn excited about that -- that he would want to come back to Ohio State. He doesn't have to do this. He is probably very happy and comfortable down in Tennessee, but coming back here means that he sees the great potential that has been put in place the last ten years or so under the leadership of Dr. Kirwan and Dr. Holbrook, and the great progress in the Medical Center. This is a totally different University than it was and he is a totally different person, and now he wants to take it to the next level that you so beautifully articulated, Alex. I think that is fantastic.

I look at Dr. Alutto and Dr. Moser, he hired you guys, and he hired some others. Now you get a chance to work for him again. It may be a little different, but I can't tell you how excited I am for this opportunity and what a real blessing it is going to be for The Ohio State University. I am excited to cast my vote “yes.”

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Mr. Schottenstein:

I share that excitement, Chairman Cloyd. In fact, I am so excited I will probably stumble over my words. I think this is one of the most important and exciting, great days in the history of this great University.

First I would like to thank you, Chairman Cloyd, for your work in this process. Your remarks earlier, I think, really captured the essence of what this is about. We all take our work very seriously and there is no greater responsibility that we as trustees have than what we are about to do and that is to select the individual who is going to lead this University.

Alex, you did an exemplary job in leading the search process, assisted capably by Bonnie Gwin, from Heidrick & Struggles, who did an outstanding job for us. Participating in that process was an extraordinary experience for me, and it was literally like a tutorial on higher education. It was a process and it is important for everyone to understand that. We met with an extraordinary number of very accomplished and talented people. One of our trustees, who couldn't be with us here today, also served on the Search Committee and he deserves special recognition for his efforts in this process and that is Les Wexner.

We are very fortunate. Dimon, you made the point that I was having trouble verbalizing and that is we have a great love for Ohio State University in our hearts. I think what is so special about today is not only will we be hiring an extraordinary leader with extraordinary skills, great acumen, and a great communicator, but who has a place in his heart with a love for Ohio State that we do. The combination of all of that to me gives us momentum and puts us at a place where this is an extraordinary time to be here. We are lucky, and this is great for the University, great for the state of Ohio, and this is a day for all Buckeyes to feel that we are going somewhere.

I wholeheartedly support this motion.

Mr. O'Dell:

I also want to thank Alex and the whole Search Committee for a tremendous job. It thrills me to have Chancellor Gee returning to the state of Ohio and it is indeed a great day for The Ohio State University and for this great state.

Mr. Alvarez-Breckenridge:

First of all I would like to thank, Chairman Cloyd, Alex, and all of the members of the Search Committee who did an excellent job and were very diligent in the tasks that they had in front of them.

Secondly from a student perspective I just want to say how thoroughly excited I am to have the opportunity to work with Chancellor Gee and to get to know him. As a member of the Ohio State community for quite a long time, I have heard great stories about the Chancellor. As a student, people would always make comparisons to the Chancellor and I am really excited to have the opportunity to actually see these comparisons come to light.

As someone who was not on the Search Committee, I was wondering if somebody who had the interaction with Chancellor Gee could comment about his fundraising skills in terms of the new capital campaign that we are going to be undertaking. Does he have any type of ideology of what he is going to bring to the table in terms of money for buildings, or scholarships, or things along those lines?

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Mr. Schottenstein:

Without equal.

Mr. Shumate:

It is a great question, too, Christopher, because I think we know as a Board we are going to be engaged in a very aggressive and ambitious capital campaign. The focus is going to be on support for excellence, supporting our faculty with chairs, grants, and also access -- scholarships for our students. My anticipation is -- and I know we are still involved in the planning process -- that this is going to be a campaign where we really focus on the academic core aspects of the University -- focusing on excellence and access.

Judge Marbley:

Mr. Chairman, I have a slightly different perspective. I am not the youngest but the newest member of the Board and I am going to have the longest to serve with President Gee. I think all of you have put me in a very good position, but I want to make a couple of observations. One is that I see Coach Tressel in the room and I think that the next time he writes a book he should chronicle Alex's great comeback. I think that we have forgotten about the fact that we were losing in the fourth quarter and Mr. Shumate and Dr. Cloyd made a significant comeback when we resurrected this victory and brought the Chancellor here, subject to our vote.

The second thing that I think is quite important for us to consider is that we have looked at some of the finest sitting presidents and provosts in the country. I think, what we have determined is that we have the first among equals and that is quite important. As Dimon said, I think that is a great testament to Gordon's sense of vision and purpose, but also to this University. I don't believe that we sell the University short by saying this is a great institution and anybody should be happy to come to this institution and lead the state's flagship university. We have a great leader at a great university, and seven or eight years from now -- when everyone's memory has faded -- I might even take credit for having brought him back!

Mr. Fisher:

I am also very excited, but the practicality of this is when can he start and how long can I expect him to stay?

Dr. Cloyd:

Mr. Fisher, we will talk about some of the specific terms in a moment. What I've learned with some of the conversations I've had with Chancellor Gee, if this Board elects him, is that he would probably start some time in the fall. He wants to make sure -- and I think it is a credit to his character -- he works the right transition plan with Vanderbilt. I'm not going to pin down an exact date in the fall, but more will be forthcoming. Let me just say that his intention is that he intends to be here for a long period of time.

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Ms. Van Camp:

Like the Judge, I am a new addition to this Board and I would like to thank everyone for welcoming me in at this exciting time. As Mr. Schottenstein told me when I joined the Board, I was joining at an exciting time.

I bring a student perspective to this Board and one of the chief concerns that I had in the search process was how well the new president would connect with students and help us to ensure that the student voice is represented. Although I have never met Gordon Gee, everything that I have heard from people on the Search Committee -- and even deans that I talked to in the school -- is that he is very personable and has a good reputation of connecting with students. I'm excited to welcome him back as a Buckeye.

Dr. Cloyd:

Thank you, Debra. Any other discussion?

The members of the Board have before them a nomination to elect E. Gordon Gee as President of The Ohio State University, and know that this is a responsibility of the Board, pursuant to section 3335.09 of the Revised Code.

Resolution No. 2008 - 37

The terms, which have been incorporated into a term sheet, are that the initial term of office shall be for a period of seven (7) years, with an annual salary set at \$775,000, with an appropriate benefits package. With the approval of this motion, the chair will negotiate a final agreement in conjunction with our counsel to formalize the relationship between the University and Dr. Gee.

Upon motion of Mr. Shumate, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Cloyd, Hendricks, McFerson, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Marbley.

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Dr. Cloyd:

Ladies and gentlemen, if you will please keep your seats for a few minutes, I'm going to see if I can find our new president.

Ladies and gentlemen, it is my very distinct pleasure to introduce the 14th President of The Ohio State University -- E. Gordon Gee.

[APPLAUSE]

Dr. E. Gordon Gee:

Thank you. Gosh, the last time a got a standing ovation is when I told the people at Brown I was leaving! I am so honored to be here. Thank you, Mr. Chairman, I appreciate your leadership.

Yesterday I wrote to the Vanderbilt community and its board and I said the following, "I am not leaving Vanderbilt. Rather I am following my heart and returning to a place that I consider home." I thank all of you for letting me come home. In *Luke 15* in the *New Testament* is the story of the Prodigal Son -- well I am that son. I left, I experienced the world, I made my way in a

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different life and a different time, but this place, this father, this magnificent institution never forgot me and has now forgiven me and welcomed me home. So how true today is that great refrain, which I so dearly love: *Time and change will surely show, how firm thy friendship O-H-I-O*. I am honored, I want you to know.

I do have some people to acknowledge. I want to start with acknowledging the people of Ohio. They have built a great university called The Ohio State University. I appreciated what the Judge had to say, I came here because I recognize – and, of course, I have been president to half of the universities in this country – that this is a remarkable institution. It is not just a great institution, it is a remarkable institution and I think that we should celebrate that and we should celebrate with all 11 million Ohioans. This is my opportunity, but this is their day.

I want to thank our chairman. He and I didn't know each other, we had this kabuki dance that we went through, but he is a wonderful person. One of the great pleasures of my life is going to be an opportunity to work with him as it is with the rest of this Board.

Alex Shumate was the chairman of the Board when I took my sabbatical and left for Brown. I can remember walking to John Wolfe's office then walking across the street and telling Alex. I am amazed that you would actually even recruit me back, Alex. What a blessing he has always been to me.

To our Board of Trustees – some of whom I know very well, all of whom I will know very well over time – I heard what you had to say and I appreciate those words. May they be true and may I make them true. I will work very hard to make that happen. I even have a Vanderbilt father here and I will make sure that you pay a very high tuition at Vanderbilt.

I met with the Search Committee today and it was a different process. This Search Committee showed a lot of trust in this process and for that I am grateful.

Citizen recruiters – and there are a number of them here, but there is no greater captain of that citizen recruiting group than one Jack Kessler. Give him a round applause, he deserves that.

To my colleagues -- some of who look slightly older, but none of whom are worn out and who are doing wonderful things for this institution. Joe, I really appreciate the leadership that you are providing. Let me just say, I am the president-elect of the institution – Joe is the president of the University. He is doing a great job and make certain that you continue to support him as we go through this transition. I am honored to have an opportunity to work with him, as I am with the other vice presidents.

Bobby, you haven't changed a bit. I can't wait to get out on the road with you again. Eighty-eight counties! I can remember when I came here, by the way, that I made this great announcement about the fact that I was going to visit every county, every year and then I turned to Alex and I said, "How many counties are there?" And he said, "88." I came from Colorado where there were 20 counties.

I am grateful for the friends that I have here and for the senior leadership, faculty, staff, and students of Ohio State whom I meet in wonderful and strange places whether it be London or Beijing. I was in a hotel in Beijing,

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China, and I hear this big shout, "O-H." That's Ohio State, that's its spirit -- our alumni and friends.

I particularly want to acknowledge my predecessors; it has been 10 years. Brit Kirwan is a great friend of mine and remains a great friend of mine. Brit Kirwan did a wonderful job at this institution and I hope that we continue to acknowledge that great work. He certainly takes great pride in his achievements, as does Karen Holbrook. I did not know Karen as well, but I got to know her and admire her, and I know that she has done remarkable things. In this time and in this effort, it is important for us to know that. So I appreciate that and I want to make certain that we do acknowledge them.

I want to acknowledge the folks at Vanderbilt. It is a wonderful university. They embraced me and I spent seven years at that institution. I hope that they hear me today; I love and admire the people of that institution. As I said, I am not leaving Vanderbilt -- I am coming to Ohio State. Martha Ingram, chairman of the Vanderbilt Board, is an angel and has been my angel for all of that time. She has also given Vanderbilt University \$550 million, which is the largest single donation from any individual in this country. I want you to know that I hope to eclipse that at Ohio State.

To the Board of Trustees, my senior colleagues, faculty, staff, and students at Vanderbilt, it has been a love affair for me, but I am home. I am grateful for that and I want to acknowledge them.

I want to now acknowledge two people who are so central to my life. First, I want to introduce my daughter, Rebekah. Rebekah was 14 years old and she did not want to move from Colorado. I still remember this that I had to give her a root canal on the day that we came to Ohio State -- literally we gave her a root canal to tone her down a little bit -- but she came and she fell in love with this place. Rebekah Gee today whenever she signs where she is from she says, "I'm from Ohio." That is who she is.

Rebekah has gone on to do wonderful things. Rebekah went to Columbia University, Cornell Medical School, and Harvard to do her residency -- and Rebekah met her wonderful husband there -- and she is now a Robert Wood Johnson Clinical Scholar at the University of Pennsylvania Medical School. She is making a tour of the Ivy League. Dr. Allan Moore, who is her husband, is a graduate of the University of Virginia, the Vanderbilt University Medical School -- one of the two of them has a great degree -- and the two of them met at the Massachusetts General Hospital at Harvard University -- and is now a fellow in endocrinology there. They are fabulous young people and they are the future of this country. I am proud that I am her father and his father-in-law, they are great young people, and you are going to love them as much as I do. I am glad they are here to celebrate with me.

So the question is why am I here? Well I want you to know what I said before, I am returning to be part of one of the most exciting academic environments in American higher education. This, ladies and gentlemen, is Ohio State's time! Since 1990 this University has moved from good to excellence, thanks to the good work and great leadership from the deans, vice presidents, and the presidents themselves. I believe, due to wise decisions and a thoughtful Academic Plan, that this University is now poised -- and Joe, you and I will talk a lot about this -- to move from excellence to eminence. Judge Marbley, that is where I think we are. We are now poised to move from excellence to eminence. And that is an aspiration that very few institutions in this country can ever have and we are there. I am glad that I can be part of that.

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This is the most comprehensive, complex university in this country and, therefore, we should be a beacon on the hill for all of higher education. We have the opportunity to set a standard for excellence, to set a standard for leadership, and to set a standard for direction. There are very few universities in this country that can and we will do it right here.

I also want you to know that I am returning as a different, more mature, and more thoughtful academic leader. This is a much different university than I left in 1997 and I am a much different person. This is not *Back to the Future* and this is not *Halloween II*, and I do not intend on being the Grover Cleveland of university presidents. I have had the opportunity to lead and learn from two of the most highly respected and academically distinguished universities in this country – Brown and Vanderbilt. As a result – and I hope you will see this in me – I have developed a clarity of purpose and an ability to understand, identify, sustain, and create excellence. Hold me to that standard. Expect that of me. Make certain that I say what I am and what I will do, and that is the maturing process. I want you to understand that even though it is a great joy for me to be here and it is a great honor, this is a different place and I am a different person. I hope that those two will come together again in a wonderful event.

When the state legislature passed unanimously -- Madam Speaker, it didn't happen in our time -- a state budget and in that budget they said, "We are going to support higher education. This is our number one priority." Why not? This should be; it is about time. This was also a great recruiting opportunity for me, I can assure you. The people of this state are demanding leadership from their university and I believe we now have the tools and ability, financially and politically, to make that happen.

The Governor, whom I know, is doing a terrific job and I just want to acknowledge him. The Speaker of the House and Senate President -- I do not now them as well, but I will get to know them I can assure you. They showed unprecedented commitment and leadership and we should be grateful to them. Chancellor Fingerhut, I knew him in his senatorial days. I have known followed him closely and have had an opportunity to speak with him at great length about the future of higher education. He is a magnificent voice for quality and excellence and a person who will make a difference to this University and will make a difference to this state.

I want to say this, this is a different kind of Board than I worked with. This is a reorganized, revitalized, and reconfigured Board, and I think a perfectly logical way to now run a university. I am delighted that I can be here to be a part of that. This Board reorganization is important.

I clearly believe that a confluence of common sense and common will is occurring, recognizing that one cannot have a vital and progressive state without the leadership of a great university -- it is impossible. But at the same time, this University must recognize that we have an essential and an incredibly significant stake in the future of this state. We are wed. We are The Ohio State University. A great state and a great university can make a difference, but only if we work together.

This leads me to perhaps the most compelling reason I am here. I can now sleep at night. I have spent ten years not in the wilderness -- because I love those institutions -- but I woke up every morning and I said, "Why am I here?" A private institution has a particular role to play, but 80% of the students in this country are educated in our public universities. They are the front door to the American dream, they represent what life is about, and they represent what this nation is about, and I have returned to the great

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opportunity that exists. I believe in every fiber of my being in the land-grant mission of this public university. Ohio State is unique. There are very few institutions in this country that are both a land-grant institution and the state's leading institution. What an opportunity for me and what an opportunity for us. I am going to be able to sleep at night, because I will never ask again the questions, "Why do I exist?" and "Why am I doing what I'm doing?"

Of course there are many challenges, but the fundamental challenges, I believe, center around people and ideas. You would probably expect me to say money or a variety of other things – that will come. Open your wallets, I'm here! No wallet is safe in this state! That will come, we will make that happen. The fundamental challenges center around people and ideas. We must capture the hearts and minds of the people of Ohio to believe in the fiber that they have in their being that this University will make a difference in their lives and then we must do so. At the same time, we must convince the people of this wonderful University, this great magnificent institution -- the faculty, the staff, and the students -- that this University is not a job, but a calling and a responsibility. It is a remarkable opportunity that each and every one of us has at this time and in this institution in ways that very few people do. We must create a climate of dignity and respect for each other. We must not be futuristic with each other, we must celebrate our differences, and we must, through those celebrations, make certain that in celebrating that we understand the value of this great University.

We must engage and win the battle for talent. It is all about talent. We don't make cars, Joe, and we don't make widgets, it is all about talent. The most talented faculty teaching the most talented students. We will win that – I am predatory. We will win that, but it will require a lot of hard work. That is what it is about. It is about bright, wonderful faculty engaged in their libraries and in their laboratories, about the world that they are engaged in, and then it is about engaging those wonderful bright students. We will win that battle for talent.

The corollary is that we must win the battle for ideas. You can't run an empty vessel. You can't be a great university unless we are not a place of great ideas and those ideas are found in our laboratories. This institution has marched in a truly distinguished way, it has made a dash for distinction by creating an environment in which research is actually celebrated and in which gifted opportunity for people to make a real difference in terms of what their research does in the lives of people around the world. We are a magnificent research university, but we also recognize and encourage a whole level of creativity that very few institutions can and will do. I think that is Ohio State.

We must not think of our size. We are the largest university in the country. I know I used to talk about the fact that this was an aircraft carrier and I moved to a speed boat. Let me just tell you something, speed boats can be dangerous. We must not think of size as an impediment, but as an opportunity. Size is not an impediment at this institution. We are the most massive intellectual platform in America gathered on one campus, but we will only be that if we can think, act, and operationalize ourselves as one university. We can't be 18 colleges connected by a heating plant and we can't be a series of departments connected by telephone lines. We have to actively – and you are going to hear this theme from me a lot – be one university. If we are this massive institution and we can think with agility and act with simplicity, even in our complexity, we are going to be enormously successful. And no one else is doing that in this country. We

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will and we can. I am so bound up in that notion. I am thrilled that we have that opportunity.

We must remember that we are about creating ideas and we must be equally passionate about passing along those ideas. If we create ideas, if we have this great research mechanism, and we have it narrowly defined in those laboratories or in those libraries, then we have done ourselves very little good and maybe even some harm. So we must pass along those ideas and we must be a student-centered university. Teaching and learning is our mission and we must make that happen. So, those whom we teach and those who teach and learn here must also reflect the diversity – racially, economically, socially, and culturally – that allows difference to flourish. That allows us to celebrate those differences in ways that will truly make us better.

Mr. Chairman, members of the Board, ladies and gentlemen, here on this mid-summer evening as we celebrate a great university, these are just a few of my thoughts. I had many today, but I wanted to limit my time. This is the one thing that I want to say to each of you, I believe, absolutely, this is the University of the American Dream. I can remember still one time when I conducted commencement, I just happened to ask – there were all of these people gathered and they were having a great time – “Would all of those who are first generation students stand up?” Over half of those students stood up. This is the American Dream. I am a product of that; I grew up in a very small town – Vernal, Utah. Without a great public university, I would not be here today. This is the American Dream – the front door to the future. Today I stand before you because you have fulfilled my dream. I thank you very much.

I am open for any questions that any of you may have. Oh, Carol, I love you. Thank you for waking me up so early in the mornings, otherwise I would have slept in and had a good time. Yes, Ma'm --

Ms. Carol Luper: [ABC-6 and FOX-28 Reporter]

Dr. Gee, knowing you, you are going to do everything first; but when you hit the ground running here at The Ohio State University, what is your first priority?

Dr. Gee:

My first priority is – and I don't want to sound so simple – that we have a President here and he and I are going to caucus on Saturday and we are going to devise a transition plan and then we are going to start executing that. I have to build some of my own team, I have to figure out the structure of the institution, and I have to think about how we operationalize some of the things that we talked about right now, but that will be my first priority. Obviously sometime I will have to move here, Carol, but I will make that happen.

Ms. Luper:

The second question just to follow-up, since you have been here a couple of times, what have you seen on campus that has changed the most?

Dr. Gee:

Someone had asked me the same question today at the Search Committee meeting, “What is it about the University that is different from when you

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were here in 1997?" I think a couple of things, first of all, the quality of students is extraordinary at the undergraduate level. I operationalized the selective admissions process. Right before I came here, Ohio State was an open admissions institution. So when I came we raised the admissions standards and we did a variety of other things, but it was a long operational issue. This is now an institution that is enormously competitive and we are now in that very difficult position of having to -- because access, affordability, and quality are all parts of the mission of the University -- convince the mothers and fathers of sons and daughters in Ohio that it is a great honor to have been rejected from Ohio State. We need to figure that.

Second, is the massive investment in faculty, which has resulted in this incredible research engine which we now see. We have to invest and continue to do the best in that and we have to make certain that we now are a three-legged stool in which all three legs are long -- that is undergraduate, graduate, and professional education. I think that many of our faculty would agree that we have to invest more heavily in graduate students and we have to bring them up to the quality of the rest of our efforts, but we are in a great position right now.

Any other questions? Boy, I intimidated everyone!

Speaker: [Associated Press Reporter]

Dr. Gee, could you explain to us what changed from a couple of weeks ago when you denied that you were a candidate for the job and said you were going to stay at Vanderbilt and now here you are today? Was it a question of money? Was it a question of benefits? What changed in these few days?

Dr. Gee:

As you know, I was, until a few minutes ago, the most highly-paid university president in the country and life has come back into balance. I am no longer that and I no longer make as much money as a football coach. I feel much more sanguine about that.

The issue for me was a very simple one -- it is as simple as it is complex. I turned down the job, because I felt that I had a duty and responsibility at Vanderbilt but also that I was not prepared to take this position because I just did not really know enough. Since that time, the tenacity of some of the people in this room, in terms of their recruiting, gave me an opportunity to really understand the institution a bit more. This is sort of spiritual for me. I came here last Sunday on my own and my assistant couldn't believe that I knew how to buy an airplane ticket, but I did. I came on my own, I came and visited the campus, I walked the place, and what I said at the beginning is what I felt then -- I was home. I knew I needed to come and I made the decision right then. It was my moment. It was nothing about Vanderbilt, it was nothing about money or issues, or any problems of push and pull, it was simply that my heart told me what to do and that is what I did.

Speaker:

Dr. Gee, if you could just explain a little more of what you just said about having left and then coming back last weekend, walking around campus, and what you saw that you said, "By golly this is different" and also what stirred up some old ghosts for you?

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Dr. Gee:

Let me just talk about my daughter for a second, because she was very much instrumental in this. She was a great advocate for me to return, by the way. When we came, she was 14 and her mother, my dear wife, Elizabeth, died here. We were embraced as no two people could be embraced by a university community and by people of the state at a time in which we needed that. She and I became fast friends, we are as close as two people can possibly be today, and all of that just stirred up in me. It was that moment for me and that is just the way that I feel.

I think sometimes people who run these massive universities and so forth you expect them say, "Well, if there was a little bit more research here and if there is a little bit more student productivity there, and the buildings are a little bit bigger, and so forth." This was a pure and simple spiritual decision for me and it was the right decision.

Speaker:

Did you see the south campus area?

Dr. Gee:

I certainly did see the south campus area and I thank you very much for noting that. When I came here, Jack Kessler, who was the chairman of the Search Committee, would always drive me in off Olentangy River Road and the first time I came I drove myself and thought, "Oh, oh, someone has given me a false impression of this place, because I need a Humvee." Now it is a magnificent addition.

I take great pride in having established Campus Partners, but now we are truly a partnership with the community. What we had done was sucked the oxygen out of the community, we had not given back, and we had allowed these shot-gun places to grow up in which our students weren't living in the best conditions, and we have changed that culturally. It is wonderful to see what is happening and the connection now between Ohio State and downtown and we are creating a great corridor. There has been so much that has changed and so much positive. I saw that, too.

Mr. Patrick Bell: [WBNS-TV Reporter]

You mentioned that you were coming home, should the students and the other members of this University read that this is in fact the last stop in your illustrious career?

Dr. Gee:

I hold the world's record for the number of universities that I have been at the helm of. I probably have said three times, "This is it." This one really is it. In fact, I'll tell you what, everyone hold out a big sign that reads, "THIS IS IT!" and I will sign it. The reason this is it, is the way that I made the decision. I made the decision based upon a very jealous moment, which is what is right for me and I hope it is also right for the University. So, this is it.

Ms. Lindsey Seaver: [WBNS-TV Reporter]

Rarely do you ever get to revisit a chapter of your career and say that you want to do it better. What would you want to do better this time around?

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Dr. Gee:

I think a couple of things that I just said and let me mention them again. When I was here the last time I was 46-years of age and coming to The Ohio State University is an overwhelming proposition, and I had been a university president for ten years and it nearly overwhelmed me. So I did a lot of on the job learning and I will do that now, but I am prepared for that and I am prepared to, in a more mature way, deal with the immediate issues of the institution. I think lightening the learning curve and really increasing the intensity of what I believe is a sturdy academic profile and a sturdy Academic Plan is what I would do differently. It took me a long time to get moving the last time I was here.

Speaker: [Call & Post Reporter]

You mentioned that when you came the first time that you established selective admissions from an open admissions policy. One of the issues, of course, that has changed since the last time you were here has been the Supreme Court's rulings in a couple of cases reflecting diversity, especially in the Michigan cases. This tension between excellence and diversity, how do you see the changes affecting the way you operate now or the way this University operates in terms of promoting diversity now?

Dr. Gee:

Gosh, you haven't changed at all. That was the question you asked me the last time I was here.

I want to be on the record about this; I think this is a false economy. There is no tension between excellence and diversity. In fact, I submit to you that excellence and diversity are absolute partners. We live in a global world and there are so many different languages being spoken, and we need to have an opportunity for people who look, think, and act differently to be part of this University family. If we don't, then we are diminished. One of the challenges we face is that 85% of our students are Ohioans. How do we get a different cultural take? We can only do that by diversifying racially, socially, culturally, and economically to make certain that everyone of us are enabled and empowered to ask different kinds of questions and to be challenged by those. I just want to be clear about that. I think that if one thinks about a university that way, then it is not about making choices, it is about having an opportunity to make that difference and that is exactly what we are going to do.

Speaker: [OSU Student]

Dr. Gee, first I want to apologize for my attire because I came straight from the University -- actually I'm a senior here -- and I was really excited to read about the press conference. Again, I want to apologize for my appearance - - I'm not trying to have any impropriety here.

We have heard so much leading up to this about your ability to connect with students and to bring the faculty and students together. From my perception and students whom I have spoken with over my years here at the University, there has been a growing rift between those two bodies. I am interested in how you plan to address that practically going forth from here?

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Dr. Gee:

I love students. You will be tired of seeing me after awhile. There shouldn't be a rift and there is sometimes this natural notion that the administrators sit here and the student sit there, and even a rift between the administration and the faculty. I simply don't accept that. I believe we are all colleagues. Personally, one of the things that I love to do -- even though I am 63 years of age now -- is go to student parties. You invite me and I will be over to your place. That will scare you! In fact, I'm expecting the invite. Tell me your name and I will be over there. The point is that we can make a very large university very small by the actions that we take. I can assure you that President Alutto and I are going to take every possible action to make certain that this institution connects very precisely with the students. That is what we are about, that is what I love, and it is a great opportunity for me to come back and have 60,000 of you to be my friends. You will be and I will make that happen.

Ladies and gentlemen, thank you so much for letting me be here today.

Dr. Cloyd:

Thank you, President-elect Gee. Thank you, ladies and gentlemen, for being here today for this historic moment. In a moment we will recess this meeting and reconvene at 8:00 am tomorrow. I would also like to announce that President-elect Gee will be here for awhile yet and will be having additional meetings with members of the press in the Mount Leadership Room. He then will be having a social dinner later this evening with his family and members of the Board of Trustees.

The Board is now recessed.

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Dr. Cloyd reconvened the meeting on Friday, July 13, 2007, at 8:05 am.

Present: G. Gil Cloyd, Chairman, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, John D. Ong, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Algenon L. Marbley, Christopher Alvarez-Breckenridge, and Debra J. Van Camp.

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Dr. Cloyd:

In a moment we will be taking a roll call vote to go into Executive Session. I hereby move that the Board recess into Executive Session for the purpose of considering personnel matters regarding appointment and employment, for consulting with legal counsel regarding pending or imminent litigation, and to discuss matters required to be kept confidential by State Statute.

Upon motion of Dr. Cloyd, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Cloyd, Hendricks, McFerson, Davidson, Ong, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Marbley.

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Dr. Cloyd reconvened the meeting at 10:10 am.

Present: G. Gil Cloyd, Chairman, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, John D. Ong, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Algenon L. Marbley, Christopher Alvarez-Breckenridge, and Debra J. Van Camp.

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PERSONNEL ACTION

Resolution No. 2008-20

Dr. Cloyd:

In accordance with the recommendations of the College of Medicine Investigation Committee, the Faculty Hearing Committee, Executive Vice President and Provost Barbara Snyder and President Karen Holbrook, I move that the tenured faculty appointment of Dr. Miles E. Drake be terminated effective July 13, 2007.

Upon motion of Dr. Cloyd, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing motion by eleven affirmative votes, cast by Trustees Cloyd, Hendricks, McFerson, Davidson, Ong, Borrer, Shumate, Hicks, Fisher, Schottenstein, and Marbley, and one abstention cast by Trustee O'Dell.

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APPROVAL PROCESSES FOR LARGE DOLLAR CONTRACTS AND WAIVERS REPORT

Mr. William J. Shkurti:

The reason this report is before you at this particular time is that the Board Office requested, on behalf of some of the Board members, that since we have a lot of new people on the Board that we update you on the approval process for large dollar contract awards and waivers of competitive bidding. I think that the single document that would be the most helpful to you is the one that is on the last page of the handout, which is in essence a chart. This chart tracks purchase order approval, which is a fancy way for saying, "contracts, bids, and services."

I think where I will start is as a public entity – even though we are not a state agency – we are covered by state law. The presumption is that the best way to acquire goods and services is through a competitive bidding process and we do that. The question is then how much review – and that is related to the size of the purchase obviously – and then what happens when there are cases where it is inappropriate, difficult, or impractical to bid something out, and that is when we ask for a waiver of competitive bidding. I think the sense is that the Board's interest on this is not micromanaging the process, but making sure that the process is fair, that bidders have an appropriate opportunity to bid on contracts, that we are doing it efficiently, and that it is appropriate, but timely. The important distinction to draw is between a regular competitive bid and a waiver.

At the top of that chart you will see what is called, "Standard Purchase Orders." It starts at a relatively low level between \$1,000 - \$5,000. A delegated buyer could go buy something like pencils or paper within the University contract or within a procedure and there are two layers of approval. If the contract is \$1 million or more, then it would be a

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competitive bid. You will see that even after it is competitively bid there are seven layers of approval and the last layer is yours truly – me. Each one of these approvers looks at it for something a little different, but we want to make sure that the process is done appropriately.

The other process that we have added to this – this is not state law, but a process that both the administration and the Board felt was appropriate – is that if it is a bid contract of over \$1 million, I then notify the designated Board person – which in this case is the chair of the Fiscal Affairs Committee, Mrs. Davidson – and I will send her an e-mail summary of what the contract is, why it is bid, and what has been awarded. This is not to ask her to approve it, because it has been competitively bid, but is for notification so she knows that if she has questions, and she often does, then I will respond back to her. That is a way of making sure that the process stays appropriate from start to finish and also that the Board knows about big contracts. I think that has worked relatively well and is a big part of this.

The second group of contracts would be things that are exceptions to the competitive bidding process. Why would we not competitively bid something? There could be a couple of reasons, but probably the single biggest one is “sole source.” For example, this is a particular piece of medical equipment. This company is the only people who make it and it is the only way to treat patients in the most effective way. So a waiver of competitive bidding will be requested on the basis of sole source. Every now and then there may be something that is an emergency, where timing is an issue, and we don’t have the time to go through the whole process. If it is a legitimate emergency of that type, we might also ask for a waiver of competitive bidding.

You will see the process differs somewhat based on the dollar range, but it is a lot more extensive than if it is competitively bid and this is to make sure that the waiver isn’t being abused in any way. If you go to a waiver that is \$500,000 or more, you will see 14 levels of review, so it gets reviewed very carefully. The other thing that happens if it is \$500,000 or more, the chair of the Fiscal Affairs Committee, again, Mrs. Davidson, is notified in advance. What I will send her is a summary e-mail my staff prepares saying, “Here is what we are proposing to have as a waiver of competitive bidding, here is the reason why we are doing it, and here are the steps that we have taken to make sure that it is appropriate,” so she is comfortable in advance before we award it.

The interesting thing is that once we established this process a couple of years ago – because this is a big diverse place and it is rare people will deliberately do something fraudulent, one would hope, and, I think, that has been the case -- what happens is that a lot of the units get in a hurry to get things done for the right reasons. Sometimes on a waiver of competitive bidding, we want to make sure that they are not cutting corners nor that they are using the waiver of competitive bidding as a way of short-circuiting the process when, in fact, the process could be followed. The volume of these waivers has been relatively small, but they are gone through carefully. They are then also presented to the Board on a quarterly basis, so that you are familiar with what the waivers are at least by dollar amount. We are now starting to add a list of who all the vendors are, so that the Board can be competent that the process is working the way it is supposed to.

The other thing that I think bears emphasis is that because this is a big place and this is a complicated process, there is always the opportunity for improvement. We are looking at some ways we can improve this process

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and also protect the Board on issues, because a lot of you have relationships in your business and don't want to be involved in something that involves a contractor or relationship that you have that is getting approved by the University. Now if it goes through the normal competitive bid process that is not an issue -- and we do this a lot when you have to vote on the acceptance of contracts -- because Board members will recuse or absence themselves from that vote.

I think that we have a very good process for doing the public's business and maintaining a good process. We are looking at ways to improve this and we may have some recommendations in September. The purpose of this particular briefing was simply to bring this to your attention, let you know this review is going on, and give you the opportunity to ask any questions. Although Purchasing reports to me -- so I have an understanding of how it works -- I will feel free to call on my colleagues if the questions get a little too detailed. Mr. Chairman, I am at the Board's disposal at this point.

Mr. Schottenstein:

I have three questions: 1) Does this apply even if the item being acquired is within budget; 2) I notice at the top it says, "Medical Center and Research Foundation not included," I wondered how they fit into this? It seems to be a very rationale process; and 3) Is this process, in any way, to be reviewed as part of the overall PricewaterhouseCoopers operational audit, which is now underway?

Mr. Shkurti:

The answer to your first question is yes it does. Mr. Schottenstein, let me answer your last question next, because that is the simplest one. This is included in that broad category of financial controls in the PricewaterhouseCoopers audit without going down into great detail, but they are looking at how it all fits in. Regarding your second question -- which is certainly an appropriate one -- I think that it is fair to say that the Medical Center and Research Foundation operate under the same general principles because they are covered by state law as well. There are two quirks, if you will, that apply.

In the case of the Medical Center, they are part of the University and we have delegated to them some ability to run their own purchasing operation within University guidelines. What is a little different about them, say compared to an academic department, is that they're really in a business, if you will, of healthcare where there is a great deal of participation in purchasing consortiums and so forth. A lot of their products, services or equipment are purchased through consortiums they belong to and they go through their own competitive bid process. When I send the e-mail to Mrs. Davidson -- because the Hospitals stuff is covered as well -- I mention whether or not it is something that has been purchased through the consortium agreement. So they are a little different in that way, so their process is not identical to this. That is why they are not on here, but it is the same thing in function.

With the Research Foundation it is similar, but not identical. The reason is the Research Foundation is technically a separate legal entity. They are an affiliated organization. They operate under a separate board, although they are ultimately accountable to the University and use the same general process that we have here and the same general guidelines. I know Bob McGrath is here and if there is anything that I'm mischaracterizing in that --

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Dr. Robert T. McGrath:

I would just mention A-21 is the federal guidelines that the Research Foundation is required to follow as well.

Mr. Shkurti:

Which are a little more strict in fact. As true of anything with the University, there is never a simple answer – we never do anything simple – but the same general principles that I have laid out here are followed both by the Hospitals and the Research Foundation. In fact, if there are contracts that reach the numbers I talked about that are at the threshold for notification to the chair of the Fiscal Affairs Committee, the Research Foundation and the Medical Center would be involved as well.

Mr. Fisher:

The review protocol within each of the areas is that set by you or the central purchasing manager? Is that protocol determined within that agency or does that come from you?

Mr. Shkurti:

Mr. Fisher, I think the best way to describe it is that whatever power I have is delegated from the Board to the President who delegates it to me and then I delegate it to Purchasing. They fill in the details, but I approve the general policies that they adopt.

Judge Marbley:

When you look at the waiver provisions, does each level have the same criteria by which they review the request to waive the competitive bid process or does each level make its own criteria? How does the criteria differ from level to level?

Mr. Shkurti:

Judge Marbley, if you say from level to level do you mean the dollar amount?

Judge Marbley:

Actually, I mean from delegated requisition initiator to waiver request initiator as you go through the process. Are the criteria the same for evaluating each request?

Mr. Shkurti:

Yes. The way that I would describe it is that the general criteria is set at the University-level, and then articulated by me down to Purchasing, and then Purchasing sets general standards. Within those general standards we give the units some room for discretion, because each unit is a little different. For example, what the College of Biological Sciences might buy is very different from what the Athletics Department might buy, but they all have to follow the same general processes as laid out in these terms.

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Judge Marbley:

But in determining whether they can waive the competitive bid process, do they use that same criteria?

Mr. Shkurti:

The waiver of competitive bid is really defined in state law and then applied by us, so the same reasons for being able to gain a waiver has to be consistent throughout the University by everyone.

Mr. McFerson:

To waive purchase orders -- on the last part of this page it looks like there is a whole process to follow just to decide if this is going to be waived. Then you see the thick double lines and then once that decision is made, the same people will then have to go through the process of approving the purchase order itself. Is that the way I read that? In the 14 steps, there is some duplication of offices looking at it twice. As I understand this, it looks like the first all the way up to that double line you have to decide if we are going to waive it. Then once that occurs, then you look at it a second time as it comes by to decide if the purchase order itself is okay.

Mr. Shkurti:

Mr. McFerson, that is fair in a sense. If you look at the bottom it says, "Path is for Waiver Approval" and "Path is for Subsequent Purchase Order Approval." So before you can send the purchase order through, you need to know you can waive it. It looks more cumbersome than it is and we try to parallel process to a degree, but the logic of the system is correct. Before you send a purchase order through on a waiver of competitive bidding, you need to have some sense that the higher ups are going to say, "Yes, this makes sense."

Mr. McFerson:

I think I understand it that way; you just described exactly what happens. So you have to decide first if you are going to waive the competitive bid.

Mr. Shkurti:

That is correct. If we say to someone, "No, you are out in left field on this, you send it through a competitive bid," then we don't want them sending us a purchase order to be approved as a waiver.

Dr. Cloyd:

Any other questions? Bill, do we have any guidelines for the importance of prior experience with the service provider in order to be eligible to have a waiver of a competitive bid?

Mr. Shkurti:

Dr. Cloyd, that is an excellent question. When the individual units are involved in setting the specifications for the bid that is a legitimate specification to set depending, again, on what it is for. For example, if you are looking to hire architects you want someone who has some experience in building the kind of facilities that you are looking at. On the other hand, if you are buying pencils and you are putting a contract out for that, you may

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not necessarily need the same level of professional qualifications, but you need some assurance that these people have made pencils and they have done it competently. So those qualifications to meet the requirements of the bid are very much part of the process, but, as you might imagine, vary quite a bit depending on what it is your bidding out.

Dr. Cloyd:

Any other questions for Mr. Shkurti? Thank you very much, Bill. That has been very helpful.

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BRIEFING – LEASE AT LAZARUS BUILDING

Mr. William J. Shkurti:

We are providing a briefing on a lease for the College of the Arts at the old Lazarus Building located at 50 West Town Street in Columbus. The College of the Arts is renovating and leasing 10,000 square feet, along the Town Street portion of the building, for a facility that will include exhibition space, non-traditional performance, and installation space for faculty and staff. The space will support the Urban Arts Space Mission and allow students to experience all aspects of professional presentation and program operations for the space. It will also support the University's mission to support the revitalization of the central business district and education based on community outreach. The College itself is strongly supportive of this and I would be remiss if I didn't acknowledge Karen Bell, dean of the College of the Arts, who is very supportive of this.

We have gone through the appropriate due diligence, so it is a nine-year lease with four five-year options to renew. The College will contribute a total \$230,000 towards tenant improvements and the remaining cost of nearly \$1.3 million will be funded by the landlord, which is the Columbus Downtown Development Corporation. The rent will be approximately \$75,000 annually through the term of the lease, which will be paid by the College, and the College has identified the sources for that. The lease has gone through the appropriate process and it has been determined that this is in the best interest of the College of the Arts and the University, and is strongly supported by the University. A vote is not required, but we thought this was significant enough that the Board be informed.

Dr. Cloyd:

Questions by Board members? Moving forward, when will you be in?

Dean Karen Bell:

We hope to have the opening in January 2008.

Dr. Cloyd:

Great. We will look forward to it. Thank you very much.

President Joseph A. Alutto:

This is just a wonderful example of an initiative taken by a college to work at the multiple missions of the University both to improve the quality of the academic experience, but to reach out to this community and reinforce the

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notion that we are part of a vibrant community. I want to thank Dean Bell for that.

Dr. Cloyd:

Thank you; great point.

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FY 2009 – FY 2014 CAPITAL PLAN RECOMMENDATIONS

Mr. William J. Shkurti: [PowerPoint Presentation]

Mike Sherman, the vice provost who deals with a lot of the facilities issues, will be joining me for this presentation. What we will be giving you is a briefing on the FY 2009 – FY 2014 Capital Plan. This is something that we normally present to the Board every two years. We normally do it in the Fiscal Affairs Committee meeting, but because we have so many new Board members, we thought it would be useful to do this at the full meeting. The interesting thing is that this was a process that actually started when President Gee was here the first time, because both the administration and the Board wanted to make sure that they knew where all of these projects were coming from and we wanted to have a comprehensive capital process.

What we will cover is a bit of the context, the recommendations regarding state funds, other sources of funding – which are becoming increasingly important – the implications, and the next steps. What I would stress is that what is in these slides is in essence a summary of a 20-page tome that is in your Board books. I won't go over this all in detail, but you are welcome to read it at your leisure because it will fill in the details. Ohio State is probably one of the most systematic about laying out why these decisions are made and we think that is appropriate.

The things that I would stress about the capital plan first is that because this place is so big, we think it is extremely important we have in one place a comprehensive review that encompasses all the major projects over \$1.5 million from all sources, including affiliated entities and I will talk more about that later. We do this over a six-year time horizon, although sometimes a little longer, with the emphasis on the next two years – 2009 and 2010. The plan is updated annually and reported to the Board. This is a first reading only, so, we won't be asking you to vote today, but rather to give you a sense of what is in there, and then we will come back in September and ask for a vote. In addition -- even though the Board has the power to approve a lot of these projects -- the state funded projects will require additional approval by the state. They will go through their own process, which will be their own 2009 and 2010 state capital bill.

Finally, if this process works the way it is supposed to, any individual projects that come forward for approval by the Board to either hire architects or begin construction should be able to be referenced back in these documents. Which answers the question, "Where do these projects come from?"

Now let me talk about the strategic context. One of the wonderful things about working in higher education is that the aspirations are great, so the needs are always greater than the funding available. This will give you a sense, in at least one way, that the process we went through where we invite the deans, vice presidents, and affiliated entities to submit requests has been completed. What we have is a total request for \$873 million in

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state funds and \$1.5 billion in bond capacity for the University, which is on the left-hand side of the slide. On the right-hand side of the slide, you can see how much we have available which is somewhat less. When I teach the public budgeting course, I teach my students that the whole structure of public budgeting is to reconcile limited resources with unlimited needs. I wouldn't say our needs are unlimited, but they are great. So the question is how do you reconcile this, because we only have about a quarter of the amount that people have asked for, although those requests go over multiple years.

That takes me to the next point and this is prioritization. You figure out, "Okay what is it that we are going to emphasize; what is most important that we do that supports the Academic Plan if we can't do everything?" With state funds what we are stressing is – and you will see this in the recommendations -- the renovation or replacement of core academic buildings. As you know, we have a deferred maintenance problem. The wonderful thing about state funds is that although there are not enough of them, they are unrestricted in terms of the use of capital. Although the state can be a pain in the behind sometimes, we know they are going to be there and we know they are going to pay off. That is the first part of it, these core academic buildings – again, we will have some examples for you shortly.

The next issue is, "Okay, what about the University's bond capacity?" -- which is substantially more than what the state gives us. "How do we use that?" The three areas you will see that are being emphasized in these recommendations are: 1) the Medical Center, particularly on the patient care side, because that is a need we have and also a funding source in terms of what insurance companies and patients pay back; 2) student housing, which is extremely important to our academic mission; a lot of that housing was built in the late '50s and early '60s and now needs renovation or replacement and the demand is greater than the supply; and 3) utilities infrastructure; if we don't have the heat, water, and electricity then we can't move forward. Those are the three priorities and you will see how that plays out as we go through the recommendations.

One of the things we use is a set of criteria then to try to whittle out what it is we're going to fund and what it is we're not. We look at: 1) the academic priority as related to the Academic Plan; 2) the physical need -- and that is another way of saying, "Is that building in good shape or is it relatively rundown?" -- and all other things being equal, you want to repair your rundown buildings before the ones that are in good shape; 3) financial feasibility – can we pay for it; and 4) physical feasibility and this is overlooked sometimes, but it is really important that if we are going to replace or renovate a building that we have somewhere to put it and that we have somewhere to put people if we are moving them out to get it done. A place that is as big and as crowded as this can sometimes be a bit of a chess game, but it is extremely important. Those are the evaluation criteria and are explained in more detail in the memo that I referred to earlier.

This slide shows the process that we use and it starts almost a year early. Our process for this round started in September 2006, we are now in the July 2007 phase, which is the recommendations by the President to the Board of Trustees and the first reading, and then we will come back in September for the vote. I think that is fairly self-explanatory. It is a long and tedious process, but it is an important one in order to vet this and make sure everything fits together.

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What I will do now is turn over the microphone to my colleague, Mike Sherman, who will talk about the recommendations for state funds.

Dr. Michael Sherman: [PowerPoint Presentation]

Thank you, Bill. Our previous capital recommendations for academic purposes were positioned over three biennia. At this point in time, we are proposing to take a longer term view through 2020 and in particular for the North Academic Core in consideration of academic priorities, deferred maintenance, and infrastructure support. So a comprehensive view for a longer period of time.

In the meantime, and for our immediate recommendations, we are recommending that there be \$8.7 million allocated to the Oxley Thompson Library project and this will finish the state capital allocation to this project of \$72.4 million. As you know, the project is underway and this provides the final allocation. The Library has transitioned to Ackerman Road and alternate studying and learning spaces have been arranged in other buildings and other libraries on campus.

We are recommending \$6 million for the College of Medicine. This is our most research intensive and research successful college. This is their priority for renovations related to Meiling and Graves Halls that will provide improved academic advising facilities and teaching and learning facilities.

We are also recommending from this allocation \$6.6 million for a project related to the College of Public Health in Cunz Hall that is a \$20 million total project. As you know, the College of Public Health is a new college and is an institutional priority. The College of Public Health had previously been located in Starling-Loving Hall, but that building is now slated for use by the Medical Center and the College of Medicine. We will be using a combination of capital funds, basic renovation funds, college set aside funds, and development funds to secure this total project cost. It will meet the instructional needs both for teaching and learning, as well as wet laboratory needs for this college. And importantly, given that it is Cunz Hall, we have included in the cost estimate a cladding system that will make this building at least as esthetically pleasing as Recreation and Physical Activity Center (RPAC) and the new Psychology Building.

If I could move to the longer term approach, in particular, related to the North Academic Core, I would like to point out that the approach that we have previously taken can be considered as more incremental, shorter term period of time of view, and college and programs specific. It has produced from short-term views and short-term visions, and is mostly from the perspective of, "What can we do with buildings we currently have with renovation or residings around those buildings?" To some extent it produces a competition for donors. The new approach we are pursuing is the more strategic approach -- longer term, collaborative, and holistic. It has the potential for pooling multiple resources and it provides more optimized solutions, because it can take into consideration the priorities for multiple colleges in a district perspective and it can follow more optimally the Master Plan.

As you can see in this slide, the North Academic Core that is bounded by Woodruff Avenue, 17th Avenue, College Road, and Tuttle Park Place is presented through this long-term holistic approach. It is linked to the Master Plan and provides interactive learning spaces; it considers core green spaces; it considers distinctive edges; it provides an urban nature; it creates a community urban interface along the High Street corridor; it provides

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notions of campus gateways; it produces enhanced sitings; and it provides optimized building heights and setbacks. So what you have here is basically a conceptual perspective of the North Academic Core, taking a view of our academic priorities through 2020, with the potential siting opportunities that exist taking a more comprehensive approach.

It is important to note that in this capital recommendation we are including the reconciliation of deferred maintenance for infrastructure, as well as the infrastructure needs for increased capacity that might exist in this core. In the near term, we will reconcile \$27.5 million in deferred maintenance. The longer term view will provide a reconciliation of \$61 million in deferred maintenance. It is important to note that while this is only 6% of the institutional deferred maintenance, this is nearly 50% of the deferred maintenance in the North Academic Core.

An approach that is more comprehensive, more holistic, and a longer term view provides the opportunity for: flexible framework, multidisciplinary interactions, discipline-based adjacencies and districts, distinctive edges, and a more pedestrian-friendly environment. Believe it or not, it has the potential to add up to one million square feet without increasing the concept of density.

In this slide, we basically represented the notion of a performing arts district, an education/humanities district, a sciences district, and classroom pool and teaching and learning opportunities. This next slide shows a potential long-term view of the siting opportunities in this district, which is a conceptual perspective, that could provide teaching, learning, research, and outreach and engagement success in the areas of the arts, the social sciences and humanities, the mathematical, physical, and engineering sciences, and the education professionals. It is important to know that the colleges in the North Academic Core have contact with 60% of the students through student-credit hours of instruction and have 26% of the assigned square feet, and it represents \$300 million in general funds budgets. Our objective is to meet these priorities across time for the English and the writing programs, the music performance and teaching programs, chemical engineering programs, chemistry programs, education programs, and classroom pools.

We are proposing to explore this concept further, having cost estimates for the North Academic Core priorities to be \$487 million through 2020. We are requesting an allocation of \$242 million in capital funds through 2020. The balance -- \$245 million -- we propose to be secured through a unique and slightly different approach to capital projects. We could potentially take the University centric approach in the next development campaign and we could also rely on college funds. For example, setting a goal for the University in the North Academic Core area for the development campaign would then determine what the colleges' obligations would be to this capital proposal. It is important to note that on the basis of \$70 million in planning per biennium from the state capital appropriations and this allocation of funds, it leaves \$245 million available through 2020 for other academic priorities campus-wide.

Basically what we are asking you today for is endorsement of the North Academic Core planning and funding concepts. We know we need to undertake a funding feasibility study and further interact with the deans of the affected colleges to secure, in a sense, their absolute support for an approach like this that is over such a long period of time.

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Mr. Shkurti:

We wanted to make you aware – and these are discussed more in the memo – that there are also some additional worthy projects waiting in the wings in future biennia which would include: the North Academic Core; Postle Hall Renovation/Replacement; the Veterinary Hospital Renovation/New Construction; Pomerene Hall Renovation; and the FAES Information and Technology Center. These are projects that we have identified as having a need, but we don't have enough money to do them all at the same time. Also, there are some other physical planning and other things that need to be done, but you will probably be seeing those projects in the future in terms of recommendations for state funds.

What I will turn to next are recommendations regarding other funds. Other funds, although not exclusive – are primarily University-backed bonds that would then be paid back by user fees or some other sources of income. As I mentioned earlier, the three highest priorities are: the Medical Center, Student Affairs, and infrastructure. Then we have a variety of other important projects as well, which I will highlight briefly.

First let me talk about the Medical Center. What we will be requesting in September is bonding authority of \$680 million from FY 2007 to FY 2012. One thing I should highlight is that the capital plan is designed to cover from FY 2009 to FY 2014, but we don't have all of the bond detail worked out yet. So I thought it would be helpful in this first reading to give you FY 2007 through FY 2012 because we are about to issue the bond for FY 2007 and this will give you a perspective and then we can update it. As you know, the Medical Center Master Facilities Plan is under review -- which will affect that -- and there will be more information on all of that in September. This is obviously an important project, but we need to make sure it all fits together.

The next area of priority is in Student Affairs. I think it is important to remember that at the Board's strong support, student-related facilities have been a high priority for a long time. We are now replacing the Union and we have replaced Larkins Hall, but we still have to pay for those. It is still important that that be worked into our calculation.

In addition, what we are seeing now in the Student Affairs area is that the next area that needs attention is student housing. This slide shows a list of what the major projects are including: upgrading the air conditioning and bathrooms on the south campus; the expansion of the Hall Complex; reworking Lincoln and Jones Towers; and also some renovations for housing and food service. Once these recommendations are funded and worked through that would add a total of 1,196 beds to the system and upgrade another 886 beds, so we think this is another major commitment. We have also recommended in the memo that you have that a special workgroup be put together that includes representatives from Student Affairs, Business and Finance, Academic Affairs, and Campus Partners to take a look at other options that might exist for graduate and professional housing that may be on or near campus and may involve private developers or other funding sources so we can augment in that way as well. This represents a major commitment to improving our student housing stock and we think that is extremely important.

The third area we are looking at a great deal of bonding authority for is facilities infrastructure. This slide will give you a sense of what the largest projects are and they are listed in order. On the purpose side it talks about whether it is increasing capacity, which is what a new electrical substation would do, or dealing with the deferred maintenance issue, which is what the

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high voltage switch would do. To many normal people these seem boring and mundane, but for those of us that are involved with facilities, these are exciting because if you don't have electricity, chilled water, and heat you have no building. It is extremely important that these be thought through very carefully and put in place, so we can do the renovations and expansions that are necessary for the Academic Plan.

The other projects also which are important involve: Athletics; Transportation and Parking; Regional Campuses; affiliated entities, including Campus Partners; a couple of projects from individual colleges; and a couple of other projects like upgrading Blankenship Hall. We hope to accommodate as many of these projects as we can. One thing I would stress is even though we create an affiliated entity and we put it off as a part of the University, but not really part, in the eyes of the rating agencies, that debt counts as University debt no matter what anyone else says. We have had some confusion about that in the past. We take a holistic look at how the affiliated entities fit in and we want to make sure that their goals that support the University fit in with our overall debt capacity and our overall ability to issue debt. So when you get final recommendations back in September, they will take that into account.

The memo lists the implications of these various recommendations, which we think are important. I won't go through all of those, they are in the document. The two things I would highlight -- because there have been questions on the Board's mind, appropriately -- is that we are putting a very high priority on dealing with our deferred maintenance issues. We have an aging physical plant and although expansion is important, it is important to take care of what we have first and these recommendations reflect that.

The fourth item is debt capacity; that is how much we can issue and maintain our AA credit rating. We are very cognizant of that and appreciate the Board's support in keeping that credit rating maintained and the recommendations that you will get back will be consistent with that.

As Mike mentioned, in the next steps we will be consulting with the deans and other stakeholders on the details of the North Academic Core Plan. I think it is safe to say that the deans were excited about the North Academic Core Plan conceptually, but their question is, as mine would be, "What's it going to cost me and what am I going to get out of it?" That is one of the things that we need to talk about with them and make sure that they are in alignment with us. As I mentioned, we also need to align the Medical Center Master Facilities Plan so the project can go forward and I will talk more about that next.

We need to explore those supplemental options I talked about for student housing, particularly for graduate and professional students. We hopefully will have some preliminary recommendations for you when we meet in September.

This next item is extremely important -- reconciling our fund raising expectations, which have now risen dramatically since yesterday, with planning for the next campaign. Part of the issue there is how much do we want to spend -- campaign effort resources -- on fund raising for buildings and how much do we want to spend on endowed chairs and scholarships, all of which are worthy goals. We just need to reconcile and make sure that the whole University is on the same page. When we talk about reconciliation, the next thing is to reconcile our unlimited funding demands with our available debt capacity so we protect the bond rating but move

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forward. As I mentioned, we would hope to return to you on September 21 to request approval of the capital plan.

In summary, I hope you have a sense of: what our criteria and process is for making these recommendations; how the recommendations are in alignment with the Academic Plan; why our highest priorities are the four items mentioned – North Academic Core, Medical Center Patient Care Facilities, Student Housing, Utilities infrastructure; the implications for the future, including deferred maintenance and debt capacity, again those are addressed in more detail in the memo; what are the next steps, which include those issues that I highlighted in the previous slide; and then coming back to you in September to ask for approval.

At this point, Mike and I will be glad to answer any questions you might have.

Mr. O'Dell:

This is a fascinating piece of work. I was thinking about the student housing conversations we have been having and am wondering that within this proposal if there is a specific date by which all the dorms are air conditioned, and all of the quads, sleeping arrangements, and gang showers are eliminated?

Mr. Shkurti:

Mr. O'Dell, let me turn to Rich Hollingsworth and I will let him answer that question.

Mr. Richard A. Hollingsworth:

The current plan is the continuation of a previous strategic plan that doesn't have most of that happening until somewhere around 2014. Part of what this task group will work on over the next month is going forward with a new and more strategic approach, which will look at things more holistic and look at creative alternatives. The goal is to figure out how can we accelerate that to get it done in the shortest period of time as possible.

Mr. O'Dell:

I think that is a pretty urgent matter. I am sure you all heard what Ohio University has recently announced, which is a very comprehensive plan, dramatic investment and increases in rental rates to pay for it. It appears that we are a little bit behind and we may be getting further behind if we don't really move forward.

Mr. Shukurti:

Mr. O'Dell, that point is well taken. What we are trying to balance is the need to move forward quickly in that area, but we need to move quickly in the medical area because that is a very competitive environment, and the need to put the infrastructure in place to support all of that. I hope that by the time we come back in September we have a sense for you of how all of that fits and that we have made the maximum use of our resources to accomplish those goals.

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Mr. Hicks:

Just to follow-up on that point on the residents – and I applaud you for putting together a group to look at alternative financing and alternative arrangements. You said specifically “for graduate and professional housing.” Will that group look at undergraduate student housing at all in the sense of could you do a similar thing for undergraduates as we are doing for the MBA housing, that would then free-up some debt limitation issues that we are obviously confined with?

Mr. Shukurti:

Mr. Hicks, everything is on the table but there are some differences between undergraduate and graduate housing. Rich, do you want to elaborate on that?

Mr. Hollingsworth:

Yes. I think that will certainly be on the table, particularly for upper-division undergraduate students. In Student Affairs, we believe, our core mission is to help first year students and some portion of the sophomore class. I think, absolutely, the notion of private sector development can be a tremendous addition to this. Student Affairs in some ways has been sinking over the years and has been in a silo and has not really thought holistically about what are all of the pieces. For example, the Gateway Project provides additional housing for the law students. We haven't talked with them in recent history about what are the other alternatives there. But private development like the MBA project had positive implications for upper-division undergraduate student housing as well. From my perspective, all of that is on the table at this point.

Mr. Hicks:

Good, great to hear.

Ms. Hendricks:

We just recently met with the regional campuses and you have listed there Regional Campuses renovations and additions. In checking, my understanding is that they get their own source of capital, or are they a part of our bonding?

Mr. Shukurti:

Ms. Hendricks, the answer to both questions is yes. They get their own source of state capital dollars via a formula that we pass on dollar for dollar to them. Just like for the Columbus Campus, the state money is not enough to do everything that needs to be done, so we have made available to them the ability to issue University-backed bonds. As you might imagine with all the demands on University-backed bonds, there is not unlimited amount.

Mr. Hendricks:

Okay. Thank you.

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Mr. Schottenstein:

That was a great presentation. When I reread this memo, it will make a little bit more sense to me and I appreciate that. I have one point and one question. The point is to reinforce what Brian Hicks just said about the importance of pursuing, analyzing, and assessing the viability given the limited resources of privatizing some of the housing options, both for graduates and undergraduates without compromising the student experience. I am a big advocate in at least seeing to it that we really give due diligence to assessing that.

This will probably underscore your first point, Bill, about changes in the Board. Listening to this very large, potentially dramatic plan for the North Academic Core, I'm sitting here thinking, "Where does that fit into an assessment of a Master Plan or a Master Space Study of the entire campus and all of its needs and why the north campus versus the total campus?" The horse may well be out of the barn, because of prior discussions that occurred before some of us came here. If they did so be it, but it is a little confusing. It appears to be a little bit of a one off, not a small one off, but a gigantic and frankly a very exciting project. The question rather is not about the value of it, but where it fits in contextually.

Mr. Shukurti:

Mr. Schottenstein, allow me to let Mike elaborate on it because he has been involved in the whole planning process. We do have a Master Plan for the whole University that we update periodically. This came out of that original process, but, I think, there are also some nuances that probably Mike is a little more familiar with than I am.

Dr. Sherman:

Basically, the concept follows the priorities from the last capital bill and looks at academic priorities through 2020 for the colleges in that district. The critical first step is going to be what the first project is. Since this goes across so many years, we have realized that there are space issues in terms of how effectively are we using our spaces; do we need as much as we have; and because there is a potential to add one million square feet in this district and reduce density, that gives opportunities to look at the need for entire buildings that, perhaps, represent large deferred maintenance costs. That is one opportunity from the space balance side of things.

The Space and Facilities Committee and Facilities Operations and Development have basically wrestled with that same question this year. Given the perspective you are asking about, and we are looking at funding internally a master space plan that would look at space within the academic campus. We can do that at the same time as we plan for the long-term North Academic Core

Mr. Shukurti:

The other thing I would say is that one of the things the Fiscal Affairs Committee -- which is where capital normally goes and Committee members can jump in if they feel I have mischaracterized or misunderstood them -- have said to us over the last two or three years is, "You guys have too narrow of a planning horizon, you need to think out longer term and more broadly." This is an attempt at least to start that dialogue. Now this may morph into other discussions, but we thought that we were at the point now that this deserved at least some initial conversations with the Board.

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You can give us some guidance, especially after you think about it and read the whole document, as to what the next steps might be. There is nothing that is sacred that says it has to be done in this particular way, at this particular time, but I think we do need to start looking out even beyond the six-year horizon as we look to where we want to be.

Amb. Ong:

Bill, I was trying to visualize this and think about what I know about that area – the north campus. It seems to me to get as many square feet as you are talking about, you are going to have to build some fairly high buildings. Is that an accurate perception?

Dr. Sherman:

Basically the plan has been looked at from the perspective of the Master Plan, which is four stories maximum and if it goes above four stories, setbacks. The perspective is consistent with the Master Plan.

Amb. Ong:

They will just be four story buildings?

Dr. Sherman:

No. If they go higher than four stories, they would be setback from the perspective of the density issue. None of the buildings are necessarily determined at this point in time to be of a particular height. This is really what a massing concept might be to gain efficiencies, effectiveness and to achieve the objectives of the Master Plan.

Mr. Shkurti:

I would add two things to what Mike has said. Because we haven't defined the specifics, one of the guidelines that the Board might want to think about is do we limit to four stories or do we limit to four stories with a setback up to six or not? That is one legitimate issue.

Amb. Ong:

Presumably you want to retain some green space in that area, and not cover the whole thing with concrete.

Mr. Shkurti:

If you walk through that area you will see Lord Hall is at an odd angle and because those buildings were all done individually we aren't making effective use of the ground space. The thinking is with some careful planning you can get more density there, have more green space, have it look better, and have it be more functional. That is going to require a great deal of forethought.

Dr. Sherman:

The conceptual framework does provide more green space exactly from this perspective.

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Mr. Alvarez-Breckenridge:

Mr. Shkurti, looking into the future and thinking about the dorm renovations and trying to connect these two things together -- the reduction in credit hours to 181 -- I know there is still work being done on the implications of that, but I talked to you at one point and you thought maybe we would have to increase enrollment possibly to compensate for that. With possibly larger incoming freshman classes that would need to be placed in the dorms, and if we are closing some of the dorms for renovations, how would that be reconciled?

Mr. Shkurti:

Mr. Alvarez-Breckenridge, in using the term "reconcile" you have hit on the key elements of what has to go on in this plan. We have multiple goals and we have to make sure that they work. That is why Rich mentioned, in an answer to Mr. Hicks' or Mr. Schottenstein's question, what we may do is look for ways near or off campus to have upperclassmen, graduate, and professional students live, so we free up more of the on-campus residence hall spaces for new freshmen. That is one way to deal with it and the other way is to provide other options.

One of the things we also have to take into account with the reduction in credit hours is students will graduate sooner. That will reduce the number on one side, but to make up for that we are going to have to increase the freshman class, although we have done some of that already and we are accommodating them. There are a number of different variables that we have to work through to make sure that we don't shoot ourselves in the foot in the end by not taking that into account. That is why the implication's section of the memo is important and this is one of the implications in terms of how we are making other decisions.

A couple of years ago we were having a real uptake in research coming in to the University, so we wanted to make sure that we had enough research facilities. Since then, some of that has flattened off, predictably the federally-funded research. You find that in almost every case where you have a five or six-year lead time in buildings. But the trends don't always go the way you think they are and so how do you build that flexibility into it as well. It is a fair question and we don't have the perfect answer for you yet, but by the time we come back in September we ought to at least be able to give you a feeling that we thought those through and have a method for dealing with it.

Mr. Alvarez-Breckenridge:

Thank you.

Dr. Cloyd:

I have two comments before we move to the next report. I think this was very, very helpful. I want to commend what your team has been doing not only looking longer range, but to start to get some integrated multi-college involvement in the plans. I think that is a terrific approach. I don't know exactly where we are going to come out, but it just feels, directionally, like the right thing to do.

I think it would be important when we come back in September to go even a little bit deeper on the deferred maintenance part. I don't know what else you can do in the modeling context, but it will be very important that we

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come out of this plan with a sense of “we are going to be just fine,” “we are very happy with where we are and where we will be with whatever kind of benchmarks we have.” “However you want to do the modeling on the probabilities about what would be new construction and the age of our current facilities where they come down,” it would be good in this time period to work that one with some depth. We want to come out with a plan that we all feel very satisfied with.

Mr. Shkurti:

Okay; we will do that.

Dr. Cloyd:

Good. Thank you very much.

(See Appendix I for background material, page 105.)

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UPDATE ON MEDICAL CENTER FACILITIES PLAN AND GOVERNANCE

Mr. William J. Shkurti:

This is a follow-up to the June 1 presentation that Chris and I made on this topic, which is “Where do we go next with the Medical Center Master Facilities Plan?” What I will be able to summarize for you is what has been done with the consultants, which has, in fact, been very helpful. Although we still have a long way go, I think that over the last month there has been progress made and I will highlight where that is.

At the Board’s request, first and foremost we did develop a decision tree and timeline. You have attached to the document a representation of it -- Exhibit 1: Timeline of Key Decisions -- that Deloitte and Hammes helped us put together. The main point is that they have identified a five-step process. Step 1 is New Organization Design in month 1, which I would call June. This is where I think we’ve already made a great deal of success and I will elaborate on that. Months 2 to 3, which are steps 2 and 3 -- Fact Base for Revising the Master Facilities Plan and the Critical Attributes -- we will be working on over the summer. We hope to get into step 4, which is the Proposed New Master Facilities Plan -- I say get into, not resolve -- and to be back with an update on where we are on that by the September meeting. I think we are meeting that requirement.

I mentioned that an administrative structure has been established, at least in my opinion, and let me explain why that is the case. You also have attached a memorandum that Dr. Cloyd sent to the Medical Center leadership on June 20 that lays out how this project should move forward and what I would call the administrative structure. It answers a lot of the questions that were in Deloitte and Hammes Phase 1. He has asked me, with Chris’ help, to take the lead in moving the process forward to the next phase, which would be the timeline that we have talked about. And then he has also asked Pete Geier, Dave Schuller, and Chip Souba, from the Medical Center, to join us in a small workgroup that moves this forward. That workgroup has already met once and we have had, I think, agreement on the process we want to use to move forward. I think it was very encouraging. Everybody is committed to making this project move forward, because it is extremely important to the Medical Center and the University. I don’t want to imply that there aren’t some differences in perspective

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regarding priorities, and that is what we will be working on over the summer and hope to have some progress there. The group is up and working, we have a timeline set out, and we will continue to move forward.

The two things I would also like to highlight from Dr. Cloyd's memo: 1) objective #3, "Define an approach to maximize private philanthropic support..." is something we all agreed upon and we expect Dr. Gee will be a big help to us in moving that forward. And 2) objective #6, "Ensure that hospitals within the health system, including The James, are managed and governed consistent with an approved five-year financial plan. This includes collaboration and sharing of services to minimize duplication and unnecessary expense." As you know, Chris has been working on a revised governance structure for The James that is necessary to maintain the PPS exemption. We certainly want to do that and at the same time we want to be able to take advantage of the synergies of all of the different activities that go on in the Medical Center, so that the whole is greater than the sum of its part. I feel good about where we are on that.

One of the questions you may wonder is – because I get this from reporters a lot – "When are the final reports from Deloitte and Hammes going to be available?" They are actually working on editing the final versions. This has been a little more complex and a little longer than anybody realized, but we expect those reports to be finalized before the September Board meeting. When they are finalized, we will send information out to the Board, probably a summary, and make available to whoever wants it the full reports which will be quite substantial. We hope to be able to treat those as public documents, which they will be, but we need to be sure everybody has seen them and they are ready to go. I think you will be able to have the opportunity to review those reports before the September Board meeting. So when we go to next steps, you will have some sense of what that is.

The final point is that the next progress report will be made to the full Board in September and we hope – I am cautiously optimistic – that we will have good progress to report to you so that this important project can move forward.

Mr. Culley, is there anything you would like to add?

Mr. Christopher M. Culley:

Not to that report. I guess I could give an update on the CMS issue. We did get a response from CMS and they have asked for additional information, so that is being provided to them right now. The information they requested was more in the nature of historical records to support the representations, I believe, that we made in our letter to them. It looks like a natural response on their part and we are expediting our response back to them so that we can hopefully get a resolution from CMS as soon as possible.

Dr. Cloyd:

Great. Questions from the Board? Thank you. Let me just note, Bill and Chris and all of the folks that have been working on this, as we've said a number of times this is by far the largest and most complex project the University has ever undertaken. I think the deep, thoughtful, and very difficult work that you and your colleagues are doing right now to get us to a really sound plan that we can execute is the right thing to do. The execution ultimately is also going to be a huge challenge in its own regard. We really need to make sure that we are all aligned at the start on what we are going to do and where we will have decision points and milestones. I know that is

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difficult trench work and I complement and thank all of you who have been doing it. Thank you.

(See Appendix II for background material, page 143.)

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CONSENT AGENDA

President Joseph A. Alutto:

We currently have 19 resolutions on the Consent Agenda today and would like to hold a separate vote for #14 – Waivers of Competitive Bidding Requirements. We are seeking your approval for:

AMENDED COMMITTEE APPOINTMENT

Resolution No. 2008-1

BE IT RESOLVED, That the appointment to the Academic and Student Affairs Committee be approved as follows:

Academic and Student Affairs Committee:

Douglas G. Borrer, Chair
John D. Ong, Vice Chair
Robert H. Schottenstein
G. Gilbert Cloyd
Thekla R. Shackelford
Alex Shumate
John C. Fisher
ALGENON L. MARBLEY
Debra J. Van Camp

REGIONAL CAMPUS BOARDS APPOINTMENTS

Resolution No. 2008-2

Synopsis: Approval of appointments to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that “the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;” nine members shall be private citizens; and one member shall be a student; and

WHEREAS the following named individuals have been nominated and selected for appointments to the following Regional Campus Boards for the terms specified:

Lima Board

Jered M. Slusher (student), July 1, 2007 – June 30, 2008

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Mansfield Board

Chriss Harris, 1st term, July 1, 2007 – June 30, 2010
Sara M. Fisher (student), July 1, 2007 – June 30, 2008

Marion Board

M. Brad Reynolds, 1st term, July 1, 2007 – June 30, 2010
Michal M. Shirley (student), July 1, 2007 – June 30, 2008

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of the respective Regional Campus Boards for the terms specified.

HOSPITALS BOARDS APPOINTMENTS/REAPPOINTMENTS

Resolution No. 2008-3

Synopsis: Recommending approval of appointments/reappointments to the University Hospitals Board, the University Hospitals East Board, OSU Harding Hospital Board and the Richard M. Ross Heart Hospital Board.

WHEREAS in accordance with University Hospitals Board Bylaw (3335-104-01) all members of a specialized board shall be appointed by The Ohio State University Board of Trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the University:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed/reappointed as follows:

University Hospitals Board

David P. Lauer (reappointment) - Chair, effective July 1, 2007,
through June 30, 2008
Nancy Petro (appointment) – Vice Chair, effective July 1, 2007,
through May 31, 2008

University Hospitals East Board

George A. Skestos (appointment) – Chair, effective July 1, 2007,
through June 30, 2008

OSU Harding Hospital Board

Anne K. Jeffrey (appointment) – Chair, effective July 1, 2007,
through June 30, 2008
Peter F. Frenzer, (appointment) – Vice Chair, effective July 1, 2007,
through June 30, 2008

Richard M. Ross Heart Hospital Board

John B. Gerlach Jr., (reappointment) – Chair, effective September 1, 2007,
through August 31, 2008

**AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF AND
RULES AND REGULATIONS OF THE MEDICAL STAFF OF THE
ARTHUR G. JAMES CANCER HOSPITAL AND
RICHARD J. SOLOVE RESEARCH INSTITUTE**

Resolution No. 2008-4

Synopsis: The amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, pursuant to bylaw 3335-109-02 of the *James Cancer Hospital Board Bylaws*, is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws of the Medical Staff and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; and

WHEREAS the proposed amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on January 30, 2007 and April 3, 2007.

NOW THEREFORE

BE IT RESOLVED, That the following amendments be hereby adopted.

Amended Bylaws

3335-111-04 Membership.

(A) through (D) unchanged.

(E) Procedure for appointment.

(1) and (2) unchanged.

(3) An application for membership on the medical staff shall be considered complete when all the information requested on the application form is provided, the applicant signs the application and the information is verified. A completed application must contain:

(a) through (l) unchanged.

(m) Completion of criminal history check by the Ohio state university medical center security department.

(n) Completion of the Ohio state university medical center drug testing.

(o) Verification of completion of HIPAA education program.

(4) through (14) unchanged.

(F) Procedure for reappointment.

(1) and (2) unchanged.

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- (3) An application for reappointment is complete when all the information requested has been submitted and/or verified. A completed reappointment application must contain:

(a) through (n) unchanged.

(o) Attending physicians only: submit information summarizing clinical research activities with each application.

(4) through (11) unchanged.

(G) Unchanged.

3335-111-07 Categories of the medical staff.

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, community oncologist attending, community associate, and limited designations. All medical staff members with admitting privileges may admit patients in accordance with state law and criteria for standards of care established by the medical staff.

(A) through (H) unchanged.

(I) Clinical privileges.

(1) through (9) unchanged.

(10) Disaster privileges:

~~Disaster privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy and only when the following two conditions are present: the emergency management plan has been activated and the hospital is unable to meet immediate patient needs. Such privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff. The director of medical affairs may seek the recommendation of the chief of the clinical department or the section chief.~~ These privileges will be limited in scope and will terminate once the disaster situation subsides or at the discretion of the director of medical affairs.

(11) Telemedicine:

Telemedicine involves the use of electronic communication or other communication technologies to provide or support clinical care at a distance. Diagnosis and treatment of a patient may now be performed via telemedicine link.

(a) Unchanged.

(b) ~~Generally, a member of the medical staff will be entitled to the same clinical privileges via telemedicine for which he has been granted privileges.~~ The credentials committee, the chief of the clinical service, the director of medical affairs or the medical staff administrative committee shall have the prerogative of requiring

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documentation or making a determination of the appropriateness of the exercise of a particular specialty/subspecialty via telemedicine.

Amended Rules

05 ORDER WRITING PRIVILEGES.

(A) and (B) unchanged.

(C) Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, member of the limited medical staff, or other licensed healthcare professionals with appropriate clinical privileges only to health care providers who have been approved in writing by title or category by the director of medical affairs, the director of the CHRI, and each chief of the clinical service where they will exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospital, or by the customary medical staff credentialing process when the provider is not an employee of the hospital. Lists of the approved titles or categories of providers shall be maintained by the director of medical affairs. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. The order is to be written and signed by approved health care provider to whom it is dictated or given as "verbal order by _____," or "V.O. or T.O. by _____," giving the licensed healthcare practitioner's name and the time of the order, followed by the approved health care provider's signature and date, and read back in its entirety to the ordering physician, dentist, psychologist, podiatrist, designated limited staff member, or other licensed healthcare professionals with appropriate clinical privileges. All telephone and verbal orders for DEA schedule II controlled substances must be authenticated within one (1) day by signature of a licensed physician, dentist, podiatrist, psychologist, ~~or designated limited staff member or other licensed healthcare professionals with appropriate clinical privileges.~~ All other verbal and telephone ~~and verbal~~ orders ~~for patient seclusion and patient restraint must be authenticated within one (1) day by signature of a licensed physician, dentist, podiatrist, psychologist, designated limited staff member or other licensed healthcare professionals with appropriate clinical privileges.~~ All other verbal and telephone orders must be authenticated within ~~twenty-one (21) days of discharge or ambulatory visit~~ forty-eight (48) hours by signature by a licensed physician, dentist, podiatrist, psychologist, limited staff member, or other licensed healthcare professionals with appropriate clinical privileges.

(D) through (L) unchanged.

APPROVAL OF NON-ACADEMIC "CENTER" AND "INSTITUTE" STATUS

Resolution No. 2008-5

Synopsis: Approval of non-academic "center" and "institute" status is proposed.

WHEREAS faculty Rule 3335-3-36 (A) specifies that: Use of 'center' or 'institute' in the names of proposed units of the university shall be limited to academic centers, unless approved by the Council on Academic Affairs; and

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WHEREAS requests have been received from the College of Medicine, College of Public Health, and the Office of Research for use of these terms as follows:

College of Medicine
Center on Advanced Radiation Technology and Therapy
Radiosurgery Center for Brain and Spine
OSU Sports Medicine Center

College of Medicine and College of Public Health
Center for Global Health

Office of Research
Institute for Energy and the Environment

WHEREAS subsequent use of the term “academic” center/institute will require that a formal proposal for academic center status will need to be submitted to the Council on Academic Affairs for full review for each of these units.

WHEREAS the Council on Academic Affairs reviewed these requests at its meetings on May 30, 2007 and June 20, 2007 and had no objections:

NOW THEREFORE

BE IT RESOLVED, That the “Center on Advanced Radiation Technology and Therapy”; the “Radiosurgery Center for Brain and Spine”; the “OSU Sports Medicine Center”; the “Center for Global Health” and the “Institute for Energy and the Environment” are hereby approved, effective immediately.

**NAMING OF THE KEN SWIGART HUMAN
MOTION TRANSLATIONAL LABORATORY**

Resolution No. 2008-6

Synopsis: Recommendation to name the Motion Analysis/Biomechanics lab in Dodd Hall (Rooms 1054, 1060, and 1060A) on the Medical Center campus that provides a space for patients in the early stages of neuromuscular disease to receive analysis, treatment, and diagnosis.

WHEREAS Dodd Hall rehabilitation is a world-renowned facility for diagnosing and treating patients suffering from neuromuscular and degenerative diseases; and

WHEREAS Ty Bryant’s son Christopher was a patient at Dodd Hall after suffering from a spinal cord injury, and “graduated” from treatment as a high-level quadriplegic in 1997 but passed away in 2005; and

WHEREAS Ty Bryant’s friend, Ken Swigart, began struggling with his own health problems and was diagnosed with ALS after two years of testing and delays; and

WHEREAS Ty Bryant and Ken Swigart have established a company whose devices are designed to make life easier for those with stroke and spinal cord injury; and Ty Bryant’s contributions to Dodd Hall will be utilized to upgrade technology and purchase additional state-of-the-art equipment that will provide for a digital system for the early discovery of ALS:

NOW THEREFORE

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BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that the aforementioned lab in Dodd Hall be named the "Ken Swigart Human Motion Translational Laboratory."

**NAMING OF THE HELEN DEACON SWANK
VOICE TEACHING AND RESEARCH LABORATORY**

Resolution No. 2008-7

Synopsis: Recommending the naming of a new research laboratory and teaching facility that will support the undergraduate and graduate Voice Pedagogy programs.

WHEREAS The Ohio State University School of Music is creating a voice pedagogy and research laboratory; and

WHEREAS Helen Deacon Swank received both a Bachelor of Music Education degree ('53) and Master of Arts degree ('66) degree from The Ohio State University, and taught on the faculty of The Ohio State University School of Music from 1967-1991 and provided leadership for the Vocal Performance Area from 1980 until her retirement; and

WHEREAS Helen Deacon Swank created The Ohio State University School of Music's nationally recognized graduate program in voice pedagogy, one of the first in the country, and is a widely-recognized voice teacher whose many outstanding students populate colleges, universities and concert stages throughout the country; and

WHEREAS she and her husband C. William Swank have been long-time friends and supporters of The Ohio State University:

NOW THEREFORE

BE IT RESOVLED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees approves that this new research laboratory located, in Mershon Auditorium, Room 304A, be named the "Helen Deacon Swank Voice Teaching and Research Laboratory."

NAMING OF INTERNAL SPACES

Resolution No. 2008-8

Synopsis: The naming of internal space within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Planning Cabinet, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

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BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Project: Scott Laboratory, 201 West 19th Avenue

- Richard and Shirley Spencer Student Team Room, room N148
- William E. Fillmore Classroom, room N048
- David and Anna Ashley Conference Room, room N435
- Michael A. Chaszeyka Non-Equilibrium Thermodynamics Laboratory, rooms W477, W478
- Kurt M. Marshek Faculty Office, room E502
- William Lowrie Conference Room, room W190
- Charles and Lillian Heisler Student Resource Room, room N151
- Emerson Climate Technologies/Copeland Classroom, room E203
- Max W. Holzer Faculty Lounge, room E539
- Norma and Richard Hoover Gear and Mechanisms Display, room E439
- Evelyn and Gary Kinzel Reception Space, room N250
- Judy and Bruce Lavash Computing Classroom, room E205
- Frederick Meyers Student Organization Room, room N252
- Anthony Luscher Student Team Room, room N156
- W. Scott Schaefer Classroom, room E103
- William Mack Watkins and Elizabeth Ann Trinkler Watkins Classroom, room E241
- Randy and Tracy Edwards Conference Room, room E339
- W. Norman Shade Conference Room, room N353
- Cindy and Dan Kimmet Computing Classroom, room E225
- John and Suzanne Smucker Faculty Conference Room, room E525

Project: Recreation & Physical Activity Center, 337 West 17th Avenue

- Marty Bailey Scuba Room, room C98

Project: Eugene Maynard Hall, 1465 Mt. Vernon Ave., Marion, OH

- Mark and Debbie, Andrew and Christopher Cramer Advising Suite, room 100

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2008-9

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on May 31, 2007:

Amended Rules

3335-5-47 Organizing committees of the senate.

(A) Unchanged.

(B) Membership.

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(1) and (2) unchanged.

(3) Terms of service.

- (a) Faculty members shall serve two-year terms and ~~shall not be eligible for immediate reelection until one full year has elapsed unless they have served less than two thirds of a full term are eligible for immediate reelection or reappointment to a second term.~~ Following that they are ineligible for reelection or reappointment until one full year has elapsed. Faculty members may not serve as chair of an individual committee for more than three successive years.

(b) through (d) unchanged.

(C) Unchanged.

3335-5-48 Standing and special committees of the senate.

(A) Unchanged.

(B) Membership.

(1) and (2) unchanged.

(3) Terms of service.

- (a) Faculty members shall serve three-year terms and are eligible for immediate reappointment to a second term. Following that they are ineligible for reappointment until one full year has elapsed. Faculty members may not serve as chair of an individual committee for more than three successive years.

(b) Unless otherwise specified by the rules, student members shall serve one-year terms and be eligible for; voting faculty and appointed administrative members shall serve three-year terms and shall be ineligible for immediate reelection or reappointment until one full year has elapsed unless they have served less than two thirds of a full term reappointment.

(c) Appointed administration members shall serve two-year terms and shall not be eligible for immediate reappointment until one full year has elapsed unless they have served less than two thirds of a full term.

(d) Multiyear terms shall be staggered so that approximately equal proportions of the number of members retire from the committee each year.

(C) Unchanged.

Rescinded Rule

~~3335-5-48.14 Research committee.~~

~~(A) Membership.~~

~~The research committee shall consist of twenty-six members.~~

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~~(1) Sixteen faculty.~~

~~(a) Ten regular tenure-track faculty to be determined by the established procedures of the research and graduate council. These faculty must be members of the research and graduate council and represent the ten areas of the graduate school. The term of service is four years.~~

~~(b) Six regular tenure-track faculty selected by the faculty council. Of these six, one must serve on a regional campus and no more than one may be from any individual college. The term of service is four years.~~

~~(2) One research scientist, or comparable staff member engaged in research, appointed by the senior vice president for research and ratified by the steering committee. The term of service is one year and the member may be reappointed for a maximum of three years.~~

~~(3) One postdoctoral scholar appointed by the dean of the graduate school and ratified by the steering committee. The term of service is one year and the member may be reappointed for a maximum of three years.~~

~~(4) Five students.~~

~~(a) Three graduate students.~~

~~(b) One professional student.~~

~~(c) One undergraduate student.~~

~~(5) Three administrators, non-voting.~~

~~(a) The senior vice president for research.~~

~~(b) The dean of the graduate school.~~

~~(c) The executive director of the Ohio state university research foundation.~~

~~(B) Duties and responsibilities.~~

~~(1) Encourage and stimulate scholarly research and creative activity and foster a close relationship between education and scholarly research.~~

~~(2) Review current policies, initiate recommendations for change, and review proposed changes in practices and policies governing the conduct of research and scholarly activity including, but not limited to, policies required by the federal government, internal policies related to grants and contracts administration, policies related to the allocation of internal funds to research activities, and policies related to academic centers that are engaged in research. Recommendations will be forwarded to appropriate persons, offices, and/or bodies.~~

~~(3) Serve as an advisory committee to the senior vice president for research.~~

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- ~~(4) Make recommendations to the research and graduate council about establishing, affiliating, or abolishing academic centers and other comparable organizations that are engaged in research and make recommendations for determining the membership or authorities of their boards or governing bodies.~~

~~(C) Organization.~~

- ~~(1) The committee shall serve as the research committee of the university senate and the research and graduate council and shall report to both bodies. Recommendations requiring formal action will be submitted to the university senate and/or the research and graduate council, as appropriate.~~
- ~~(2) The chair shall be elected each year by the committee from among its faculty members. The chair will be an ex officio member of the research and graduate council if not already a member, and shall serve on the executive committee of the research and graduate council.~~
- ~~(3) As a standing committee of the research and graduate council, this committee is also governed by the provisions of rules 3335-5-35 and 3335-5-36 of the Administrative Code.~~
- ~~(4) As a standing committee of the senate, this committee is also governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code~~

New Rule

3335-5-48.14 University research committee.

(A) Membership.

The university research committee shall consist of 16 voting and 3 non-voting members.

- (1) Twelve regular faculty members drawn from no less than eight colleges. Faculty with joint appointments across colleges may be chosen to represent either college. The term of service is three years.
 - (a) Eight regular faculty members appointed by the executive committee of faculty council, at least three of whom are members of the senate and at least one of whom is a distinguished university professor or Ohio eminent scholar.
 - (b) Four regular faculty members appointed by the president.
- (2) One research scientist, or comparable staff member engaged in research, appointed by the senior vice president for research. The term of service is three years.
- (3) Three students appointed by the respective governing bodies to one-year terms.
 - (a) Two graduate students.

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(b) One undergraduate student.

(4) Ex officio members, non-voting (or their designees).

(a) The senior vice-president for research.

(b) The dean of the graduate school.

(5) The senate fiscal committee chair, or her or his designee, nonvoting.

(B) Duties and responsibilities.

(1) Encourage and stimulate scholarly research and creative activity and foster a close relationship between education and scholarly research.

(2) Advise the senior vice president for research.

(3) Review, on a continuing basis, the policies and practices governing the conduct of research and scholarly activity.

(4) Coordinate with the governing bodies of the graduate school and with the office of undergraduate research.

(5) Make recommendations concerning the establishment, affiliation or abolition of centers or comparable organizations that are primarily engaged in research.

(6) Collaborate in reviews of the budget of the office of research undertaken by the senate fiscal committee.

(C) Organization.

(1) The committee shall annually elect a chair from its regular faculty membership in the spring quarter preceding the academic year of service for no more than three consecutive one-year terms.

(2) As a standing committee of the senate, this committee is also governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES – SUMMER QUARTER COMMENCEMENT

Resolution No. 2008-10

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

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WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 26, 2007, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2008-11

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 1, 2007 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointments of Chairpersons/Director, Amendment of Contract for Director of Athletics, Leaves of Absence Without Salary, Leave of Absence Without Salary – Continuation, Professional Improvement Leaves, Professional Improvement Leaves – Change in Dates, Emeritus Titles, Emeritus Title – change in date, and salary rolls with promotion and tenure, as detailed in the University Budget, be approved; and

BE IT FURTHER RESOLVED, That following a public hearing on July 6, 2007, David O. Frantz is being rehired to his previous administrative position, Secretary of the Board, as a returning retiree effective August 2, 2007.

Appointments

Name: DAVID J. HART
Title: Professor (The M.S. Newman Professorship)
Department: Chemistry
Effective: October 1, 2007 through September 30, 2014

Name: SUSAN V. OLESIK
Title: Professor (Dow Professorship in Chemistry)
College: Mathematical and Physical Sciences
Effective: October 1, 2007 through September 30, 2014

Name: DORU M. STEFANESCU
Title: Senior Research Scientist (The Ashland Designated Research Professorship)
Department: Materials Science and Engineering
Effective: July 1, 2007 through June 30, 2010

Reappointments

Name: JAY D. IAMS
Title: Professor (The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

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Name: CARL V. LEIER
Title: Professor (The James W. Overstreet Chair in Cardiology)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: W. JERRY MYSIW
Title: Associate Professor (The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: THOMAS L. SPORLEDER
Title: Professor (The Farm Income Enhancement Endowed Chair in Agricultural Policy, Trade and Marketing)
College: Food, Agricultural, and Environmental Sciences
Effective: July 1, 2007 through June 30, 2012

Name: PHILIP F. BINKLEY
Title: Professor (The James Hay and Ruth Jansson Wilson Professorship in Cardiology)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: JACK A. BOULANT
Title: Professor (Fred A. Hitchcock Professorship in Environmental Physiology)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: SAMSON T. JACOB
Title: Professor (The William C. and Joan E. Davis Cancer Research Professorship)
Center/Hospital: Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute
Effective: July 1, 2007 through June 30, 2011

Name: MICHAEL F. PARA
Title: Professor (Frank E. and Mary W. Pomerene Professorship in the Prevention and Treatment of Infectious Diseases)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: WILLIAM S. PEASE
Title: Professor (The Dr. Ernest W. Johnson Professorship)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Name: WILLIAM L. SMEAD
Title: Associate Professor (The Luther M. Keith Professorship in Surgery)
College: Medicine
Effective: July 1, 2007 through June 30, 2011

Appointment of Chairperson

MICHAEL J. BOEHM, Department of Plant Pathology, effective July 1, 2007 through June 30, 2011.

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Reappointments of Chairperson/Director

JAMES E. KINDER, Department of Animal Sciences, effective July 1, 2007 through June 30, 2011.

STEVEN A. SLACK, OARDC, effective July 1, 2007 through June 30, 2011.

Amendment of Contract for Director of Athletics

EUGENE D. SMITH, contract amended, effective July 1, 2007 through June 30, 2012.

Leave of Absence Without Salary

JAMES J. BRUDNEY, Newton D. Baker/Baker and Hostetler Chair in Law and Professor, effective Spring Semester 2008, to be a visiting professor at Harvard Law School.

SHARON L. DAVIES, Professor, College of Law, effective Spring Semester 2008, to work on book project.

IVO HERZOG, Associate Professor, Department of Mathematics (Lima), effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008, to accept a position as a Visiting Faculty Member, Department of Mathematics, Yonsei University, South Korea.

KENNETH E. RINALDO, Associate Professor, Department of Art, effective Autumn Quarter 2007, to accept the Jill Kraus Visiting Professorship, School of Art, Carnegie Mellon University.

MARC S. SPINDELMAN, Associate Professor, College of Law, effective Autumn Semester 2007 and Spring Semester 2008, to accept a Visiting Professorship, College of Law, University of Michigan.

LEILA HEIL, Assistant Professor, School of Music, effective Autumn Quarter 2007, to accept an administrative opportunity at Cherry Creek High School, Denver, Colorado.

R. VALERIE KANEKO LUCAS, Assistant Professor, Department of Theatre, effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008, for personal reasons.

IRENE MASING-DELIC, Professor, Department of Slavic and East European Languages and Literatures, effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008, to continue a Visiting Adjunct Professorship, Department of Slavic Languages, University of North Carolina at Chapel Hill.

Professional Improvement Leaves

GREGORY R. BAKER, Professor, Department of Mathematics, effective Autumn Quarter 2007 and Winter Quarter 2008.

JEFFREY J. DANIELS, Professor, School of Earth Sciences, effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

RICHARD J. FURNSTAHL, Professor, Department of Physics, effective Autumn Quarter 2007 and Winter Quarter 2008.

NICHOLAS G. HALL, Professor, Department of Management Services, effective Winter Quarter and Spring Quarter 2008.

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NEAL H. HOOKER, Associate Professor, Department of Agricultural, Environmental, and Development Economics, effective Winter Quarter and Spring Quarter 2008.

JAY F. MARTIN, Associate Professor, Department of Food, Agricultural, and Biological Engineering, effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

EMILY M. RODGERS, Associate Professor, School of Teaching and Learning, effective Winter Quarter and Spring Quarter 2008.

LESLIE D. STOEL, Associate Professor, Department of Consumer Sciences, effective Autumn Quarter 2007 and Winter Quarter 2008.

Professional Improvement Leaves — Change in Dates

PAUL R. BERGER, Professor, Department of Electrical and Computer Engineering, change leave from Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008, to Winter Quarter and Spring Quarter 2008.

HESHAM M. EL GAMAL, Associate Professor, Department of Electrical and Computer Engineering, change leave from Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008, to Autumn Quarter 2007 and Winter Quarter 2008.

Emeritus Titles

REINHART F. BUTTER, Department of Industrial, Interior and Visual Communication Design, with the title Professor Emeritus, effective July 1, 2007.

DAVID O. FRANTZ, Department of English, with the title Professor Emeritus, effective August 1, 2007.

JERRY R. LADMAN, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective July 1, 2007.

WILLIAM E. LOADMAN, School of Educational Policy and Leadership, with the title Professor Emeritus, effective July 1, 2007.

WILLIAM B. MALARKEY, Department of Internal Medicine (Endocrinology), with the title Professor Emeritus, effective July 1, 2007.

L. ALAYNE PARSON, Department of Mathematics, with the title Professor Emeritus, effective September 1, 2007.

VALAYAMGHAT RAGHAVAN, Department of Plant Cellular and Molecular Biology, with the title Professor Emeritus, effective July 1, 2007.

STEPHEN M. REED, Department of Veterinary Clinical Sciences, with the title Professor Emeritus, effective July 1, 2007.

DUANE W. ROLLER, Department of Greek and Latin (Lima), with the title Professor Emeritus, effective July 1, 2007.

JOSEPH R. TEBBEN, Department of Greek and Latin (Newark), with the title Professor Emeritus, effective July 1, 2007.

NICHOLAS J. TETERIS, Department of Obstetrics and Gynecology, with the title Professor Emeritus, effective July 1, 2007.

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ALLAN J. YATES, Department of Pathology, with the title Professor Emeritus, effective September 1, 2007.

ELEANOR S. BLOCK, University Libraries, with the title Associate Professor Emeritus, effective September 1, 2007.

JOEL G. LUCAS, Department of Pathology, with the title Professor - Clinical Emeritus, effective July 1, 2007.

SEDIGHEH KEYHANI-ROFAGHA, Department of Pathology, with the title Professor - Clinical Emeritus, effective July 1, 2007.

DAVID L. CLARK, Department of Biomedical Informatics, with the title Associate Professor Emeritus, effective July 1, 2007.

LINDA K. MULLIGAN, Department of Sociology (Marion), with the title Associate Professor Emeritus, effective August 1, 2007.

BARRY M. FRIEDMAN, Department of Internal Medicine, with the title Assistant Professor Emeritus, effective October 1, 2007.

DAVID W. KRAMER, Department of Evolution, Ecology and Organismal Biology (Mansfield), with the title Assistant Professor Emeritus, effective July 1, 2007.

Emeritus Title – change in date

RAPHAEL T. GEORGE, Department of Consumer Sciences, with the title Associate Professor Emeritus, change effective date of September 1, 2007, to January 1, 2008.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

Bales, Melanie, Dance, effective October 1, 2007

Eliot, Karen, Dance, effective October 1, 2007

Harvey, Rebecca, Art, effective October 1, 2007

PROMOTION TO PROFESSOR WITH TENURE

Petry, Susan Van Pelt, Dance, effective July 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Emoff, Ron, School of Music, Newark, effective October 1, 2007

Leasure, Timothy Lee, School of Music, effective October 1, 2007

Youngs, Amy Michelle, Art, effective October 1, 2007

TENURE [at rank of Associate Professor]

Price, Alan, Industrial, Interior and Visual Communication Design, effective October 1, 2007

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Wenzel, John, Entomology, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Suo, Zucai, Biochemistry Admin, effective October 1, 2007

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MAX FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR

Klein, Howard, FCOB Mgmt & Human Resources, effective October 1, 2007

COLLEGE OF DENTISTRY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Huja, Sarandeep Singh, effective October 1, 2007

Schricker, Scott Raymond, effective October 1, 2007

**COLLEGE OF DENTISTRY
REGULAR CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Kalmar, John Richard, effective October 1, 2007 & October 1, 2008

REAPPOINTMENT

Iannucci, Joen M, effective October 1, 2008

Sharples II, Dale Stanley, effective October 1, 2008

Villarroel, Soraya C, effective October 1, 2008

COLLEGE OF EDUCATION AND HUMAN ECOLOGY

PROMOTION TO PROFESSOR

Dillard, Cynthia, School of Teaching & Learning, effective October 1, 2007

Medeiros, Lydia, Human Nutrition, effective October 1, 2007

Petosa, Richard, School of Physical Activity & Educational Services, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bomser, Joshua A, Human Nutrition, effective October 1, 2007

Hawley, Joshua D, School of Physical Activity & Educational Services, effective October 1, 2007

Hirvela, Alan, School of Teaching & Learning, effective October 1, 2007

Voithofer, Richard J., School of Educational Policy & Leadership, effective October 1, 2007

Zirkle, Christopher J, School of Physical Activity & Educational Services, effective October 1, 2007

TENURE [at rank of Associate Professor]

Danforth, Scot, School of Teaching & Learning, effective October 1, 2007

Katz, Laurie J, School of Teaching & Learning, effective October 1, 2007

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Agrawal, Gagan, Computer Science & Engineering, effective October 1, 2007

Cadwell, Michael, Knowlton School of Architecture, effective October 1, 2007

Parent, Richard, Computer Science & Engineering, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Cowley, Jennifer Evans, Knowlton School of Architecture, effective October 1, 2007

Dapino, Marcelo J, Mechanical Engineering, effective October 1, 2007

Ferhatosmanoglu, Hakan, Computer Science & Engineering, effective October 1, 2007

Foster, Diane L, Civil, Environmental Engineering & Geodetic Science, effective October 1, 2007

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Hansford, Derek J, Biomedical Engineering, effective October 1, 2007
Kusaka, Isamu, Chemical & Biomolecular Engineering, effective October 1, 2007
Li, Ju, Materials Sciences & Engineering, effective October 1, 2007
Lu, Wu, Electrical & Computer Engineering., effective October 1, 2007
Xuan, Dong, Computer Science & Engineering, effective October 1, 2007

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Boehm, Michael, Plant Pathology, effective October 1, 2007
Cardina, John, Horticulture & Crop Science, effective October 1, 2007
Moore, Richard, Human & Community Resource Development, effective October 1, 2007
Roe, Brian, Agricultural, Environmental & Development Economics, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR HAS TENURE

La Barge, Gregory, OSUE County Operations, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Davis, Gregory Alan, OSUE County Operations, effective October 1, 2007
Goebel, Patrick Charles, School of Environment & Natural Resources, effective October 1, 2007
Graham, Gary William, OSUE County Operations, effective October 1, 2007
Roberts, Matthew Christopher, Agricultural, Environmental & Development Economics, effective October 1, 2007
Wang, Hua, Food Science & Technology, effective October 1, 2007
Wu, Steven Yu-Ping, Agricultural, Environmental & Development Economics, effective October 1, 2007

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Conn, Steven, History, effective October 1, 2007
Cornell, Saul, History, effective October 1, 2007
D'Arms, Edward Justin, Philosophy, effective October 1, 2007
Jones, Lindsay, Comparative Studies, effective October 1, 2007
Kaldellis, Anthony, Greek & Latin, effective October 1, 2007
Roberts, Craige, Linguistics, effective October 1, 2007
Urban, Hugh, Comparative Studies, effective October 1, 2007
Winstead, Karen, English, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Alexander, Leslie M., History, effective October 1, 2007
Anagnostu, Georgios, Greek & Latin, effective October 1, 2007
Anderson, Gregor, History, effective October 1, 2007
Fukumori, Naomi, East Asian Languages & Literatures, effective October 1, 2007
Genova, James, History, effective October 1, 2007
Hashamova, Yana, Slavic & East European Languages & Literatures, effective October 1, 2007
Heller, Sarah-Grace, French & Italian, effective October 1, 2007
Judd, Robin E., History, effective October 1, 2007
Korang, Kwaku, African-American and African Studies, effective October 1, 2007
Siegel, Jennifer, History, effective October 1, 2007

JOHN GLENN SCHOOL OF PUBLIC AFFAIRS

PROMOTION TO PROFESSOR

Desai, Anand, effective October 1, 2007

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PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Brown, Trevor Laurence, John Glenn School of Public Affairs, effective October 1, 2007

MICHAEL E. MORITZ COLLEGE OF LAW

PROMOTION TO PROFESSOR

Fairman, Christopher, effective August 16, 2007

Johnson, Creola, effective August 16, 2007

MICHAEL E. MORITZ COLLEGE OF LAW (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Simmons, Ric Lee, effective August 16, 2007

Tokaji, Daniel P., effective August 16, 2007

**COLLEGE OF LAW
REGULAR CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Lloyd, Angela, effective August 16, 2007

LIBRARIES

PROMOTION TO PROFESSOR

Greenberg, Gerald, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Fields, Anne Marsh, effective October 1, 2007

Murphy, Sarah A., effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR HAS TENURE

Meredith, Meri, effective October 1, 2007

COLLEGE OF MATHEMATICAL & PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Coe, James, Chemistry, effective October 1, 2007

Kohler, Bern, Chemistry, effective October 1, 2007

Loper, Kenneth, Mathematics, Newark, effective October 1, 2007

Parquette, Jonathan, Chemistry, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beacom, John, Physics, effective October 1, 2007

Chmutov, Sergei V, Mathematics, Mansfield, effective October 1, 2007

Craigmile, Peter F, Statistics, effective October 1, 2007

Lang, Jan, Mathematics, Marion, effective October 1, 2007

Zhong, Dongping, Physics, effective October 1, 2007

COLLEGE OF MEDICINE

PROMOTION TO PROFESSOR

Chang, Long-Sheng, Pediatrics, effective October 1, 2007

Clinton, Steven, Internal Medicine, effective October 1, 2007

Ringel, Matthew, Internal Medicine, effective October 1, 2007

Roberts, Cynthia, Ophthalmology, effective October 1, 2007

Shapiro, Charles, Internal Medicine, effective October 1, 2007

Villalona, Miguel, Internal Medicine, effective October 1, 2007

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PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bates, Carlton, Pediatrics, effective October 1, 2007
Bell, Charles E, SBS-Molecular & Cellular Biochemistry, effective October 1, 2007
Bohn, Laura M, SBS-Pharmacology, effective October 1, 2007
Catalyurek, Umit V., SBS-Biomedical Informatics, effective October 1, 2007
Cook, Charles, Surgery, effective October 1, 2007
Davuluri, Ramana, SBS-Molecular Virology, Immunology & Medical Genetics, effective October 1, 2007
El-Hodiri, Heithem, Pediatrics, effective October 1, 2007
Fischer, Andrew, Neuroscience, effective October 1, 2007
Govindasamy, Ilangovan, Internal Medicine, effective October 1, 2007
Guttridge, Denis Christian, SBS-Molecular Virology, Immunology & Medical Genetics, effective October 1, 2007
Knutson, Doug, Family Medicine, effective October 1, 2007
Lee, Beth Suzanne, SBS-Physiology & Cell Biology, effective October 1, 2007
Lin, Thomas, Internal Medicine, effective October 1, 2007
Muscarella, Peter, Surgery, effective October 1, 2007
Oberszyn, Tatiana Maria, Pathology, effective October 1, 2007
Shah, Manisha, Internal Medicine, effective October 1, 2007
Sif, Said, SBS-Molecular & Cellular Biochemistry, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITHOUT TENURE

Raman, Subha, Internal Medicine, effective October 1, 2007

TENURE [at rank of Associate Professor]

Bourekas, Eric, Radiology, effective October 1, 2007
Gu, Howard Haogang, SBS-Pharmacology, effective October 1, 2007
Povoski, Stephen P., Surgery, effective October 1, 2007

COLLEGE OF MEDICINE REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL

Feltes, Timothy, Pediatrics, effective October 1, 2007
Murden, Robert, Internal Medicine, effective October 1, 2007
Termuhlen, Amanda, Pediatrics, effective October 1, 2007

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Groner, Jonathan Ira, Surgery, effective October 1, 2007 & October 1, 2008
Haas, Garrie Joseph, Internal Medicine, effective October 1, 2007 & October 1, 2008

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Bahner, David, Emergency Medicine, effective October 1, 2007
Daniels, Curt, Internal Medicine, effective October 1, 2007
Kegelmeyer, Deborah, SAMP-School Allied Med Professions, effective October 1, 2007
Larry, John, Internal Medicine, effective October 1, 2007
McGregor, John, Neurological Surgery, effective October 1, 2007
Pope-Harman, Amy, Internal Medicine, effective October 1, 2007
Ranalli, Mark, Pediatrics, effective October 1, 2007
Yeager, Kenneth, Psychiatry, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Jones, Grant Lloyd, Orthopaedics, effective October 1, 2007 & October 1, 2008
Shell, Richard Davis, Pediatrics, effective October 1, 2007 & October 1, 2008
Shellhaas, Cynthia S, Obstetrics & Gynecology, effective October 1, 2007 & October 1, 2008

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REAPPOINTMENT

Allen Jr, James Norman, Internal Medicine, effective October 1, 2008
Arbona, Fernando Luis, Anesthesiology, effective October 1, 2008
Arnold, Mark William, Surgery, effective October 1, 2008
Barson, William Joseph, Pediatrics, effective October 1, 2008
Bartholomew, Deborah A, Obstetrics & Gynecology, effective October 1, 2008
Bergese, Sergio Daniel, Anesthesiology, effective October 1, 2008
Bhatt, Nitin Yogendra, Internal Medicine, effective October 1, 2008
Colombo, David, Obstetrics & Gynecology, effective October 1, 2008
Crandall, Wallace V, Pediatrics, effective October 1, 2008
Cunningham, Dennis J, Pediatrics, effective October 1, 2008
Dalton, Ryan E, Anesthesiology, effective October 1, 2008
De los Reyes, Emily Caoili, Pediatrics, effective October 1, 2008
Dimitrova, Galina T, Anesthesiology, effective October 1, 2008
Elkhammas, Elmahdi A, Surgery, effective October 1, 2008
Evers, Michael Francis, Anesthesiology, effective October 1, 2008
Gutmann, Rebecca M, Anesthesiology, effective October 1, 2008
Hachwa, Bachar, Anesthesiology, effective October 1, 2008
Haddad, Nabil Jamil, Internal Medicine, effective October 1, 2008
Hart, David T., Internal Medicine, effective October 1, 2008
Highley, James M., Anesthesiology, effective October 1, 2008
Hoffman, Timothy M, Pediatrics, effective October 1, 2008
Hoffmann, Stephen Paul, Internal Medicine, effective October 1, 2008
Jadcherla, Sudarshan R, Pediatrics, effective October 1, 2008
Johanson, Michael George, Anesthesiology, effective October 1, 2008
Kaeding, Christopher Carl, Orthopaedics, effective October 1, 2008
Kaiz, Ellen S., Physical Medicine and Rehabilitation, effective October 1, 2008
Kenney, Brian David, Surgery, effective October 1, 2008
Khabiri, Babak, Anesthesiology, effective October 1, 2008
Khandelwal, Sorabh, Emergency Medicine, effective October 1, 2008
Khayat, Rami N., Internal Medicine, effective October 1, 2008
Koletar, Susan Lynn, Internal Medicine, effective October 1, 2008
Kover, Alan, Anesthesiology, effective October 1, 2008
Kreger, Cynthia Gail, Internal Medicine, effective October 1, 2008
Leder, Mary R, Pediatrics, effective October 1, 2008
Li, Lin, Anesthesiology, effective October 1, 2008
Madden, Jeffrey D., Physical Medicine and Rehabilitation, effective October 1, 2008
Mani, Matharbootham, Anesthesiology, effective October 1, 2008
Mastronarde, John G, Internal Medicine, effective October 1, 2008
McCafferty, Mary Jude, Psychiatry, effective October 1, 2008
Miller, Michelle A., Physical Medicine and Rehabilitation, effective October 1, 2008
Moore, James Layne, Neurology, effective October 1, 2008
Norris, Donald L., Emergency Medicine, effective October 1, 2008
Nuss, Kathryn E, Pediatrics, effective October 1, 2008
Nwomeh, Benedict C., Surgery, effective October 1, 2008
Olencki, Thomas E, Internal Medicine, effective October 1, 2008
Pariser, Stephen Fellman, Psychiatry, effective October 1, 2008
Rikabi, Ali, Radiology, effective October 1, 2008
Rogers, Barbara Maher, Anesthesiology, effective October 1, 2008
Rogoski, John S, Anesthesiology, effective October 1, 2008
Rosado de Christenson, Melissa L., Radiology, effective October 1, 2008
Saveanu, Radu V, Psychiatry, effective October 1, 2008
Sayat, Jason G, Obstetrics & Gynecology, effective October 1, 2008
Schaffir, Jonathan A, Obstetrics & Gynecology, effective October 1, 2008
Scharre, Douglas William, Neurology, effective October 1, 2008
Schlanger, Richard E, Surgery, effective October 1, 2008
Scribano, Philip Vincent, Pediatrics, effective October 1, 2008

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Severyn, Steven A, Anesthesiology, effective October 1, 2008
Shao, Yanfu, Anesthesiology, effective October 1, 2008
Sheikh, Shahid I, Pediatrics, effective October 1, 2008
Shen, Rulong Z, Pathology, effective October 1, 2008
Speas, Gaylynn Jane, Anesthesiology, effective October 1, 2008
Spetie, Lacramioara, Psychiatry, effective October 1, 2008
Swan, Joseph Fowler, Anesthesiology, effective October 1, 2008
Traetow, Wayne Daniel, Anesthesiology, effective October 1, 2008
Turner, Katja Regina, Anesthesiology, effective October 1, 2008
Werner, Lisa Marie, Psychiatry, effective October 1, 2008
Wexler, Randell, Family Medicine, effective October 1, 2008
Yablok, David Owen, Anesthesiology, effective October 1, 2008
Young, James L, Psychiatry, effective October 1, 2008

COLLEGE OF NURSING

PROMOTION TO PROFESSOR

Salsberry, Pamela, effective October 1, 2007

COLLEGE OF NURSING REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Daley, Linda Susan, effective October 1, 2007 & October 1, 2008

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Bauldoff, Gerene, effective October 1, 2007

REAPPOINTMENT

Barker, Elizabeth Russell, effective October 1, 2008

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hoyt, Kari R, effective October 1, 2007
Kvaratskhelia, Mamuka, effective October 1, 2007
Tjarks, Werner, effective October 1, 2007
Werbovetz, Karl Andrew, effective October 1, 2007

COLLEGE OF PHARMACY REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Casper, Kristin Anne, effective October 1, 2007 & October 1, 2008

COLLEGE OF PUBLIC HEALTH

REAPPOINTMENT

Crawford, John Mac, effective October 1, 2008

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

deJong, Robert, Economics, effective October 1, 2007
Morelli, Massimo, Economics, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Eastin, Matthew, School of Communication, effective October 1, 2007
Hayes, Andrew Frederick, School of Communication, effective October 1, 2007

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King, Wayne Michael, Speech & Hearing, effective October 1, 2007
Knobloch-Westerwick, Silvia, School of Communication, effective October 1, 2007
Lecavalier, Luc, Psychology, effective October 1, 2007
Mansfield, Becky, Geography, effective October 1, 2007
McSweeney, Kendra, Geography, effective October 1, 2007
Tylka, Tracy Lynn, Psychology, Marion, effective October 1, 2007

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Mjelde-Mossey, Lee Ann, effective October 1, 2007
Raiz, Lisa, effective October 1, 2007

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Saville, William, Veterinary Preventive Medicine, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kowaleski, Michael, Veterinary Clinical Sciences, effective October 1, 2007
LeJeune, Jeffrey T., Veterinary Preventive Medicine, effective October 1, 2007
Niewiesk, Stefan, Veterinary Biosciences, effective October 1, 2007

COLLEGE OF VETERINARY MEDICINE REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Bateman, Shane William, Veterinary Clinical Sciences, effective October 1, 2007
& October 1, 2008

PROMOTION TO PROFESSOR-CLINICAL

Bergall, Valerie, Veterinary Preventive Medicine, effective October 1, 2007

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Meiring, Richard W, Veterinary Preventive Medicine, effective October 1, 2007 &
October 1, 2008

RESOLUTIONS IN MEMORIAM

Resolution No. 2008-12

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Richard H. Armitage

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 26, 2007, of Richard H. Armitage, Vice President Emeritus in the Office of Student Affairs.

A native of Ravenna, Ohio, Dr. Armitage received the Bachelor of Arts degree from Oberlin College in 1939, and was awarded the Master of Arts and Doctor of Philosophy degrees by Ohio State in 1940 and 1945, respectively. He joined the Ohio State staff in 1941 as an assistant instructor and rose to the rank of

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professor in the Department of Romance Languages. He served as acting assistant dean of the College of Arts and Sciences in 1955 and 1956. In 1957, he assumed the duties of assistant dean of the Graduate School. He became associate dean in 1960 and was promoted to dean in 1963, serving until 1970, when he briefly left Ohio State to become provost at the University of Missouri-Kansas City. He returned to Ohio State in 1971 to become the University's first ombudsman. In 1973, he was named vice president for Student Affairs, a position he held until 1978, when he retired from Ohio State and took a position at the University of California-San Diego.

A specialist in Spanish and Latin American Studies, Dr. Armitage co-authored a widely used textbook, "Beginning Spanish, A Cultural Approach," published in 1953 and revised in 1963 and 1971. Even though most of his 39-year career at Ohio State was spent in administrative positions, he frequently taught lower-level Spanish courses, both because he enjoyed teaching and because he felt it helped him understand students better. He was active in University governance and service, chairing the Michigan-Ohio regional selection committee for Woodrow Wilson Fellowships and the Ohio State committee on Fulbright Awards. He served on the board of directors of The Ohio State University Research Foundation and was very active in the Faculty Club. He was the first elected faculty member of the Athletic Council, on which he served from 1958 - 70. Dr. Armitage was a key figure in the faculty decision to decline the 1961 Rose Bowl invitation, arguing that the game extended the season too long, overemphasized the role of athletics, and was a financial hardship to the University.

In all of his roles, Dr. Armitage was a passionate advocate for quality and for students. As ombudsman, he recommended that 180 credit hours be the normal amount for a bachelor's degree, that the problem of closed courses be more effectively addressed, and that policies be clarified to regulate smoking in classrooms and dogs on campus. As graduate dean, he was instrumental in the building of Jones Graduate Tower and he revised many of the procedures and policies of the Graduate School to include greater faculty involvement and to address student concerns. As vice president for Student Affairs, he oversaw the expansion of women's athletics, the establishment of the first Black Cultural Center, and the beginnings of career counseling.

Dr. Armitage was the first recipient of the Nemzer Award of The Ohio State University chapter of the American Association of University Professors and the first recipient of the Administrator of the Year Award given by the Council of Graduate Students. He was presented with the Distinguished Service Award at the Autumn 1990 commencement.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Richard H. Armitage its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

William J. Holloway

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 12, 2006, of William J. Holloway, first vice provost of the Office of Minority Affairs and Professor Emeritus in the School of Physical Activity and Educational Services.

Dr. William Jimmerson Holloway, a native of Smithfield, Virginia, studied at the Isle of Wight County Training School in Smithfield and at Huntington High School, and earned degrees from Hampton Institute (B.A., 1940), the University

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of Michigan (M.A., 1946), and the University of Illinois (Ed.D., 1961), and was a fellow in Far Eastern Studies at Harvard University (1950).

Dr. Holloway served as an administrator in many schools, including Union School (Hampton, Virginia, 1946-47), Savannah State College (1947-55), Ligon High School (Durham, North Carolina, 1959-61), and the Virginia State School for the Deaf and Blind (Hampton, Virginia, 1961-65), where he developed a curriculum that led to this school's first accreditation, and where he fought to gain approval of the admission of the school's first white student.

Dr. Holloway served with distinction in the United States Office of Education, in the Department of Health, Education, and Welfare, under President Lyndon Johnson (1965-70), where he labored to Ensure the orderly desegregation of public schools in 35 states, and to resolve educational problems of Native Americans in North Carolina and Oklahoma.

The Ohio State University, following campus disturbances during the turbulent period of the late 1960s and 1970, invited Dr. Holloway to create its Office of Minority Affairs, where from 1970 - 78 he led the University's efforts to recruit, provide financial assistance to, and organize supportive services for African Americans, Appalachian Whites, Hispanic Americans, Asian Americans and Native Americans. His tenure was always being characterized by his high sense of personal integrity, his wise judgment on issues of equity, and his gracious leadership style.

Soon after he left his senior administrative post, the Nigerian state of Bauchi requested Ohio State's assistance in training secondary school teachers. Dr. Holloway accepted this challenge and served as director of the Nigerian Education Program at Ohio State until his retirement in 1982.

Throughout his life he was an effective and beloved teacher at virtually every level of educational attainment. He was a scholar and an author of many articles and books, as well as a member of the Board of Editors of the *Negro Educational Review* from 1972 - 99 and as editor-in-chief from 1995 - 99.

Dr. Holloway was the recipient of many distinguished awards and certificates of recognition from local, statewide, national and international organizations.

On behalf of the University community, the Board of Trustees expresses to the family of Professor William J. Holloway its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

James A. Kreider

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 8, 2007, of James A. Kreider, Professor Emeritus in the College of Dentistry.

Professor Kreider, a native of Newark, Ohio, enrolled in The Ohio State University College of Liberal Arts in 1928 and graduated from OSU's College of Dentistry in 1935. He was a member of Pi Kappa Alpha Fraternity, Psi Omega Dental Fraternity, and Omicron Kappa Upsilon National Dental Honor Society.

Dr. Kreider practiced in Newark until 1942, when he voluntarily enlisted in the U.S. Army as a dental surgeon. During World War II, he served in the North Africa, Southern France, and European theaters and attained the rank of major.

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In December 1945, he joined the faculty of The Ohio State University College of Dentistry at the rank of instructor in Prosthetic Dentistry.

He was a demanding clinical teacher and clinician whose dedication to students and patients was exceptional. He was a proponent of organized dentistry and was a member of the American Dental Association and its components: the Ohio Dental Association and the Columbus Dental Society. He was a life member of The Ohio State University Alumni Association.

He retired in 1979 and, in 2001, he provided a narrative of his dental student days that was included in the College of Dentistry's book, "A Century of Dental Education & A Decade More."

On behalf of the University community, the Board of Trustees expresses to the family of Dr. James A. Kreider its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edwin L. "Ted" Mitchell

The Board of Trustees of The Ohio State University and the OSU-Marion Board of Trustees expresses its sorrow upon the death on June 5, 2007, of Edwin L. "Ted" Mitchell. Mr. Mitchell was a Marion attorney, an active community leader, and a founder and long-time friend and supporter of Ohio State Marion.

Mr. Mitchell was born in Ironton, Ohio, on September 22, 1912. He received his bachelor's degree from The Ohio State University in 1934 and his law degree in 1937, the same year he was admitted to the Ohio Bar. While a student at Ohio State, he worked as an assistant law librarian. He began practicing law in Marion in 1948 and was elected to the Marion City School Board in 1952 and 1956.

In 1957, Mr. Mitchell was prominent among community leaders encouraging The Ohio State University to establish an off-campus operation in Marion and developing community support for this successful effort. He was one of the original "OSU Marion Committee of Sixteen" responsible for awarding community-provided scholarships to the first students of Ohio State Marion and for providing a community voice for the newly established program in Marion.

As general chairman of the Marion Area College Foundation, Mr. Mitchell led the community campaign in the mid-1960s that raised \$400,000 to purchase the 180-acre campus now home to Ohio State Marion. His efforts were so successful and the community so generous that an additional \$227,000 was raised, providing funds to establish scholarships that continue to benefit students at Ohio State Marion. For many years, he served on the Citizens Council at Ohio State Marion and in 1994 he was appointed trustee emeritus of the Ohio State Marion Board. In a recent interview, he stated, "Of all the things I've done in my life, there is nothing I'm more proud of than OSU Marion." Fittingly, he was honored with an OSU Distinguished Service Award in 1978.

Always a Buckeye, Mr. Mitchell was a member of the Ohio State Alumni Association and a past chairman of the Ohio State Alumni Advisory Board. He received the OSU Alumni Centennial Award in 1970. He also was a member of the University President's and Buckeye Clubs, and was part of the Woody Hayes Frontliner Club, reflecting his love of Ohio State football.

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Mr. Mitchell's community service included membership on local and state bar associations. He was president of the Marion County Bar Association. He was a member of the Workman's Compensation and Unemployment Compensation Committees of the Ohio State Bar Association, twice serving as chairman of the Council and honored with life membership on the Council in 1974. He received the Distinguished Service Award from the Ohio State Bar Association in 1966.

On behalf of the University community, the Board of Trustees of The Ohio State University and the OSU-Marion Board of Trustees expresses to the family of Edwin L. "Ted" Mitchell their deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Boards' heartfelt sympathy.

Roy F. Reeves

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 5, 2007, of Roy F. Reeves, Professor Emeritus in the Department of Mathematics.

Dr. Reeves received his B.S. degree in Electrical Engineering from the University of Colorado and his Ph.D. degree from Iowa State University in 1951. His dissertation in applied mathematics was titled *Force Fields in which Centers of Gravity can be Defined*. He joined The Ohio State University mathematics faculty in 1951. He was the founder and director of what became the Instruction and Research Computer Center and is now the Office of Information Technology. He was named a full professor in 1965 and held the title director of Academic Computing. After his retirement from Ohio State in 1981, he held the John A. Patton Chair in Computer Sciences at Otterbein College in Westerville.

Professor Reeves was an early pioneer in the mathematics of scientific computing. In addition to carrying out his own technical research, he was a consultant to numerous organizations including Battelle Memorial Institute, the National Bureau of Standards, and the National Science Foundation. As director of Academic Computing he created an organization that served undergraduates, graduate students, faculty, and staff. He carefully balanced the needs of classroom teaching, technical research in computation, and the rigorous mathematical foundations of computation in mathematics, statistics, engineering, and the sciences.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Roy F. Reeves its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

UNIVERSITY DEVELOPMENT REPORT

Resolution No. 2008-13

Synopsis: The University Development Report for May 2007 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

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WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Henry A. Wise II, M.D. Endowed Professorship in Urology, The Ashland Designated Research Professorship in Materials Science and Engineering, and The Dr. Charles Saltzer Professorship in Mathematics; and

WHEREAS this report includes the establishment of twenty-four (24) new named endowed funds, the revision of one (1) endowed chair, and the revision of four (4) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2007 be approved.

PRIVATE SUPPORT – TOTAL FUND RAISING ACTIVITY

	<u>July 2006 – May 2007</u>	<u>July 2005 – May 2006</u>	<u>% Change</u>
Gift Receipts			
Cash, Securities, GIK	\$112,580,346	\$105,675,962	7
Gifts-in-Kind	\$5,107,033	\$10,687,864	(52)
Irrevocable Trusts & Annuities	\$2,205,075*	\$1,077,388	105
Gifts from Bequests	<u>\$10,273,169</u>	<u>\$11,129,924</u>	(8)
Total Gift Receipts	\$130,165,624	\$128,571,138	1
Net Pledges Acquired	\$20,071,019	\$25,253,254	(21)
Net Revocable Planned Gifts Acquired			
Bequest Expectancies	\$29,933,616	\$23,166,179	29
Trust Expectancies	<u>\$11,522,089</u>	<u>\$14,052,556</u>	(18)
Total Net Planned Gifts	<u>\$41,455,705</u>	<u>\$37,218,735</u>	11
Total	\$191,692,349	\$191,043,127	0

*Per national reporting standards, irrevocable trusts are counted at present value.

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TOTAL UNIVERSITY PRIVATE SUPPORT **July through May** **2006-2007 Compared to 2005-2006** **GIFT RECEIPTS BY DONOR TYPE**

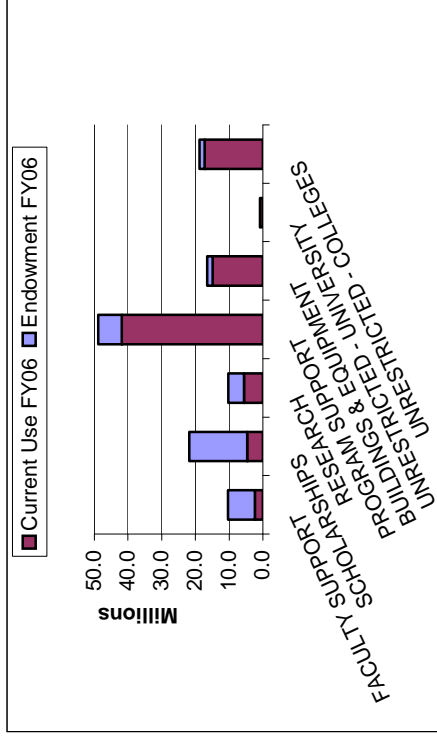
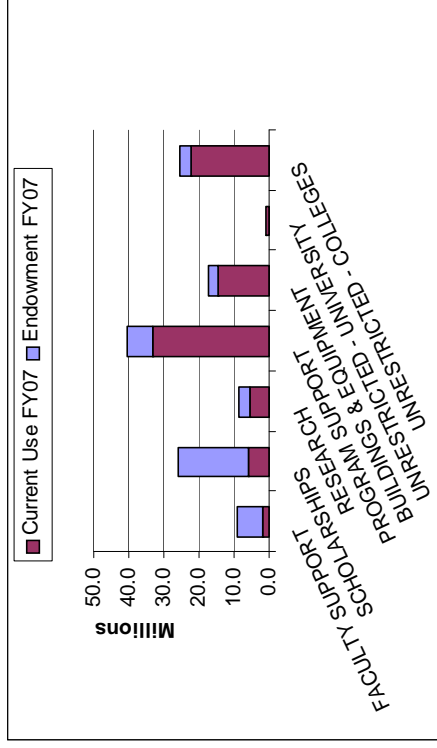
	<u>Donors</u>			<u>Dollars</u>		
	<u>2006-07</u>	<u>2005-06</u>	<u>% Chng</u>	<u>2006-07</u>	<u>2005-06</u>	<u>% Chng</u>
Individuals:						
Alumni (Current Giving)	63,160	61,557	3	\$34,423,840	\$33,892,390	2
Alumni (Irrevocable Trusts & Annuities)	25	18	39	2,093,447*	333,419	528 ^A
Alumni (From Bequests)	<u>55</u>	<u>46</u>	20	<u>5,175,491</u>	<u>4,509,959</u>	15 ^B
Alumni Total	63,240	61,621	3	\$41,692,779	\$38,735,768	8
Non-Alumni (Current Giving)	42,755	41,384	3	\$22,884,851	\$24,636,867	(7)
Non-Alumni (Irrevocable Trusts & Annuities)	7	8	(13)	111,628*	743,969	(85) ^C
Non-Alumni (From Bequests)	<u>33</u>	<u>37</u>	(11)	<u>5,097,678</u>	<u>6,619,965</u>	(23) ^D
Non-Alumni Total	42,795	41,429	3	\$28,094,157	\$32,000,800	(12)
Individual Total	106,035	103,050	3	\$69,786,936	\$70,736,569	(1)
Corporations/Corp/Foundation	4,204	4,324	(3)	\$30,654,843	\$33,960,160	(10)
Private Foundations	629	554	14	\$23,387,717	\$18,136,656	29 ^E
Associations & Other Organizations	<u>1,545</u>	<u>1,654</u>	(7)	<u>\$6,336,129</u>	<u>\$5,737,753</u>	10
Grand Total	112,413	109,582	3	\$130,165,624	128,571,138	1

* Per national reporting standards irrevocable trusts are counted at present value.

- A Individual Alumni Irrevocable gifts are up 528% due to \$2.3M (\$1.1M pres val) in gift annuities from Joe and Elizabeth Engle
- B Individual Alumni bequest receipts are up 15% due to \$1.7M bequest from Peter and Pauline Chichilo in September 2006 for an unrestricted endowment
- C Individual Non-Alumni Irrevocable gifts are down 85% due to one \$1M (\$500K pres val) irrevocable commitment in August 2005 from Emily Hathaway
- D Individual Non-Alumni bequest receipts are down 23% due to overall gift activity in FY06 compared to FY07
- E Private Foundation giving is up 29% due to:
 - \$1.9M gift from the Kravinsky Foundation in August 2006 to College of Public Health,
 - \$1.1M gift from the Paul G. Duke Foundation in January 2007 to the Thompson Library Renovation,
 - \$1M gift from the Jay and Jeanie Schottenstein Foundation in January 2007 to Cardiovascular Science,
 - \$1M gift from the William and Dorothy Davis Foundation in December 2006 to the Davis Heart and Lung Institute, and
 - \$1.2M gifts from Leukemia Clinical Research Foundation (\$700K) and Barnett Charitable Foundation (\$500K)

GIFT RECEIPTS BY PURPOSE
Dollars
July through May

	FY 2006-2007		FY 2005-2006		% Change	
	Current Use	Endowment	Current Use	Endowment	Total	% Change
FACULTY SUPPORT	1,732,485	7,325,399	2,366,276	7,976,856	10,343,133	(12)
SCHOLARSHIPS	6,009,611	19,731,359	4,623,110	17,196,111	21,819,221	18
RESEARCH	5,578,475	3,227,960	5,616,323	4,649,472	10,265,795	(14)
PROGRAM SUPPORT	33,150,995	7,469,151	41,842,231	7,054,170	48,896,401	(17)
BUILDINGS & EQUIPMENT	14,649,524	2,668,158	14,924,098	1,618,036	16,542,134	5
UNRESTRICTED - UNIVERSITY	1,062,539	---	846,624	---	849,624	25
UN RESTRICTED - COLLEGES	22,309,771	3,045,122	17,307,907	1,469,550	18,777,458	35
TOTAL	\$84,493,399	\$43,467,150	\$87,529,569	\$39,964,196	\$127,493,765	0



THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Total
Gifts

Change in Name and Description of Named Endowed Chair

From: The Wayne Woodrow Hayes Chair in National Securities Studies
To: The Wayne Woodrow Hayes Chair in National Security Studies

Establishment of Named Endowed Professorship

The Henry A. Wise II, M.D., Endowed Professorship in Urology \$1,000,000.00
(Used to support a nationally recognized urologist faculty member undertaking clinical, educational, and research activities in the field of urology; provided by gifts from the University Urology Education and Research Foundation and friends of the Department of Urology in honor of Henry A. Wise II, M.D.)

Establishment of Named Designated Professorship

The Ashland Designated Research Professorship in Materials Science and Engineering \$200,614.64
(Used to support a research professor within the Department of Materials Science and Engineering in the College of Engineering for a three-year period; provided by annual gifts from Ashland Inc.)

Establishment of Named Endowed Funds

Carter G. Phillips Colloquium Series on American Political Institutions Fund \$50,000.00
(Used to pay the expenses of speakers who visit the Department of Political Science to present colloquium lectures on American political institutions; provided by gifts from Carter G. Phillips)

Lawrence Shaefer Endowed Scholarship Fund \$29,700.00
(Used to provide scholarships to third-year optometry students who demonstrate outstanding clinical skills; provided by gifts from numerous donors in memory of Dr. Lawrence B. Shaefer) (grandfathered)

OARDC Organic Food and Farming Education and Research (OFFER) Program Fund \$26,000.00
(Used to support the certified organic research and education activities of the interdisciplinary Organic Food and Farming Education and Research (OFFER) Program; provided by funds from the College of Food, Agricultural, and Environmental Sciences and the Ohio Agricultural Research and Development Center) (grandfathered)

Change in Description of Named Endowed Fund

The Jack D. Sparks Endowed Scholarship Fund

Change in Name and Description of Named Endowed Fund

From: The Lois and Brian P. Donahue Endowment Fund
To: The Brian P. Donahue Endowment Fund

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THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Professorship

The Dr. Charles Saltzer Professorship in Mathematics (Used to provide support to secure a professorship for a distinguished visiting faculty member or a new faculty member who will elevate the research in the Department of Mathematics; provided by gifts from the estate of Dr. and Mrs. Charles Saltzer)	\$1,507,536.51
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Establishment of Named Endowed Funds

The Robert E. and Anna L. Holderman Family Athletic Scholarship Fund (Used to supplement the grant-in-aid scholarship costs of an undergraduate student-athlete who is a member of the varsity men's basketball team; provided by gifts from Anna L. Holderman, Robin V. Holderman, Kris Holderman Hurd, and Adam R. Holderman)	\$500,000.00
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The Karen A. Holbrook Fund (Used to support research at the undergraduate level through the Undergraduate Research Office and/or study abroad scholarships through the Office of International Affairs; provided by gifts from Dr. Karen A. Holbrook, colleagues, friends, and family)	\$150,225.00
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The Hagop S. Mekhjian, M.D. Medical Ethics and Professionalism Lectureship Fund (Used to provide support for outstanding instruction, scholarship, and professional development activities addressing medical ethics and medical professionalism for medical students, residents, and practicing physicians; provided by gifts from Dr. and Mrs. Mekhjian and multiple friends made in honor of Hagop Mekhjian, M.D.)	\$61,500.00
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The Huntington Archives Endowment Fund (Used to support the activities of The Huntington Archives; provided by gifts from an anonymous donor)	\$51,635.59
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Ronald D. and Jane Hess Harris Fund for Education Excellence (Used to pay construction costs or interest on construction bonds issued for a new building(s) for the Department of Chemical and Biomolecular Engineering; provided by gifts from Ronald D. Harris and Jane Hess Harris)	\$50,897.37
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The Sungkyu Christopher Lee, M.D. Family Scholarship Fund (Used to support undergraduate scholarships for third- or fourth-year international students who are preparing to attend medical school; provided by gifts from Dr. Sungkyu Christopher Lee, Mrs. Geraldine Lee, and Mr. Bryan Christopher Lee)	\$50,047.53
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The Edward C. "Danny" Danford Strategic Initiatives Fund (Used by the dean of the Fisher College of Business to support activities of the faculty, students, and staff in accordance with the strategic plans of the College; provided by a gift from Edward C. "Danny" Danford)	\$50,000.00
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The Major Robert C. White Endowed Scholarship Fund (Used to provide scholarships to undergraduate students with preference given to junior, senior, or fifth-year senior cadets who intend to make a career in the United States Air Force; provided by gifts from Eugene M. and Marjorie L. White in memory of Eugene's brother, Major Robert C. White)	\$50,000.00
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The OSU Naval ROTC Alumni Society Award Fund (Used to support awards and programming for the Ohio State Naval Reserve Officer Training Corps (OSU ROTC); provided by gifts from members and friends of The Ohio State University Naval Reserve Officer Training Corps Alumni Society) (grandfathered)	\$29,667.00
Daniel and Lisa Wampler Vice President's Excellence Fund (Used to support programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Daniel and Lisa Wampler) (grandfathered)	\$29,487.00
The Sharron L. Kisner CIDP Research Fund (Used to advance medical knowledge in the field of chronic inflammatory demyelinating polyneuropathy in the Department of Neurology; provided by gifts from her son Karl Jeffrey Kisner, family, and friends) (grandfathered)	\$28,650.00
Rosalie S. and Edward O. Elliott II Scholarship Fund (Used to provide need-based scholarships for full-time undergraduate students who are graduates of Ridgemont High School in Hardin County, Ohio, or its successor who live in the Ridgemont High School district; provided by gifts from Rosalie S. Elliott and Edward O. Elliott II) (grandfathered)	\$27,580.00
Mark A. and Debbie Cramer Endowment Fund (Used to provide unrestricted support for The Ohio State University at Marion; provided by gifts from Mark A. and Deborah Cramer)	\$27,209.20
The Class of 1960 Medical Education Scholarship Fund (Used to provide scholarships to medical students in the College of Medicine based on academic merit and/or financial need; provided by gifts from alumni of the 1960 medical class of the College of Medicine) (grandfathered)	\$26,237.14
The G. Jane Leiby and Marie Myers Memorial Scholarship Fund (Used to support one need-based scholarship for a first-generation undergraduate student; provided by gifts from Dr. Jane M. Leiby) (grandfathered)	\$25,958.13
Wilson Weait Bassoon Studio Award Fund (Used to provide an award for students enrolled in the School of Music majoring in bassoon; provided by gifts from friends, family, and colleagues in honor of George Wilson and Christopher Weait) (grandfathered)	\$25,112.00
The Charles Foley Scholarship Fund (Used to provide need-based scholarships to first-year students who graduated from Central Catholic High School with a minimum GPA of 3.0; provided by a gift from Charles R. Foley) (grandfathered)	\$25,024.00
Marjorie Simmons Clemmer Dean's Fund (Used to support creative, forward-thinking programs in all areas of human ecology in the College of Education and Human Ecology; provided by gifts from Marjorie Simmons Clemmer) (grandfathered)	\$25,000.00

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The Hall Family Scholarship Fund in the College of Biological Sciences \$25,000.00
(Used to assist undergraduate students enrolled in the College of Biological Sciences with preference given to students with an interest in the environment and education; provided by gifts from Dr. Richard W. Hall and Janet C. Hall) (grandfathered)

The Selma and Edward Levy Endowed Scholarship Fund \$25,000.00
(Used to provide yearly financial aid to one D.V.M. student with preference given to a student from New Jersey with an interest in small animal medicine; provided by gifts from Gary S. Levy) (grandfathered)

The George and Betty Richards Endowed Fund in the College of Pharmacy \$25,000.00
(Used to provide unrestricted support for the College of Pharmacy; provided by gifts from George and Betty Richards)

Change in Description of Named Endowed Fund

The George Booth Family Athletic Scholarship Fund

Change in Name of Named Endowed Fund

From: Alfred E. Stockum College of Medicine Scholarship Fund
To: The Dr. Alfred and Mary Ann Stockum Medical Student Scholarship Fund

Total \$4,123,081.11

Change in Name and Description of Named Endowed Chair

The Wayne Woodrow Hayes Chair in National Security Studies

The Wayne Woodrow Hayes Professorship Fund in National Securities Studies was established June 6, 1996, by the Board of Trustees of The Ohio State University with gifts from the family and friends of Woody Hayes. The name was changed to The Wayne Woodrow Hayes Chair Fund in National Securities Studies and the description was revised July 11, 1997. The name was changed again to The Wayne Woodrow Hayes Chair Fund in National Security Studies and the description was revised October 3, 1997. The funding level of \$1,250,000 was reached, the chair was established, and the name was changed to The Wayne Woodrow Hayes Chair in National Securities Studies July 7, 2000. The name and description were again revised July 13, 2007.

In keeping with the provisions of the original endowed fund, the annual distribution from this fund shall be used to support The Wayne Woodrow Hayes Chair in National Security Studies in the Mershon Center in the College of Social and Behavioral Sciences. Appointment to the Hayes Chair will be made upon recommendation of the director of the Mershon Center and with approval of the University Board of Trustees.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated

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by the Board of Trustees as recommended by the dean of the College of Social and Behavioral Sciences in consultation with the director of the Mershon Center. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Establishment of Named Endowed Professorship

The Henry A. Wise II, M.D., Endowed Professorship in Urology

The Henry A. Wise II, M.D., Endowed Professorship Fund in Urology was established February 2, 2007, by the Board of Trustees of The Ohio State University with gifts from the University Urology Education and Research Foundation, Inc., and friends of the Department of Urology, with support from the College of Medicine; given in honor of Henry A. Wise II, M.D. (clinical professor emeritus, 2003; held positions of assistant professor, associate professor, division director, and clinical professor in Urology from 1972-2000) of Dublin, Ohio. The funding level has been reached and the professorship was established July 13, 2007.

The annual distribution from this fund shall support an endowed professorship position in the Department of Urology in support of a nationally recognized urologist faculty member undertaking clinical, educational, and research activities in the field of urology. If funding reaches the level of \$2,000,000, the professorship shall be converted to a chair position. Appointment shall be made as recommended by the chairperson of the Department of Urology and approved by the dean of the College of Medicine and by the senior vice president for Health Sciences. The activities of the professorship or chair holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Urology in consultation with the dean of the College of Medicine and with the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Professorship: \$1,000,000.00

Establishment of Named Designated Professorship

The Ashland Designated Research Professorship in Materials Science and Engineering

The Ashland Designated Research Professorship in Materials Science and Engineering was established July 13, 2007, by the Board of Trustees of The Ohio State University with annual gifts from Ashland Inc.

As recommended by the chairperson of the Department of Materials Science and Engineering in consultation with the dean of the College of Engineering, this fund shall be used to support a research professor within the Department of Materials

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Science and Engineering in the College of Engineering. The designated research professorship position shall be for a three-year period. The fund is renewable pending additional funding at the end of the initial term. The activities of the designated research professorship holder shall be reviewed by the dean to determine compliance with the intent of the donor as well as the academic and research standards of the University. Appointment to The Ashland Designated Research Professorship in Materials Science and Engineering shall be recommended by the dean of the College of Engineering in consultation with the chairperson of the Department of Materials Science and Engineering and approved by the Board of Trustees.

Amount Funding Professorship: \$200,614.64

Establishment of Named Endowed Funds

Carter G. Phillips Colloquium Series on American Political Institutions Fund

The Carter G. Phillips Colloquium Series on American Political Institutions Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University with gifts from Carter G. Phillips (B.A., 1973).

The annual distribution from this fund shall be used at the discretion of the chairperson of the Department of Political Science in the College of Social and Behavioral Sciences to pay the expenses of speakers who visit the Department to present colloquium lectures on American political institutions, including the courts and their relationships to other governmental institutions.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Social and Behavioral Sciences in consultation with the chairperson of the Department of Political Science. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

Lawrence Shaefer Endowed Scholarship Fund

The Lawrence Shaefer Endowed Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University with gifts from numerous donors in memory of Dr. Lawrence B. Shaefer (B.S., 1979; O.D., 1982).

The annual distribution from this fund shall provide a scholarship(s) to a third-year optometry student(s) who demonstrates outstanding clinical skills. Scholarship recipients will be selected by the dean of the College of Optometry in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

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assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$29,700.00 (grandfathered)

OARDC Organic Food and Farming Education and Research (OFFER) Program Fund

The OARDC (Ohio Agricultural Research and Development Center) Organic Food and Farming Education and Research (OFFER) Program Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University with funds from the College of Food, Agricultural, and Environmental Sciences and the Ohio Agricultural Research and Development Center.

Farming has been a passion of the Hirzel family for several generations. The Hirzel Canning Company and Farms is a family-owned and operated business which was founded in 1923 by the late Carl R. Hirzel. The late John E. Hirzel transitioned 600 acres of Hirzel Farms to certified organic production, making Hirzel Farms the largest organic vegetable operation in Ohio. In memory of Rosalie Hirzel, John Hirzel, and John's father-in-law, Robert Slovak, this endowed fund shall support education and research in the field of organic food and farming to benefit future generations.

The annual distribution from this fund shall be used to support the certified organic research and education activities of the interdisciplinary Organic Food and Farming Education and Research (OFFER) Program at various locations in Ohio, including OARDC Wooster and the John Hirzel Sustainable Agriculture Research and Education Site in Bowling Green as approved by the administrative coordinator of the OFFER program. The OFFER program is in the College of Food, Agricultural, and Environmental Sciences and the Ohio Agricultural Research and Development Center.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the administrative coordinator of the OFFER program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$26,000.00 (grandfathered)

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Change in Description of Named Endowed Fund

The Jack D. Sparks Endowed Scholarship Fund

The Jack D. Sparks Endowed Scholarship Fund was established May 30, 2003, by the Board of Trustees of The Ohio State University with a gift from The Jack D. and Fredda S. Sparks Foundation. The description was revised July 7, 2006, and was revised again July 13, 2007.

The annual distribution from this fund shall be used to provide scholarship support for two "John Glenn Fellows" participating in the Washington Academic Internship Program of the John Glenn School of Public Affairs. It is the desire of the donor that the scholarships shall be awarded with preference to, but not limited to, students interested in public service. The scholarships shall be awarded by the director of the Washington Academic Internship Program in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the John Glenn School of Public Affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Change in Name and Description of Named Endowed Fund

The Brian P. Donahue Endowment Fund

The Lois and Brian P. Donahue Endowment Fund was established June 6, 1975, by the Board of Trustees of The Ohio State University with gifts from Brian P. Donahue (B.S., 1959) of Columbus, Ohio. The name and description were revised July 13, 2007.

The annual distribution from this fund shall be used by the College of Medicine in support of research in the areas of cystic fibrosis and chronic pulmonary diseases. Requests for expenditure of funds will be initiated and approved by the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the appropriate administrative official of the University who is then directly responsible for medical education. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Professorship

The Dr. Charles Saltzer Professorship in Mathematics

The Dr. Charles Saltzer Professorship in Mathematics was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with estate gifts from Charles Saltzer and Florence Saltzer of Columbus, Ohio.

Dr. Charles Saltzer, former professor in the Department of Mathematics and in the Department of Computer and Information Science at The Ohio State University, was a specialist in analysis and applied mathematics. He actively promoted cooperation between the Departments of Mathematics and Computer and Information Science. In addition to his contributions to research in applied mathematics, Professor Saltzer was an extremely kind and versatile teacher on both the undergraduate and the graduate level. Although he demanded strong performance, he was devoted to his students and worked to ensure that they learned both theory and applications. After his retirement from OSU, Dr. Saltzer continued to teach one course per year in the Department of Mathematics. During the summer of 2001 he was teaching a basic course in ordinary differential equations, and he wrote the final examination for that course just a few days before his death. Professor Saltzer remained an active mathematician and an inspired teacher to the very end.

The annual distribution from this fund shall provide support to secure a professorship for a distinguished visiting faculty member or a new faculty member who will elevate the research in the Department of Mathematics. Appointment to the professorship shall be made as recommended by the chairperson of the Department of Mathematics and approved by the dean of the College of Mathematical and Physical Sciences. The activities of the professorship holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Mathematical and Physical Sciences in consultation with the chairperson of the Department of Mathematics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$1,507,536.51

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Establishment of Named Endowed Funds

The Robert E. and Anna L. Holderman Family Athletic Scholarship Fund

The Robert E. and Anna L. Holderman Family Athletic Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Anna L. Holderman, Robin V. Holderman, Kris Holderman Hurd, and Adam R. Holderman of Columbus, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing a degree at The Ohio State University and is a member of the varsity men's basketball team. Scholarship recipients must be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics after consultation with the donors or their designee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$500,000.00

The Karen A. Holbrook Fund

The Karen A. Holbrook Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Karen A. Holbrook, as well as gifts from colleagues, friends, and family in honor of Dr. Holbrook's distinguished career as the 13th president of The Ohio State University.

The annual distribution from this fund shall support research at the undergraduate level through the Undergraduate Research Office and/or study abroad scholarships through the Office of International Affairs at the discretion of the executive vice president and provost. The director of the Undergraduate Research Office and the director of study abroad in the Office of International Affairs in consultation with the Office of Student Financial Aid will make recommendations for their areas respectively.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the executive vice president and provost. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$150,225.00

The Hagop S. Mekhjian, M.D. Medical Ethics and Professionalism Lectureship Fund

The Hagop S. Mekhjian, M.D. Medical Ethics and Professionalism Lectureship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Dr. and Mrs. Mekhjian and multiple friends made in honor of Hagop Mekhjian, M.D. (medical director, OSU Health System since 1984) of Columbus, Ohio.

The annual distribution from this fund shall be used to provide support for outstanding instruction, scholarship, and professional development activities addressing medical ethics and medical professionalism for medical students, residents, and practicing physicians. The lectureship will focus on developing the knowledge base and skills to provide humane, compassionate care in today's complex social and medico-legal environment. Allocation of funds shall be recommended by the medical director and approved by the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the medical director of the OSU Health System and approved by the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$61,500.00

The Huntington Archives Endowment Fund

The Huntington Archives Endowment Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor.

The annual distribution from this fund shall be used to support the activities of The Huntington Archives at The Ohio State University as determined by the director of Special Collections/Art Archives curator in consultation with the dean of the College of the Arts.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$51,635.59

Ronald D. and Jane Hess Harris Fund for Educational Excellence

The Ronald D. and Jane Hess Harris Fund for Educational Excellence was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ronald D. Harris (B.Ch.E., 1961; M.S., 1961) and Jane Hess Harris (B.A., 1960).

The primary purpose of this fund is to help provide excellent educational facilities for the Department of Chemical and Biomolecular Engineering of The Ohio State University, or to otherwise provide scholarships for students of that department. The annual distribution from this fund shall be used to pay construction costs or interest on construction bonds issued for a new building(s) for the Department of Chemical and Biomolecular Engineering. Until such construction begins, the distribution shall be used for scholarship support for deserving chemical engineering students. Once construction costs are paid off, the distribution will once again be used for scholarship support. Scholarship recipients will be chosen by the chairperson of the Department of Chemical and Biomolecular Engineering in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the chemical engineering degree programs cease at the University, the endowment principal will be transferred to the Department of Art and the distribution will be used primarily for the payment of construction costs and secondarily for scholarships. If, in the future, neither of these departments exist, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering and by the dean of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,897.37

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The Sungkyu Christopher Lee, M.S. Family Scholarship Fund

The Sungkyu Christopher Lee, M.D. Family Scholarship Fund was established July 13, 2007, by the Board of Trustees in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Sungkyu Christopher Lee, Mrs. Geraldine Lee, and Mr. Bryan Christopher Lee of Columbus, Ohio.

The annual distribution from this fund shall be used to support one or more undergraduate scholarships for third - or fourth - year international students who are preparing to attend medical school. By University definition, the undergraduate preparation for medicine should include a base of core science courses that include the following: biology, general chemistry with laboratory, organic chemistry with laboratory, physics with laboratory, and biochemistry. In addition, a clinical or research internship or work experience is strongly recommended.

The donors prefer to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donors' desire that the scholarship be awarded in furtherance of the diversity mission with particular attention to, but not limited to, undergraduates who are enrolled full-time at the University with a minimum 3.0 grade point average (G.P.A.), in the following order: first preference is to South Korean undergraduate students, second preference is to undergraduate students of South Korean descent, and third preference is to undergraduate students who are from the continent of Asia or are of Asian descent.

The fund may be used towards the cost of tuition, room and board, books, an educational stipend, and miscellaneous educational expenses. The scholarship is renewable up to nine quarters as long as the recipient maintains a minimum of a 3.0 G.P.A.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. This scholarship fund will be administered by the Office of Student Financial Aid. Any unused annual distribution shall be reinvested to the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of Student Financial Aid in consultation with the vice provost for Enrollment Services and dean for Undergraduate Education and the donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,047.53

The Edward C. "Danny" Danford Strategic Initiatives Fund

The Edward C. "Danny" Danford Strategic Initiatives Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Edward C. "Danny" Danford (B.S.Bus.Adm., 1934).

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The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff in accordance with the strategic plans of the College.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

The Major Robert C. White Endowed Scholarship Fund

The Major Robert C. White Endowed Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Eugene M. (B.S.Bus.Adm., 1954) and Marjorie L. (B.S., 1958) White in memory of Eugene's brother, Major Robert C. White (B.S., 1947).

This scholarship is in memory of Major Robert C. White, a native of Risingsun, Ohio, and a pilot during World War II. Later, after recall to service, he served in public information at the Pentagon and bases throughout the world. While in Korea he was in charge of the "Mutuality Program" whereby the Air Force assisted South Koreans in a variety of ways. This was a forerunner of the Peace Corps. He was a spokesman at Cape Kennedy during the early space flights and finished his career in 1966 establishing the Cape Kennedy Space Museum. Major White was a graduate of The Ohio State University and would have been honored to have assisted future graduates of the University's Air Force ROTC program.

The annual distribution from this fund shall provide one (1) scholarship to an undergraduate student with preference being given to junior, senior, or fifth-year senior cadets who intend to make a career in the United States Air Force. Scholarship recipients will be selected by the Detachment Commander of the Air Force Reserve Officer Training Corps at The Ohio State University in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of Student Financial Aid. Any such alternate distributions shall be

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made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

The OSU Naval ROTC Alumni Society Award Fund

The OSU Naval ROTC Alumni Society Award Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from members and friends of The Ohio State University Naval Reserve Officer Training Corps Alumni Society.

The annual distribution from this fund shall support awards and programming for The Ohio State University Naval Reserve Officer Training Corps (OSU NROTC). The fund shall be used to provide annual awards to selected midshipmen. The Commanding Officer of the OSU NROTC and the Board of Governors of the OSU NROTC Alumni Society shall annually determine the number and the amount of the awards. To the extent funds are available after presenting the annual awards, the fund may be used to support the programmatic needs of the OSU NROTC Unit.

The fund will be administered by the Commanding Officer of the OSU NROTC in consultation with the Board of Governors of the OSU NROTC Alumni Society and disbursed through the Office of Student Financial Aid. Funds not distributed in any year shall be available for distribution in successive years.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Commanding Officer of the OSU NROTC Unit and the Board of Governors of the OSU NROTC Alumni Society. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$29,667.00 (grandfathered)

Daniel and Lisa Wampler Vice President's Excellence Fund

The Daniel and Lisa Wampler Vice President's Excellence Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Daniel (B.S.FoodTech., 1980; Ph.D., 1983) and Lisa (B.S.Ed., 1982) Wampler.

The annual distribution from this fund shall be used to support programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not be limited to, support of a positive, creative work environment for students, faculty, and staff; outreach to our external audiences; encouragement and promotion of student

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organizations; scholarships; and professional development. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$29,487.00 (grandfathered)

The Sharron L. Kisner CIDP Research Fund

The Sharron L. Kisner CIDP Research Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made in her honor from son, Karl Jeffrey Kisner (B.S.Agr., 1990) of Durham, North Carolina, and from family and friends.

The annual distribution from this fund shall be used to advance medical knowledge in the field of chronic inflammatory demyelinating polyneuropathy (CIDP) in the Department of Neurology. Funds may be used for personnel, supplies, equipment, publications, conferences, and other activities required for quality medical research. Allocation of funds shall be approved by the chairperson of the Department of Neurology, the senior vice president for Health Sciences, and the dean of the College of Medicine.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Neurology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$28,650.00 (grandfathered)

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Rosalie S. and Edward O. Elliott II Scholarship Fund

The Rosalie S. and Edward O. Elliott II Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Rosalie S. (B.S., 1967) and Edward O. Elliott II.

The annual distribution from this fund shall provide scholarships for graduates of Ridgmont High School of Hardin County, Ohio. Candidates shall be interviewed by The Hardin County Alumni Club and recommended to the Office of Student Financial Aid. Scholarship recipients will be selected by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$27,580.00 (grandfathered)

Mark A. and Debbie Cramer Endowment Fund

The Mark A. and Debbie Cramer Endowment Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mark A. and Debbie Cramer.

The annual distribution from this fund shall provide unrestricted support for The Ohio State University at Marion to be used at the discretion of the dean and director of The Ohio State University at Marion, who will apprise the donors of the use of the fund annually.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean and director of The Ohio State University at Marion. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$27,209.20

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The Class of 1960 Medical Education Scholarship Fund

The Class of 1960 Medical Education Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from alumni of the 1960 medical class of the College of Medicine.

The annual distribution from this fund shall be used to provide one or more scholarships to medical students in the College of Medicine based on academic merit and/or financial need. The selection shall be made by the recommendation of the dean of the College of Medicine in consultation with the senior vice president for Health Sciences and with the College of Medicine Committee for Scholarship Awards and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation

Board as recommended by the dean of the College of Medicine and by the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$26,737.14 (grandfathered)

The G. Jane Leiby and Marie Myers Memorial Scholarship Fund

The G. Jane Leiby and Marie Myers Memorial Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jane M. Leiby (M.D., 1979) of Ostrander, Ohio.

The annual distribution from this fund shall be used to support one need-based scholarship for a first-generation undergraduate student. The annual distribution may be used towards the cost of tuition, room and board, books, an educational stipend, and miscellaneous educational expenses. The scholarship is renewable up to 12 quarters as long as the recipient maintains financial need.

Each year, any unused distribution should be reinvested to the principal. The fund will be administered by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the

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associate provost for Honors and Scholars. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,958.13 (grandfathered)

Wilson Weait Bassoon Studio Award Fund

The Wilson Weait Bassoon Studio Award Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends, family, and colleagues in honor of George Wilson and Christopher Weait, retired School of Music bassoon professors.

The annual distribution from this fund shall provide an award for students enrolled in the School of Music majoring in bassoon. Recipients shall be selected by bassoon faculty in consultation with the director of the School of Music and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the School of Music in consultation with the dean of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,112.00 (grandfathered)

The Charles Foley Scholarship Fund

The Charles Foley Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Charles R. Foley (B.S., 1968; M.B.A., 1971), of Columbus, Ohio.

The annual distribution from this fund shall provide one or more need-based scholarships to a first-year full-time student who graduated from Central Catholic High School (Springfield, Ohio) with a minimum of a 3.0 grade point average. It is the donor's desire that scholarships be given to students who do not qualify for Pell Grants with preference given to students who have applied for a student loan.

Furthermore, it is the donor's desire that, if in a given year there are no qualified students who have graduated from Central Catholic High School (Springfield, Ohio), then graduates of the following may be considered in the following order of preference: Grand Rapids Catholic Central High School (Grand Rapids, Michigan); any high school in Clark County, Ohio; or any high school in Kent County, Michigan.

Each scholarship shall be awarded at a minimum of \$500. The scholarship shall be distributed equally among the quarters enrolled during the academic school year for expenses such as the cost of tuition, room and board, books and supplies, an educational stipend, and miscellaneous educational expenses. The

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scholarship is renewable up to 12 quarters as long as the recipient maintains financial need and a minimum 3.0 G.P.A. Each year, unused distribution should be reinvested in the endowment principal. The fund will be administered by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice provost and dean of the Office of Enrollment Services and Undergraduate Education. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,024.00 (grandfathered)

Marjorie Simmons Clemmer Dean's Fund

The Marjorie Simmons Clemmer Dean's Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Marjorie Simmons Clemmer (B.S., 1948; M.S., 1955) of Lancaster, California.

The annual distribution from this fund shall be used to support creative, forward-thinking programs in all areas of human ecology in the College of Education and Human Ecology. Uses shall be approved by the dean in consultation with the College's Executive Committee and shall include but not be limited to: research, teaching, and service projects; recruitment, alumni, and development programming; professional development; emergency needs of faculty, staff, and students; and emerging program priorities based on societal issues.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

The Hall Family Scholarship Fund in the College of Biological Sciences

The Hall Family Scholarship Fund in the College of Biological Sciences was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Richard W. Hall and Janet C. Hall of Columbus, Ohio.

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The annual distribution from this fund shall be used to assist undergraduate students enrolled in the College of Biological Sciences with preference given to students with an interest in the environment and education. Recipients will be selected by the dean of the College of Biological Sciences in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Biological Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

The Selma and Edward Levy Endowed Scholarship Fund

The Selma and Edward Levy Endowed Scholarship Fund was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gary S. Levy (D.V.M., 1981) in honor of his parents Selma (B.S., 1933) and Edward Levy.

The annual distribution from this fund shall provide financial aid yearly to one (1) D.V.M. student with preference given to a student from New Jersey with an interest in small animal medicine. Scholarship recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00 (grandfathered)

The George and Betty Richards Endowed Fund in the College of Pharmacy

The George and Betty Richards Endowed Fund in the College of Pharmacy was established July 13, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George and Betty Richards.

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The annual distribution from this fund shall provide unrestricted support for The Ohio State University College of Pharmacy to be used at the discretion of the dean.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Change in Description of Named Endowed Fund

The George Booth Family Athletic Scholarship Fund

The George Booth Family Athletic Scholarship Fund was established July 7, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George H. Booth Jr., of Perrysburg, Ohio. The description was revised July 13, 2007.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity baseball team. The recipient will be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Change in Name of Named Endowed Fund

The Dr. Alfred and Mary Ann Stockum Medical Student Scholarship Fund

The Alfred E. Stockum College of Medicine Scholarship Fund was established March 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio

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State University Foundation, with gifts from Alfred Stockum (B.S., 1953; M.D., 1958) and Mary Ann Stockum of Columbus, Ohio. The name was revised July 13, 2007.

The annual distribution from this fund shall provide a scholarship in the College of Medicine for a first-year medical student based on academic merit or financial need. Scholarship recipients shall be made by the recommendation of the dean of the College of Medicine in consultation with the senior vice president for Health Sciences and with the College of Medicine Committee for Scholarship Awards and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS, TO INCREASE CONSTRUCTION CONTRACTS, AND TO ENTER INTO A JOINT USE AGREEMENT

Resolution No. 2008-14

APPROVAL TO ENTER INTO DESIGN CONTRACTS

ATHLETICS DEPARTMENT FACILITIES MASTER PLAN

ATI – HALTERMAN HALL IMPROVEMENTS

BROWN HALL DEMOLITION

CAMPBELL HALL – THIRD FLOOR WEST HVAC IMPROVEMENTS

CAMPBELL HALL ELEVATOR UPGRADES

DODD HALL – 3RD AND 4TH FLOOR RENOVATION

DRINKO HALL WINDOW/MASONRY RENOVATIONS

HIGH VOLTAGE SWITCH AND CABLE REPLACEMENT

LIMA – GALVIN HALL ROOF/GALVIN AND REED EXT DOOR REPL

LORD HALL DEMOLITION

MCCRACKEN POWER PLANT SEWER UPGRADE

MCCRACKEN WATER TREATMENT UPGRADE

MEDICAL CAMPUS ELECTRICAL UPGRADES

MURRAY HALL – ROOF REPLACEMENT

OARDC – SELBY HALL PHYTOTRON FACILITY RENOVATION

POWER PLANT – SECURITY UPGRADES

TZAGOURNIS MEDICAL RESEARCH FACILITY CURTAIN WALL REPAIRS

UNIVERSITY HOSPITALS EAST – MRI INSTALLATION

UNIVERSITY HOSPITALS EAST – OPERATING ROOMS RENOVATION

APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS

ATI – HALTERMAN HALL IMPROVEMENTS

BROWN HALL DEMOLITION

CAMPBELL HALL ELEVATOR UPGRADES

DODD HALL – 3RD AND 4TH FLOOR RENOVATION

LIMA – GALVIN HALL ROOF/GALVIN AND REED EXT DOOR REPL

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LINCOLN TOWER COOLING TOWER REPLACEMENT
 LORD HALL DEMOLITION
 MCCracken POWER PLANT SEWER UPGRADE
 MCCracken WATER TREATMENT UPGRADE
 MEDICAL CAMPUS ELECTRICAL UPGRADES
 MURRAY HALL – ROOF REPLACEMENT
 OHIO STADIUM – SOUTH STANDS STAIR REPAIR
 POMERENE HALL – ROOF REPLACEMENT PHASE II
 POWER PLANT – SECURITY UPGRADES
 UNIVERSITY HOSPITALS EAST – OPERATING ROOMS RENOVATION

APPROVAL TO INCREASE CONSTRUCTION CONTRACTS
 MOREHOUSE MEDICAL PLAZA – SURFACE PARKING EXPANSION
 PROJECT

APPROVAL TO ENTER INTO A JOINT USE AGREEMENT
 DELAWARE COUNTY SPEECH & HEARING WITH OSU MEDICAL COLLEGE

Synopsis: Authorization to enter into design and construction contracts, to increase construction contracts and to enter into a joint use agreement, as detailed in the attached materials, is requested.

WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into design contracts for the following projects:

Athletics Department Facilities Master Plan (N/A)	\$0.5M	Auxiliary funds
ATI – Halterman Hall Improvements (07-08 Basic Renovation request)	\$0.3M	State funds
Brown Hall Demolition (07-08 capital request)	\$1.0M	State funds
Campbell Hall – Third Floor West HVAC Improvements (N/A)	\$0.4M	College general funds
Campbell Hall Elevator Upgrades (07-08 Basic Renovation request)	\$0.3M	State funds
Dodd Hall – 3 rd and 4 th Floor Renovation (N/A)	\$0.5M	OSUMC Health Systems
Drinko Hall Window/Masonry Renovations (07-08 Basic Renovation request)	\$0.6M	State funds
High Voltage Switch and Cable Replacement (05-06 capital request)	\$15.0M	2007 bond proceeds
Lima – Galvin Hall Roof/Galvin and Reed Ext Door Repl (07-08 Basic Renovation request)	\$0.3M	State funds
Lord Hall Demolition (07-08 capital request)	\$1.2M	State funds
McCracken Power Plant Sewer Upgrade (07-08 Basic Renovation request)	\$0.3M	State funds
McCracken Water Treatment Upgrade (07-08 Basic Renovation request)	\$0.7M	State funds
Medical Campus Electrical Upgrades (07-08 Basic Renovation request)	\$0.4M	State funds
Murray Hall – Roof Replacement (N/A)	\$0.5M	OSUMC Health Systems
OARDC – Selby Hall Phytotron Facility Renovation (07-08 capital request)	\$2.0M	State funds
Power Plant – Security Upgrades (N/A)	\$0.3M	Central Funds
Tzagournis Medical Research Facility Curtain Wall Repairs (N/A)	\$1.0M	OSUMC Health Systems

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University Hospitals East – MRI Installation (N/A)	\$2.7M	OSUMC Health Systems
University Hospitals East – Operating Rooms Renovation (N/A)	\$0.8M	OSUMC Health Systems

WHEREAS in accordance with the attached materials, the University desires to enter into construction contracts for the following projects:

ATI – Halterman Hall Improvements (07-08 Basic Renovation request)	\$0.3M	State funds
Brown Hall Demolition (07-08 capital request)	\$1.0M	State funds
Campbell Hall Elevator Upgrades (07-08 Basic Renovation request)	\$0.3M	State funds
Dodd Hall – 3 rd and 4 th Floor Renovation (N/A)	\$0.5M	OSUMC Health Systems
Lima – Galvin Hall Roof/Galvin and Reed Ext Door Repl (07-08 Basic Renovation request)	\$0.3M	State funds
Lincoln Tower – Cooling Tower Replacement (09-10 capital request)	\$2.6M	Future bond proceeds
Lord Hall Demolition (07-08 capital request)	\$1.2M	State funds
McCracken Power Plant Sewer Upgrade (07-08 Basic Renovation request)	\$0.3M	State funds
McCracken Water Treatment Upgrade (07-08 Basic Renovation request)	\$0.7M	State funds
Medical Campus Electrical Upgrades (07-08 Basic Renovation request)	\$0.4M	State funds
Murray Hall – Roof Replacement (N/A)	\$0.5M	OSUMC Health Systems
Ohio Stadium – South Stands Stair Repair (N/A)	\$0.4M	Auxiliary funds
Pomerene Hall – Roof Replacement Phase II (05-06 capital request)	\$0.3M	State funds
Power Plant – Security Upgrades (N/A)	\$0.3M	Central Funds
University Hospitals East – Operating Rooms Renovation (N/A)	\$0.8M	OSUMC Health Systems

WHEREAS in accordance with the attached materials, the University desires to increase construction contracts for the following project:

Morehouse Medical Plaza – Surface Parking Expansion Project (07-08 Capital Request)	\$3.7M	OSUMC Health Systems
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WHEREAS in accordance with the rules of the Ohio Board of Regents, the University desires to enter into a joint use agreement with the Delaware Speech and Hearing Center, Inc. for the following project:

Delaware County Speech & Hearing with OSU Medical College	\$0.08M	State appropriations (CAP-747)
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** Parentheses indicates the biennial capital request or other action by the Board of Trustees to authorize the capital project, renovation projects funded by internal office or department funds that are noted as "N/A" have not had separate capital project authorization because of their small size or because they arose unexpectedly between capital planning cycles.*

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NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design and construction contracts, to increase construction contracts and to enter into a joint use agreement, for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix III for background information and maps, page 147.)

**INTERIM AUTHORIZATION TO ENTER INTO DESIGN,
CONSTRUCTION MANAGEMENT, AND CONSTRUCTION CONTRACTS**

Resolution No. 2008-15

Synopsis: Authorization to enter into design, construction management, and construction contracts for University capital projects as necessary prior to the September Board of Trustees meeting is requested.

WHEREAS to support the Academic Plan, ensure timely design and construction of University facilities and improvements, and make the most effective use of limited financial resources, the University desires to move forward expeditiously with needed capital projects, subject to approval by the Board of Trustees; and

WHEREAS the next scheduled meeting of the Board of Trustees is on September 21, 2007; and

WHEREAS during the intervening period, the Board desires to facilitate such approvals, subject to appropriate review and oversight:

NOW THEREFORE

BE IT RESOLVED, That in the intervening period before the next regularly scheduled meeting of the Board of Trustees on September 21, 2007, the Chair of the Fiscal Affairs Committee, in consultation with the Committee and with the Chair of the Board of Trustees as appropriate, shall have the authority, on behalf of the Board of Trustees, to authorize design, construction management, and construction contracts, and approve other related actions, for University capital projects; and

BE IT FURTHER RESOLVED, That, during this period, as approved by the President, the Senior Vice President for Business and Finance shall present any needed actions to authorize design, construction management, and/or construction contracts or take any related actions for capital projects to the Chair of the Fiscal Affairs Committee, as she may direct, for review and approval; and

BE IT FURTHER RESOLVED, That, in accordance with the process outlined above and subject to the written approval of the Chair of the Fiscal Affairs Committee, the President and/or Senior Vice President for Business and Finance shall be authorized to enter into design contracts and construction management contracts and enter into construction contracts, if satisfactory bids are received, and take any other related actions on capital projects, in accordance with established University and State of Ohio procedures, with these actions to be reported to the Board at the September 2007 meeting; and

BE IT FURTHER RESOLVED, That the authority granted by this resolution shall expire as of the September 2007 meeting.

EASEMENT

Resolution No. 2008-16

**OHIO POWER COMPANY ELECTRICAL SERVICE
AGRICULTURAL TECHNICAL INSTITUTE, WOOSTER, OHIO**

Synopsis: Authorization to grant an easement to Ohio Power Company for improvements, facilities, and equipment related to providing electrical service for the Agricultural Technical Institute Water Well Service is proposed.

WHEREAS Ohio Power Company has requested an easement, 10 foot wide by 347.4 foot long, for a term of 25 years to construct, operate and maintain underground facilities and equipment for an electrical utility distribution line running from South Apple Creek Road to the Agricultural Technical Institute well; and

WHEREAS this easement will serve and benefit the Agricultural Technical Institute Campus and the appropriate University offices have determined that the grant of this easement is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to prepare appropriate documents and grant an easement to Ohio Power Company upon such terms and conditions as are in the best interest of the University.

(See Appendix IV for map, page 177.)

LONG-TERM LEASE

Resolution No. 2008-17

**VARSITY CREW FACILITY
INDIAN VILLAGE ROAD
COLUMBUS, OHIO**

Synopsis: Authorization is requested for a long-term lease with the City of Columbus of a crew boathouse facility located at the Griggs Reservoir on the Scioto River, Columbus, Ohio.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to enter into a long-term lease of real property; and

WHEREAS the leased premises will be a boathouse facility used for varsity women's crew activities; and

WHEREAS this property is located within reasonable proximity of the Columbus Campus with convenient access by rowers and the Department of Athletics has determined that the Lease of this property is in the best interest of the University; and

WHEREAS the funds for the lease will be provided from the Department of Athletics:

NOW THEREFORE

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BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to enter into a long-term lease of the improved real property at the Griggs Reservoir on the Scioto River for use by The Ohio State University and its varsity crew program and other Department of Athletics uses, for a 40-year term, with a 40-year renewal term, rent not to exceed \$3.3 million, and under such additional terms and conditions as shall be in the best interest of the University.

(See Appendix V for background information and map, page 179.)

**APPROVAL OF FISCAL YEAR 2008 TUITION AND SUPPLEMENTAL
INSTRUCTIONAL, IN-HOUSE DESIGN AND PROJECT MANAGEMENT FEES,
AND FISCAL YEAR 2008 OPERATING BUDGETS FOR ALL CAMPUSES**

Resolution No. 2008-18

Synopsis: Approval of the instructional, general and non-resident fees, and supplemental instructional fees for selected programs at the Columbus, Regional and ATI Campuses for FY 2008 are proposed effective Autumn Quarter 2007. Further, approval of the proposed Columbus, Regional and ATI Campuses' Operating Budgets for Fiscal Year 2008 and approval of the proposed fees for in-house design and project management are proposed, effective July 13, 2007.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS State support levels have been determined and undergraduate instructional and general fees at all campuses are subject to a 0% tuition cap in the state budget; and

WHEREAS consultations have taken place within the University to determine the appropriate instructional fee increases for undergraduate, graduate and professional programs, and selective supplemental instructional fees; and

WHEREAS effective Autumn Quarter 2007, undergraduate Tier-One tuition (applying to students admitted before Summer 2002) will as planned be included in the Tier-Two tuition level and Tier-One will be eliminated; and

WHEREAS Instructional, general, non-resident fees and supplemental instructional fee increases for selected programs for the Columbus, Regional and ATI Campuses for FY 2008 and in-house design and project management fees have been proposed; and

WHEREAS other sources of general funds and earnings resources have been estimated and restricted fund resources have been projected based on historical trends; and

WHEREAS the assessment on the Endowment to support Development has been phased down from 1.33% to 1.1% in FY 2007 and is proposed to be phased down in FY 2008 to 1.075% and an additional .025% in FY 2009, 2010, and 2011 to a permanent support level of 1%; and

WHEREAS expenditures, including compensation, have been estimated for FY 2008; and

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WHEREAS the FY 2008 budget includes for the second year one-time funds for Campus Partners including: 1) \$650,000 for support of Campus Partners operations to carry out its initiatives, subject to the provisions of the 1997 memorandum of understanding, as amended from time to time, and 2) \$600,000 to address timing issues related to the renting of Gateway commercial/retail spaces; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus, Regional and ATI budgets, and the Interim President now recommends approval of these FY 2008 Operating Budgets with a final Total University Current Funds Budget to be submitted at the September meeting of the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for undergraduate students in Tier-Two and Tier-Three enrolled at the Columbus Campus and all undergraduates enrolled at the Regional and ATI Campuses will not increase; and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2007, Tier-One tuition (applying to students admitted before Summer 2002) will be included in the Tier-Two tuition level and Tier-One will be eliminated; and

BE IT FURTHER RESOLVED, That supplemental instructional fees described in the attached supporting material will be implemented; and

BE IT FURTHER RESOLVED, That instructional and general fees for most graduate students will increase 5.7% and that the instructional fees for the professional colleges and selected graduate programs will increase between 6% and 13%; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all programs will increase by 6% except for the Law nonresident surcharge which will increase by 2%; and

BE IT FURTHER RESOLVED, That all of these fee rates shall be effective Autumn Quarter 2007; and

BE IT FURTHER RESOLVED, That the in-house design and project management fees shall be effective for projects commencing after July 13, 2007; and

BE IT FURTHER RESOLVED, That the Endowment assessment level to support Development be reduced to 1.075% effective FY 2008 and continue to be reduced by .025% in FY 2009, 2010 and 2011 to a permanent level of 1%; and

BE IT FURTHER RESOLVED, That the Operating Budget for the Columbus, ATI, Lima, Mansfield, Newark, and Marion Campuses for Fiscal Year 2008, as described in the accompanying text and tables, be approved effective July 13, 2007, with authorization for the President to make general fund and earnings fund expenditures within the estimated income levels and to authorize the expenditure of all restricted funds earned with quarterly revenue updates to the Board.

(See Appendix VI for background information, page 181.)

Upon motion of Mr. Shumate, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Cloyd, Hendricks, McFerson, Davidson, Ong, Borrer, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Marbley.

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July 12 and 13, 2007, 2007 meeting, Board of Trustees

**WAIVERS OF COMPETITIVE BIDDING
REQUIREMENTS (JANUARY – MARCH 2007)**

Resolution No. 2008-19

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of January – March 2007 and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 72 waivers of competitive bidding requirements for annual purchases totaling approximately \$11,970,723 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 39 waivers of competitive bidding requirements for annual purchases totaling approximately \$11,972,564 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of January – March 2007, is hereby accepted.

Upon motion of Mrs. Davidson, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolution with nine affirmative votes cast by Trustees Hendricks, McFerson, Davidson, Ong, Borrer, O'Dell, Hicks, Fisher, and Marbley, and three abstentions cast by Trustees Cloyd, Shumate, and Schottenstein.

(See VII for background information, page 217.)

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INTERIM PRESIDENT'S REPORT

President Joseph A. Alutto:

It certainly has been a pleasure for me to be with you at my first Board of Trustees meeting in my interim capacity, especially under such unique and absolutely delightful circumstances. I am personally very pleased with the announcement that Gordon Gee will be joining us as President. In 1991 – Gordon might not remember this -- he hired me as a dean and he did it in the midst of a rain storm at a Bowl game in Memphis, Tennessee, when we were losing. From my perspective that bodes extremely well for us as we continue to add outstanding faculty, staff and student talent in the years ahead, because Gordon can recruit anyone. It is the sense of passion,

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vision, and absolute total commitment that makes me look forward to working with Gordon again in the years ahead.

Let me say that while serving in a dual interim capacity certainly has had its challenges, it has also been made easier by the support of the outstanding leadership team that Karen Holbrook and Barbara Snyder were able to assemble. The vice presidents and vice provosts have truly stepped up to the plate during this transition period and I have been grateful for that support, as well as the support of all trustees. The sense of common mission and mutual commitment that I have experienced gives me great confidence for the future of a wonderful University.

I have certainly been helped by the involvement of dedicated faculty and staff throughout this period. And a few of our new faculty and staff leaders are with us today and I would like to acknowledge them: Professor Rick Herrmann, chair of the Senate Steering Committee, director of the Mershon Center, and professor of Political Science; Professor Robert Perry, chair of the Faculty Council and professor of Physics; and Mr. David Kos, chair of USAC and director, Environmental Compliance, Office of Environmental Health and Safety. They are truly representative of the faculty and staff who care for this institution and are truly dedicated to it.

My role as Interim President, as I see it, is to ensure that this great University does not lose any momentum in aggressively pursuing the Academic Plan that has guided us so well for a number of years. Continuity in execution will set the stage for any new focus that reflects our own changing environment. As embodied in the plan, the core issue for us is to achieve greater levels of excellence and prominence for all of our academic programs. That must be the dynamic that drives all our decisions and new initiatives.

In keeping with this, in the immediate future I hope to focus energies in at least two areas. The first is an effort to provide access to excellence for all that we are creating at Ohio State. Access is certainly part of our land-grant mission and we have made considerable strides in providing financial access for students of ability. As one example, the Land Grant Opportunity Scholarship Program, established in 2005, has opened Ohio State to many high-achieving students who might never have been able to attend this institution without full-ride scholarships. Of course, there could be no more stellar example of this commitment to access than our own present student trustee and Land Grant Scholar, Debra Van Camp.

Consistent with this, Ohio State was also singled out in an Education Trust report entitled "Engines of Inequality" for creating an Office of Economic Access, headed by Tally Hart, to seek ways of ensuring that every qualified student can achieve a college education here at Ohio State. But access to mediocrity has very little value. We need to provide faculty, staff and programs of excellence that attract and welcome the very best high quality students with the education that they and Ohio both need and deserve.

We also need to continue our efforts to help create portals of excellence in the pre-college education system, as we are doing in partnership with Battelle and the Educational Council at the highly regarded Metro School. Also in partnership with Columbus Public Schools at the Schoenbaum Family Center, the early childhood development center in Weinland Park.

That excellence must also extend to our international focus. Here at Ohio State we already have a global reach by virtue of our size, an alumni base that is distributed on every continent, the number of international students

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and faculty found on campus, our study abroad programs, and the many international partnerships that are found in our colleges, the Medical Center, and professional schools.

As some examples of these activities, Ohio State has a special relationship with the Punjab Agricultural University in India and the College of Food, Agricultural and Environmental Sciences also has programs in Tanzania, Bangladesh, Ecuador, Jamaica, the Philippines, and Uganda, as well as collaboration with the University of Sao Paulo in Brazil that goes back more than 40 years.

In the Fisher College of Business, the Center for International Business Education and Research supports the development of international teaching, research and outreach. The Center and College have sent students on research and study projects to over eleven different countries over the past few years and annually welcomes students from 20-30 different countries into college programs. Most recently that commitment to global understanding was evidenced in a project for the government of Ethiopia that focused on identifying national strategies for developing international markets for products previously only developed and distributed on a local basis.

University area studies programs and honors programs provide opportunities for specialized international experiences and knowledge creation for both faculty and students. Such programs exist through out the Arts and Sciences and most colleges on campus, which is indicative of Ohio State's reach and its global involvement. But we have tended to pursue those efforts in a piece meal fashion, taking advantage of our decentralized academic and administrative structure. We now have to build on and integrate these efforts, in part taking advantage of the worldwide network of our alumni to establish a very clear international visibility for this great institution. Such an effort will serve to enrich the intellectual lives of our students and faculty while also strengthening the economy and quality of life for citizens of the state.

I am delighted to note that the recently passed state budget for higher education is important in setting the stage for Ohio State's future in accomplishing the goals of the Academic Plan and for truly providing student and faculty access to excellence. It heralds a new commitment on the part of the state of Ohio to support excellence in higher education and through tuition freezes, it also provides access to that set of outstanding resources. Our expectations for this commitment, and the accountability that goes with it, are high. And it is only appropriate to acknowledge the courage and commitment of the Governor and Legislature as they have embarked on this investment strategy for the future of Ohio.

As we transition to new University leadership, please know that The Ohio State University is in a very strong place, with a growing reputation for greatness, rising standards in all that we do, and increased recognition that we serve a unique role as a flagship public university.

Thank you, Mr. Chairman.

Dr. Cloyd:

Thank you, Dr. Alutto, that is a very stimulating report.

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PRESIDENT-ELECT'S REPORT

Dr. Cloyd:

Dr. Gee, I would like to offer you the opportunity to provide some comments.

Dr. E. Gordon Gee:

First of all, I agree with everything Dr. Alutto said save for the kind words that he said about me; we will put those on ice for the moment, Joe. Indeed, one of the great prides of my time at Ohio State was the opportunity to recruit Joe. It was in a rain storm, we were losing to Air Force, and it was one of the most miserable days of my life. Then Joe said, "Yes," right in the middle of that and it buoyed me up.

I would say that today has been extraordinary for me, Mr. Chairman, because it has been a day filled with enthusiasm and inspiration for me. I was inspired by the opportunity to meet with my faculty colleagues this morning, early; and they were there, they were very enthusiastic about this University, and obviously that enthusiasm is something that I take great energy from. I had an opportunity to meet with the University Staff Advisory Committee (USAC) and they too feel valued and appreciated, and must because we need to make sure that we have a great partnership with all of the elements of this institution. The inspiration came also from meeting with students. I walked over to the Market Place and the students were there and they greeted me with great respect and great warmth. I take that as an opportunity for me to energize myself. So the inspiration of the University exists and I find myself very engaged in that.

This is a public comment, but we had a wonderful time last evening. Rebecca, my fabulous daughter, came home last evening and said, "Dad, you are so fortunate to work with these people." She reads people well and I want to second that -- I feel very fortunate to work with all of you.

The Chairman and I were on our workout facilities at 5:30 am this morning and I am overwhelmed by the fact that I am going to have to keep up with you now. I look forward to that and look forward to the opportunity to work with you, and each and every one of you. So just understand that.

I also want to reiterate the inspiration that I get from being again in public higher education. What I said yesterday, I meant. I slept very well last night, because I knew what I was going to do today and I knew why I'm doing it. I am very grateful for that. This is an inspirational time for me, because of the inspiration and opportunity I take from this University. Public higher education has a special place, doesn't it, Joe? And I heard what you said, I think it was so beautifully stated but it also is what we are about and I do appreciate that.

Then I am impressed, again, because of the quick tour that I took, about the changing nature of this institution. Seeing that magnificent new library rising up, and what they have accomplished in terms of the intellectual resources of this institution is something that is extraordinary. What I said yesterday is even more true today in my mind -- that this is a much different institution than I had the opportunity to lead in the 1990s, and I am grateful to those who made it so. Now I know that I must be a different president and I pledge to you that I will.

I want to thank the legislature for their support of higher education, I want to thank the Governor for his enthusiasm, and I want to thank the Governor for

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appointing a great Chancellor. I feel very good about where we are right now. We have opportunity, we have progress in the making, and we also have an opportunity to make our case in a positive environment. I believe this, if given the opportunity to make the case for Ohio State to the people of Ohio, we will succeed. We are already succeeding. There is no question about that. We just need to be given that opportunity.

Finally, I want to say to everyone, I am not quite certain about when I will be here full-time, probably close to October 1. I want everyone around this table to understand and everyone at this University to understand, that there is an outstanding President leading The Ohio State University and his name is Joe Alutto. I am his quiet, silent, learning partner, but please do not in anyway misunderstand that he is the President of the University. I am not. It is going to be a great pleasure to work with him through this transition, but we are very well led and fortunately so. I appreciate the opportunity to be here today.

Mr. Chairman, thank you.

Dr. Cloyd:

Thank you very much, Dr. Gee. The description that Dr. Alutto and Dr. Gee have provided about our mission and opportunities, and the sense of stimulation and excitement they provide are wonderful. I won't provide any commentary on that, because it would sadly pale to what both of you've said. But for this Board, what I can say – and I think it is part of our responsibility – is the sense of confidence that we have that we will deliver on that exciting mission and vision that you described. This University is truly blessed to have two leaders now of your stature here. Both of you, thank you very much for that dedication.

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Thereupon the Board adjourned to meet Friday, September 21, 2007, at The Ohio State University, Fawcett Center, Columbus, Ohio.

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Attest:

G. Gilbert Cloyd
Chairman

David O. Frantz
Secretary



The Ohio State University

Board of Trustees

July 13, 2007

FY 2009 – FY 2014 Capital Plan

(First Reading)



**Bill Shkurti, Senior Vice President for
Business and Finance**

Mike Sherman, Vice Provost



What We'll Cover

- Context
- State Funds
- Other Sources
- Implications
- Next Steps





FY 2009 – FY 2014 Capital Plan

- Comprehensive: Encompasses all projects over \$1.5M from all sources, including affiliated entities.
- Six year time horizon with emphasis on next two years.
- Plan updated annually.
- First reading only. Vote will be requested in September.
- State funded projects require additional approval by the state for inclusion in FY 2009-FY2010 Capital Bill.
- Any individual projects that come forward for approval to hire architects or begin construction should be referenced in these documents.



Strategic Context I

Great Needs/Limited Resources

Funding Source	Amount Requested	Amount Available in FY 2009 & FY 2010
State Funds	\$873M	± \$70M
Bond Capacity	\$1,500M	± \$500M



STRATEGIC CONTEXT II

Areas of Emphasis

State Funds – Renovation of core academic buildings

Bond Capacity – Medical Center/Patient Care

Student Housing

Utilities Infrastructure



EVALUATION CRITERIA

Academic Priority

Physical Need

Financial Feasibility

Physical Feasibility



PROCESS

Sept. 06	Requests from Colleges
April 07	Hearings
May 07	Consultations
May 07	Recommendations by Provost and Senior VP for Business and Finance
June 07	Review by President's Cabinet
July 07	Recommendations by President to Board of Trustees
July 07	First Reading
Sept. 07	Vote for Approval
Jan. 08	State Approval (where required)
July 08	New Biennial Capital Bill in effect



RECOMMENDATIONS FOR STATE FUNDS



GENERAL RECOMMENDATIONS

- Oxley Thompson Library - \$8.7 m
- College of Medicine - \$6 m
- College of Public Health - \$6.6 m
(Cunz Hall)
- North Academic Core



INTEGRATING PHYSICAL AND CAPITAL PLANNING

The Current Approach

- Incremental
- College-centric
- Competition for Donors
- Short-term Vision
- Inefficient Solutions



A New Approach

- Strategic
- Holistic
- Pooled Resources
- Long-term Vision
- Optimized Solutions



MASTER-PLAN CENTERED URBAN DESIGN CONCEPT

Three discreet but linked open spaces form the “north green”

Iconic interaction buildings mark both ends of the “north green”

Neil Ave and College Rd upgraded as major north-south promenades

New buildings reinforce district edges on High St and Woodruff Ave

Ground floor programming and amenities activate open spaces for collaborative engagement

Strong university presence on High Street for community interactions

Increase by 1 million sq ft if needed and strategic



FUNCTIONAL CONCEPT

Flexible functional framework

Multi-disciplinary interaction

Discipline-based adjacencies and districts

Maximize district capacity



ARTS



SCIENCES +
LABORATORIES



Humanities, Social & Behavioral
Sciences, Education



PARKING +
SUPPORT



POTENTIAL LONG-TERM OPPORTUNITIES



FUNDING SUPPORT PROPOSAL

- Explore the concept further
 - Preliminary allocation \$242 million state capital funds through 2020 towards North Academic Core
 - Fund-raising and/or college set aside of \$245 million through 2020 towards North Academic Core



PROPOSED NEXT STEPS

- Consult with the deans of the North Academic Core colleges to affirm the nature of their support of this approach
 - Consider the results of a fund-raising feasibility study
 - Plan further the critical steps of implementing such an approach



WAITING IN THE WINGS

North Academic Core (Continued)

Postle Hall Renovation/Replacement

Veterinary Hospital Renovation/New Construction

Pomerene Hall Renovation

FAES Information and Technology Center



RECOMMENDATIONS REGARDING OTHER FUNDS

OSU Medical Center

Student Affairs

Infrastructure

All Other



OSU MEDICAL CENTER

Request Bond Authority of \$680M for FY 2007 –
FY 2012

Master Facilities Plan Under Review

More Information Will Be Provided in September





STUDENT AFFAIRS

Total Requests \$344M FY 2007 – FY 2012

Ohio Union \$112M

Larkins Replacement \$ 44M



STUDENT AFFAIRS – CONT.

Major Student Housing Related Requests

South Campus AC/Bathrooms (I&II) \$39M

Housing and Food Service Renovations \$51M

Expansion of Hall Complex (I&II) \$28M

Lincoln Tower \$39M

Jones Tower \$6M

Total Beds Added 1196

Total Beds Renovated/Upgraded 886



FACILITIES INFRASTRUCTURE

\$284M investment FY 2007 – FY 2012

Largest Projects

	Amount	Purpose
New Substation	\$52M	Inc. Cap.
East Chilled Water	\$49M	Inc. Cap.
High Voltage Switch	\$28M	Def. Mnt.
Midwest Campus Infrastructure	\$18M	Inc. Cap.
McCracken Boiler	\$16M	Def. Mnt.



OTHER

Total Six-Year Requests FY 2007 – FY 2012

Athletics	\$88M
Transportation and Parking	\$81M
Regional Campuses	\$42M
Affiliated Entities	\$44M +
Individual Colleges	\$21M
All Other	\$11M

IMPLICATIONS

Academic Plan
Deferred Maintenance
Long-Term Requests
Debt Capacity
Impact on Student, Faculty and Staff
Operating Costs
Transportation and Parking
Physical Feasibility
Energy Conservation/Sustainability

NEXT STEPS

- Consult with deans and other stakeholders on details of North Academic Core Plan.
- Align Medical Center Master Facilities Plan so the project can go forward.
- Explore supplemental options for student housing.
- Reconcile fund raising expectations with planning for the next campaign.
- Reconcile fund demands with available debt capacity.
- Return to the Board on September 21 to request approval.

CONCLUSIONS

- Criteria and process is transparent
- Recommendations are in alignment with the Academic Plan
- Highest priorities going forward are:
 - North Academic Core
 - Medical Center Patient Care Facilities
 - Student Housing
 - Utilities infrastructure
- Implications for the future, including deferred maintenance and debt capacity are addressed
- Next steps have been defined

**The Ohio State University
Board of Trustees
July 13, 2007**

TOPIC:

FY 2009 – FY 2014 Capital Plan (First Reading)

BACKGROUND:

This memorandum represents the preliminary recommendations for the FY 2009 – FY 2014 Capital Plan. It includes all projects from all funding sources, including affiliated entities. This is a first reading only. It will return for final recommendations at the September 21 Board Meeting.

SUMMARY:

Highest priorities for \$70 million in state capital funds in FY 2009-2010 are:

- Thompson Library Renovation
- Academic Core – North (Phase 1 of 7)
- Cunz Hall Renovation
- College of Medicine Renovations

Highest priorities for the \$500 million in non-state funds for FY 2009 – FY 2010 are:

- Ohio Union Replacement
- Medical Center Expansion
- Utilities Infrastructure
- Student Housing

CONSIDERATIONS:

- Are the recommendations consistent with the Academic Plan?
- Are the recommendations and implications clear and complete?
- Is the Academic Core – North plan clearly spelled out? Are the affected colleges on board?
- Are deferred maintenance needs being appropriately addressed?
- How are these recommendations being reconciled with the review of the Medical Center Master Facilities Plan?
- Are student housing needs being addressed?
- How do affiliated entities fit in?
- How do these recommendations reconcile with our private fund raising goals?
- What will not be built if these recommendations are approved?
- How is the University's credit rating protected?
- Who else is being consulted?

REQUESTED OF BOARD OF TRUSTEES:

First reading only. Discussion and advice.

Subject: FY 2009-2014 Capital Plan

Date: June 28, 2007

From: William Shkurti, Senior Vice President for
Business and Finance

Mike Sherman, Vice Provost

To: Joe Alutto, Interim President

This memorandum represents our preliminary recommendations regarding prioritization and funding of capital projects for the period FY2009 - FY2014. We recommend this memorandum be circulated campus-wide for comment prior to submission for a first reading at the July Board of Trustees meeting.

We have divided this memorandum into five parts. Part I describes the process and criteria used to make our decisions. Part II discusses our recommendations for State funded projects. Part III discusses projects that do not include State capital funds. The implications of our recommendations are discussed in Part IV. Part V is the appendix, which includes supplemental materials.

I. PROCESS AND PROJECT CRITERIA

An intensive information gathering and review process began over a year ago with a Request for Submission memo sent to all Deans and Vice Presidents on April 14, 2006. In the end, there were 19 major project requests for State capital funds, totaling approximately \$873 million; 67 requests for 2007 and 2009 bonds totaling approximately \$905 million. An additional \$660 million dollars in future funding has already been requested for consideration during the 2011 bond approval process. With the advice of the Space Facilities Committee and the appropriate Vice Presidents, projects were selected for additional review for possible inclusion in the FY2009 - FY2010 State capital biennial request or for University bonds. Information hearings with units requesting project funding were held during February, March, April and May 2007.

Predicting what will be available in State funds has become more and more difficult. The chart below shows the amount of State funds allocated to the University's Columbus Campus, and regional campuses, ATI, and OARDC for major capital projects over the last five biennia.

Biennium	OSU Columbus (millions)	OSU Regional/ATI/OARDC (millions)
1999-2000	\$78	\$10
2001-2002	\$72	\$6
2003-2004	\$70	\$10
2005-2006	\$57	\$7
2007-2008	\$71	\$11

State support has declined over this period while needs due to block obsolescence have increased. At this point it is difficult to predict what the State will allocate for FY2009 - FY2010. We are using a planning figure of \$70 million for the Columbus Campus, while presenting a mechanism for alternative funding scenarios.

To rank projects for inclusion in our final request, each project was evaluated based on its relationship to the Academic Plan, physical need, financial feasibility, and physical feasibility. The financial and physical feasibility components were included because, based on the other requirements alone, our priority projects would greatly exceed our expected appropriations. In addition, the impact of facilities on operating costs was incorporated into our discussions and the capital guidelines clearly stated that central funds would not, as a rule, pay operating costs for additional space (Attachment A). These recommendations are based on assumptions about program needs. If those needs change, the University reserves the right, after consultation with the parties involved, to reduce the project or reassign that space.

In making these recommendations to you, we, along with representatives from the Offices of Academic Affairs, Business and Finance, Research, Health Services, Student Affairs, Development, and representatives of the Senate Fiscal Committee and the Senate Committee on the Physical Environment, have evaluated the information submitted by the units with respect to established criteria. The following criteria do not provide a mathematical solution to a very complex set of issues; but are intended to guide our judgments:

Academic Priority

- Relation to University mission and the Academic Plan
- Program excellence
- Student demand
- Research productivity
- Opportunities for interdisciplinary collaboration

Physical Need

- Condition of existing space
- Unmet health and safety requirements

Financial Feasibility

- Likelihood of State support
- Cost sharing by requesting unit
- Net impact on operating costs

Physical Feasibility and Other Considerations

- Previous commitments
- Physical feasibility, including timing or staging issues
- Impact on parking
- Impact on other needs

A critical element in the planning process is the Academic Plan. Alignment of the objectives of the project with the goals of the Academic Plan was an overriding factor in the Space Facilities Committee's recommendations. As always, the number of worthy projects exceeds the funds available. The Academic Plan provides an additional context by which to establish academic priorities. The six major strategies identified in the Academic Plan are:

- 1) Build a world-class faculty
- 2) Develop academic programs that define Ohio State as the nation's leading public land-grant university
- 3) Improve the quality of the teaching and learning environment
- 4) Enhance and better serve the student body
- 5) Create a more diverse University community
- 6) Help build Ohio's future

Several of these strategies call for a supportive physical environment. The growing number of existing buildings requiring renovation or replacement is a threat to achieving our goals. So, in response to the Academic Plan, we are reserving scarce state dollars to support renovation or replacement of academic buildings, particularly where a deferred maintenance problem is involved. In addition, we are recommending an entirely new approach to planning and funding core academic facilities. This is discussed in greater detail in the section titled "Academic Core - North."

II. RECOMMENDATIONS REGARDING STATE CAPITAL FUNDS

Our recommendations for State capital funds are divided into three groups. Group One consists of projects identified as high priority for funds in the FY2009 - FY2010 biennium. Group Two is projects identified as high priority for FY2011 - FY2012 and beyond. Group Three consists of projects not recommended for State capital funds at this time and that likely warrant further study and development.

A. Group One: Recommendations for State Capital Funds in FY2009 - FY2010 Biennium

The projects listed in Group One have the highest priority in the Academic Plan as well as high physical need and financial feasibility. For purposes of these recommendations we are planning on a base state appropriation of \$70 million, although we recognize this number could change. The four priority projects are Thompson Library Renovation, Academic Core - North, Graves and Meiling Hall Renovations Phase II, and Cunz Hall renovations.

1. Thompson Library Renovation

The Thompson Library Renovation is one of only two capital construction projects specifically mentioned in the Academic Plan. The Thompson Library is in many ways the intellectual heart of the campus. It is the single most visible academic building. Although it is in better shape than some academic buildings on campus, it has not had a major renovation in over 30 years. The building's systems and its ability to respond to the academic needs of the institution are rapidly deteriorating and inadequate.

Consequently, this \$108.5 million project was identified as the number one priority in the FY2005 - FY2006 capital process. A total of \$71.7 million in state funds was requested over six biennia with the FY 2009-2010 allocation of \$8.7 million completing the state funded portion of the project. The remainder of the project will be funded as follows: approximately \$25 million will be secured by private fund raising, \$5 million has been pledged from Athletics, roughly \$6 million will be provided by bonds, and University Libraries has committed \$762,500 of its own funds to complete the renovation.

Construction is expected to be completed in the Summer 2009. This project will not add any net square footage to the Library's inventory, since space located elsewhere in the Library inventory is being released back to the University to offset any additional square footage in the Library project. The project is not expected to create additional parking demands. However, having both the Library and the Ohio Union closed for construction at the same time has meant the University has had to identify additional space for administrative offices, book stacks, meeting rooms and student study space. This has been achieved by using 650 Ackerman and other locations. Costs for this temporary space have been funded in the project budgets.

2. Academic Core - North

Traditionally, the University has budgeted capital projects individually, which makes it easier to establish financial and design accountability. However, this runs the risk of missing opportunities for synergies and efficiencies from a more comprehensive university-level approach.

Last biennium, the University leadership empowered the Space and Facilities Committee to work with the affected deans to develop a long-term plan for the Academic Core – North (AC-N). The AC-N study has provided a long-term vision for the areas within and adjacencies bounded by Tuttle Park on the West, Woodruff on the North, High Street on the East, and 17th Avenue on the South. The colleges with facilities in this area account for 66% of all credit hours taught and 26% of academic space.

The long-term view, including the concurrent funding of infrastructure for the district, supports the Master Plan objectives of pedestrian friendly, social and learning engagement spaces, identifiable edges and thorough-fares, and more effective use of space over the long term. The plan identifies a tentative capacity for an additional one million square feet of space by more strategic building siting and effective use of structural heights and eliminates up to \$61 million in current deferred maintenance issues. This is an 8% reduction in the University's current total building deferred maintenance.

The total budget for the proposed AC–N projects is estimated to be about \$487 million. This amount is based on conceptual project costs that have been inflated to align with the projected project costs at midpoint of construction based on proposed project timelines. The Space and Facilities Committee recommends \$242 million in state capital funds be set aside over seven biennia (2007-2008 through 2019-2020) to fund about 50% of the projected costs for the AC–N projects. An additional \$245 million will need to be secured through an integrated development initiative, or funded from reallocations of funds by the respective colleges. A fund raising feasibility study has been funded via the Space and Facilities Committee to assess the fund-raising viability of this approach to long-term capital planning. It is anticipated that an additional \$111 million for infrastructure in the AC–N area will be funded from University bond proceeds assuring that essential steam, water, sewer, technology and other basic services can be provided.

We recommend about \$49 million in each of the next two biennia and \$35 million in the subsequent biennia through the 2019-2020 biennium be set aside to fund projects in the AC–N. This recommendation allows work to proceed on projects in the AC-N while reserving about \$35 million per biennium for other academic projects in each of the future biennia through FY 2020.

Funding will be used to support projects in the AC-N North Core that replace inefficient buildings and provide for flexible, functional space that encourage multi-disciplinary interactions and that are in alignment with the University Master Plan. These projects will support the disciplines in English, Chemical Engineering, and Music in the Colleges of Humanities, Engineering, and the Arts. Subsequent projects will possibly involve the disciplines of Chemistry, Education and Economics for the Colleges of Mathematical and Physical Sciences, Education and Human Ecology, and Social and Behavioral Sciences.

The funding sources for the college shares will come from internal capital allocations, fund-raising and other internally generated funds. Multi-year targets will be established after consultation with the affected deans and will reflect a combination of benefits received and ability to pay. Exact allocation of the State capital funding to specific projects will be determined as more detailed planning of the AC-N progresses.

Planning on a six biennia horizon across many colleges requires a major shift in culture and thinking, but the benefits of a successful initiative of this nature are enormous particularly accompanied by an infrastructure solution for the entire district. Integrating the allocation of state capital funds with college funds and with funds derived from a collaborative development initiative for an institutional approach to capital development also represents a major shift in funding capital projects. While the proposed overall approach and funding models are different, the priority projects within the AC-N plan still reflect priorities from the previous capital recommendations and reflect institution-level academic priorities over the next seven biennia.

We anticipate the Space and Facilities Committee will consider how other districts may benefit from such a long-term and comprehensive vision.

3. Cunz Hall Renovation

The newly created College of Public Health has significant and legitimate space needs. Renovation of Cunz Hall reconciles nearly all of the college's wet-lab needs in the near term. \$6.5 million from the FY 2009 – FY 2010 capital funds is recommended to help fully fund the roughly \$20 million Cunz Hall renovation project including re-cladding the exterior of the building to be more aesthetically compatible with the surrounding structures (RPAC, Psychology and the new Library). The remaining funds should be fund-raised by the college or reallocated from existing college resources.

4. College of Medicine Renovations (Phase II)

The College of Medicine is one of the fastest growing areas of research in the University. Lack of suitable space is a major impediment to future growth. Murray Hall has returned to the University at a truly strategic time in the growth of the academic Medical Center. Murray Hall will likely become the transitional home for the Institute for Behavioral Medicine Research.

The Medical Center Master Facilities Plan process has also identified the renovations to Graves Hall and parts of Meiling Hall as cost effective ways of adding, consolidating and upgrading student service spaces in addition to addressing a potential deferred maintenance problem. These renovations may include an annex to Graves Hall, which will house much needed large and small auditorium-style classrooms.

\$5 million was provided in the FY2007 – FY2008 State capital budget for the Graves and Meiling projects and \$1 million for Murray Hall. An additional \$6 million is recommended for Graves and Meiling in FY2009 – FY2010.

The acquisition of Murray Hall adds space to the University's inventory, but the Graves and Meiling renovations should not. The Murray project may have some impact on parking, but that will need to be determined as part of a feasibility study.

The impact of these projects on state capital requests for future biennia is shown below.

Project	Total Cost	State Capital Funds		
		Prior to FY 2009	2009-2010	2011-2012
Library Renovation	\$108.5M	\$63.0M	\$8.7M	NA
Academic Core - North	\$487.0M	\$5.0M	\$48.7M	\$48.7M
Cunz Hall Renovation	\$20.2M	\$8.6M	\$6.6M	NA
College of Medicine Renovations	TBD	\$6.0M	\$6.0M	TBD
Totals	\$615.7M +	\$82.6M	70.0M	48.7M +

B. Group Two: Recommendations for State Capital Funds in Future Biennia

5. Academic Core - North

Based on the preliminary plan recommended by the Space and Facilities Committee, completion of the Brown Hall Replacement, swing space for Arps, and swing/replacement space for Johnston/Boyd/Aviation would begin first, followed by completion of Koffolt Replacement. Next in line would be a new Chemistry Building, a Music/Theater Complex, and the Arps Hall Replacement. The project order will be more fully specified in the next capital projects cycle. It is estimated this will require a commitment of \$48.7 million in state funds from the next two biennia and \$35 million in state funds from each of the next four biennia after that.

6. Postle Hall Renovation/Replacement

Postle Hall, which serves as the home for the College of Dentistry, is more than 50 years old and no longer meets the academic needs of the college. Two years ago, the college proposed a \$270 million project that included \$100 million in state funds.

This was rejected as being financially unfeasible. The college was instructed to develop a scaled down project that is more consistent with what future funding is likely to be. That work is now in process and will be evaluated as part of the FY 2011 – FY 2012 capital process.

7. College of Veterinary Medicine

Veterinary Medicine is one of the top ranked programs in the country and is highly productive in teaching, research and public service. The current small animal hospital was built in 1973 and is overcrowded and no longer meets the academic and clinical needs of the program.

The college has proposed a combination of renovation and new construction for 2014 totaling approximately \$70 million to come from a variety of sources. We recommend the next two years be used to assess financial and physical feasibility of various approaches to improving this facility.

8. Pomerene Hall

Pomerene Hall occupies a unique physical and psychological place in the culture and history of Ohio State University. The predominant user (PAES) has moved out of Pomerene Hall into the new RPAC facility. Consequently, we authorized the Space and Facilities Committee to conduct a feasibility study on possible future uses of the building.

That study has been completed. Three broad adaptive uses have been identified. These are:

- Student Use
- Instruction and Instructional Support
- Academic Conference Space

Cost estimates for renovation total between \$25M and \$35M.

To ensure best possible uses for this valuable space, the Provost invited units interested in utilizing the space to submit a statement of intent. Several units have expressed interest (Medicine, Dentistry, Student Affairs, Public Health, Outreach and Engagement, OAA groups, Classroom Pool, Linguistics, and International Affairs). All units that submit a timely, formal request will be evaluated. A major criterion will be ability to help support the capital costs to appropriately use the building for strategic use. Recommendations will be forthcoming as part of the FY 2011 – FY 2012 capital process.

9. Food, Agricultural and Environmental Sciences

The College of FAES has a disproportionate share of the oldest buildings on campus. More than one-third of its assigned GSF is more than 45 years old. In our recommendations two years ago, we recommended the college generate \$3.5 million for planning a \$60-\$70 million integrated teaching and research facility in the FY2011 – FY2012 biennium, as well as generate additional resources in future biennia.

At its capital hearing, the college asked for additional time to develop a phased plan anchored by a new academic information and technology center to enhance teaching, research and student services. We recommend this request be accepted and that the college return with a more detailed proposal for the FY2013 – FY2014 biennium. We will work with them in the meantime to assist them with this analysis that can be supported by funds currently on hand for such analyses.

C. Group Three: Projects Not Recommended for State Funds

In addition to the projects recommended above, we considered 10 additional projects as listed below.

Requesting Unit	Project Description	FY2009-10 Request (millions)	Total Project Request (millions)
Arts and Sciences	Consolidated Space	TBD	TBD
Biological Sciences	Building Addition	TBD	TBD
FAES	New Integrated Teaching and Research Building	TBD	TBD
Law	Drinko Hall	22-33 million	57-69 million
Medicine	COM Academic Center	TBD	TBD
Pharmacy	Parks Hall	TBD	TBD
Social and Behavioral Science	New Department of Economics Space	TBD	TBD
Social Work	Stillman	3.4 million	3.5 million
Research	New Interdisciplinary Research Building	10 million	100 million
Wexner Center	Mershon Auditorium Renovation	TBD	TBD

While not all unfunded projects are of equal merit or feasibility, it is clear the need for state support is much greater than what is likely to be available by a substantial amount. One strategy to address this is to use other funding sources where it might be appropriate. In that regard, we have specific recommendations regarding three projects in particular, Stillman Hall renovation, Drinko Hall renovation/expansion, and the Academic Medical Center project.

11. College of Social Work

Stillman Hall 2nd Floor Renovation, Windows and HVAC replacement is a \$3.5 million project. This would complete the renovation of Stillman Hall and should be considered for possible funding through the Basic Renovation process including deferred maintenance funds.

12. College of Law

Drinko Hall Renovation/Expansion: the Basic Renovation project for Drinko Hall (window/masonry renovations) is recommended to proceed. The more comprehensive Drinko project is not recommended for funding in this biennium, but should be revisited once a more comprehensive funding plan is completed. The college has committed to fund-raising a significant portion of this major project. The availability of college-raised funds will be an important consideration in the future.

13. College of Medicine

The College of Medicine requested funding for a 51,000 ASF Academic Medical Center, citing space shortages and the need to reconfigure facilities to meet changed teaching requirements. The college did not present cost estimates or a financing plan. Consequently, we recommend the next two years be used to develop a

funding plan that includes a significant component of private fund-raising for such a facility.

The College of Medicine also submitted a request to renovate Murray Hall to house the Institute for Behavioral Medical Research. This program is one of the University's most successful interdisciplinary research efforts. The feasibility study completed in April 2006 estimated the costs of the renovations to Murray Hall for the purposes of the Institute for Behavioral Medical Research to be between \$21.8 and \$27.3 million in 2010 dollars. The Office of Health Sciences is expected to contribute some funds to this project, but the amount is unknown at this time. This project and associated funding will need to be coordinated with the Murray, Graves and Meiling Hall renovations mentioned in section II, item #4 of this document. We recommend the next year be used to develop a funding plan that includes a significant component of private fund-raising for this facility.

III. RECOMMENDATIONS REGARDING OTHER FUNDS

As the amount of available state funds fails to keep the pace with demand, the University needs to continue to identify other funding sources for its capital needs. However, in doing so we need to be mindful of practical limits on the University's debt capacity, fund-raising priorities, and other factors that bear on these decisions. Therefore, we have organized this section into two parts: A) significant commitments involving non-state appropriated funds; and B) additional projects involving non-state appropriated funds. Non-State appropriated funds include bonds, user fees, development monies and other resources from requesting units.

A. Significant University Commitments Involving Non-State Funds

In the FY2007 – FY2008 recommendations, we highlighted three projects involving non-state funds: The Medical Center Master Facilities Plan, the Ohio Union replacement, and the new Student Services Center.

The Medical Center Master Facilities Plan is still under review and will be addressed separately. The Ohio Union replacement project was approved for construction in July 2006 and is expected to be completed by February 2010. This building will be LEED certified. Funding sources include a mandatory student fee. The Student Services Center was approved for design in September 2005. Construction is expected to begin by June 2008 and will be completed by August 2009. This building will also be LEED certified. This project is being financed by University resources.

The most significant new project from non-state funds is a major investment in infrastructure (electricity, water and roadways) to address significant deferred maintenance and capacity needs. This commitment is based on a comprehensive review over a four-year period. The outcome of that review was presented to the Board of Trustees Fiscal Affairs Committee in December 2006.

The recommendations in this plan represent an investment in infrastructure of over \$100 million to address deferred maintenance and renewal (including electrical distribution, roadways and tunnels), as well as \$35 million for additional capacity. These projects will be financed with University bonds and will be paid back with user fees. This investment will benefit the University for years to come. A more complete description is included in the section under Facilities Operations and Development.

The second major area of investment is renovation and expansion of student housing. This is described in the section on Student Affairs.

B. Additional Projects Involving Non-State Funds

This section lists projects proposed by colleges, support units and affiliated entities to be funded by sources other than State funds. In many cases, University backed bonds are requested. In order to make most strategic use of our available debt capacity, we have asked units to plan on a six-year horizon. The projects listed here reflect the units' plans for that time period. However, being included here does not guarantee a project will receive bonds or other University credit. That issue is addressed in Section IV.

1. OSU Medical Center

As of this writing, the \$780 million Medical Center Master Facilities Plan is still under review. Those projects previously approved and requiring 2007 bonds are listed below. For planning purposes we will use the 2009, 2011 and 2013 bond allocations totals previously allocated to the Medical Center for the \$780 million Facility Master Plan projects. This section will be updated at a later time, once the Medical Center Master Facilities Plan review is completed.

Project	2007-2008	2009-2010	2011 - 2012
Biomedical Research Tower	42,350,000		
Doan Digestive Disease	26,200,000		
Ross Addition	32,500,000		
MRI Relocation	7,000,000		
Project Programming	3,500,000		
Means/Garage Demo	4,900,000		
Parking Deck		29,200,000	
Tower 1		60,667,000	75,432,000
D&T		70,430,000	87,570,000
Faculty Offices		18,900,000	
Infrastructure	1,000,00	17,500,000	40,000,000
Ambulatory			27,500,000
Remediation & Modernization		46,380,000	76,420,000
Total Medical Center Requests	117,450,000	243,077,00	306,922,000

2. Student Affairs

Now that the Recreation Center is complete and the Ohio Union replacement project is under construction, the highest priority for the Office of Student Affairs is to upgrade and expand student housing. The largest projects will be the conversion of Lincoln Tower floors 1-15 back to residence halls (\$38 million), expansion of the Hall Student Housing Complex (\$41 million), and South Campus AC/Bathroom modernization (Phase I) (\$32 million). The request also includes significant renewal and replacement. Funding sources include University bonds to be repaid by housing fees, as well as Student Affairs capital reserves set aside for this purpose.

We also recommend an internal work group be formed to make sure the University is leveraging all its potential housing resources in the best way. The work group should include representatives from Academic Affairs, Business and Finance, Campus Partners, Legal Affairs, and Student Affairs. The goal is to

have a preliminary report completed by the time the capital recommendations are presented in September.

Project	Requested Amounts (in millions)			
	2007 Bonds	2009 Bonds	2011 Bonds	Future Bonds
Archer House Conversion – 100 beds	5,300,000			
Jones Tower		6,000,000		
Lincoln Tower 1-15 Conversion – Renovated 960 Kinnear	1,000,000			
Lincoln Tower 1-15 Conversion to Residence Hall – 576 beds		11,000,000	27,000,000	
New Housing Construction – Expansion of Hall Housing Complex Phase I (160 beds)		1,200,000	13,900,000	
New Housing Construction – Expansion of Hall Housing Complex Phase II (250 beds)		700,000	12,000,000	13,000,000
South Campus AC/Bathrooms: Phase I		7,000,000	25,000,000	
South Campus AC/Bathrooms: Phase II			7,000,000	27,000,000
Food Service Master Plan	2,500,000	450,000	3,600,000	
Housing and Food Services Renovations	16,000,000	14,000,000	14,000,000	
Off Campus Residential Properties		1,500,000	1,500,000	
Fawcett Center Renovation	8,600,000			
Larkins Hall Replacement Project	43,470,000			
Wilce Health Center Renovations		3,300,000		
Wilce Health Center Renovations Phase IV		2,000,000	3,900,000	
Ohio Union Replacement Project – Central Funds	10,000,000			
Ohio Union Replacement Project – Student Affairs	10,000,000	87,000,000	5,000,000	
Oxley Conversion to Housing				9,000,000
North Area Housing Renovation				1,000,000
Total Student Affairs	\$96,870,000	\$134,150,000	\$112,900,000	\$50,000,000

3. Facilities Operations and Development

As mentioned previously, the highest priorities for Facilities Operations and Development are projects to restore and expand the University's essential infrastructure. These are listed below and reflect whether the project addresses deferred maintenance (DM) or increased capacity (IC), or both.

Project	Goal	Amount Requested (in millions)		
		2007 Bonds	2009 Bonds	2011 Bonds
Boiler Replacement/McCracken	DM	16,000,000		
East Chilled Water Plant	IC	4,000,000		
North Hot Water Loop	IC	2,500,000		
Increase Campus Electrical Capacity Phase I	IC	6,000,000		
High Voltage Air Break Switch Replacement	DM	15,000,000		13,280,000
Kinnear Corridor Improvements	DM	5,000,000		
12 th Avenue/Neil Corridor Improvements	DM	2,000,000		
South Dorm Sewer Separation	DM	625,000		
Student Academic Services Building Chilled Water Extension (ACN-17)	IC	7,458,000		
Capacity Coordination with Medical Center – Identified Projects	IC	2,545,000		

Boiler Replacement	DM and IC	1,130,000	5,100,000	5,049,000
Central Power Plant Water Treatment	DM and IC	1,430,000	12,859,000	
Drainage Improvements (ACN-1)	DM	1,900,000		
Domestic Cold Water System Improvements – Oval Area (ACN-2)	DM	1,669,000		
Steam and Condensate Distribution System Upgrades Phase I (ACN-2)	IC	8,150,000		
Put-In-Bay Water & Sewer Line Extension	Utility need	1,000,000		
Steam and Condensate Distribution System Upgrades Phase 2 (ACN-6)	IC		6,370,000	
John Herrick Drive Rebuild Phase 1 and Phase 2(ACN-9)	DM		2,858,000	4,568,000
Tunnel Durability Evaluation Project (ACN-3)	DM		1,000,000	
Woodruff Avenue and Tuttle Park Place Rebuilds (ACN-10) (ACN-11)	DM		3,303,000	
Water & Natural Gas Distribution Capacity Upgrade (SCW-1 and MA-2)	IC		1,671,000	
Woody Hayes Drive & Fyffe Rd. Rebuilds (ACN-12) (ACN-14)	DM		483,000	4,344,000
Campus Electric Capacity, Phase 2 (New Substation) (SCW-4)	IC		8,000,000	43,132,000
East Chilled Water Plant (ACN-5) (Phase 1 only)	DM		2,000,000	47,146,000
Hagerty Drive Rebuild (Oval Phase C) (ACN-13)	DM			4,107,000
Defiance Drive Rebuild (OP-1)	DM			1,207,000
Capacity Coordination with Medical Center - Opportunity Projects	IC			5,000,000
South Campus Sewer Separation and Site Improvements (SCE-1)	DM			2,331,000
North Campus Sewer Separation and Site Improvements	DM			4,182,000
Selected Tunnel Replacement Projects (ACN-4)	DM			5,479,000
Infrastructure Improvements, West & Midwest Campus Expansion Phase I	IC			18,012,000
17th Avenue Rebuild (ACN-8)	DM			3,860,000
18th and 19th Avenue Rebuilds (ACN-7)	DM			1,998,000
Total FOD Requests		\$76,407,000	\$43,644,000	\$163,695,000

4. Athletics

The highest priority for the Department of Athletics is an office complex and sports medicine center. Athletics feels this can be achieved through private fund-raising. Other priorities are listed below.

	Estimated Project Costs		
Project	2009-2010	2011-2012	2013-2014
New Athletics Complex		15,000,000	15,000,000
Sports Medicine Center		15,000,000	15,000,000
Stickney Tennis Courts Renovation	3,000,000		
Indoor Track and Multi Purpose Sports Facility	15,000,000	15,000,000	
Basketball Auxiliary Gym Addition & Offices	20,000,000		
Field Hockey Stadium & Football Practice Turf replacement & Relocation	5,000,000		
Total Athletics Requests	43,000,000	45,000,000	30,000,000

Athletics currently accounts for 21% of the University's outstanding debt, but less than 3% of the University's annual operating revenue. Therefore, to preserve access to capital funds for other units, it is recommended that only those projects that can be funded with cash, early retirement of existing debt, or short-term (5 years or less) lines of credit, be approved for funding design and construction.

5. Transportation and Parking

Transportation and Parking's projects are listed below in priority order. Funding will come primarily from bonds paid for with parking user fees. The biggest unknown at this point is additional parking for the Medical Center, which will be addressed as part of the planning for the Medical Center Master Facilities Plan.

	Request Amount (in millions)		
Project	2007 Bond Request	2009 Bond Request	2011 Bond Request
9 th Avenue Garage Restoration	2,500,000		
Surface Parking Lot Renovations	1,500,000	1,500,000	
New 9 th Avenue Garage East	21,700,000		
Ohio Union Garage Restoration & Expansion		21,500,000	
Lane Avenue Garage	14,000,000	14,000,000	
Arps Garage Restoration			4,000,000
Total T & P Requests	\$39,700,000	\$37,000,000	\$4,000,000

6. Regional Campuses, ATI and OARDC

The University's regional campuses, OARDC, and ATI have identified the following projects as high priority over the next six years:

Campus	Project	Estimated Total Project Cost	Requested Amounts (in millions)		
			2007-2008 Bonds	2009-2010 Bonds	2011-2012 Bonds
Lima	Student Life Building		7,000,000		
Newark	Warner Library		5,500,000		
Mansfield	Ovalwood Renovations		7,000,000		
Lima	New Maintenance Facility	2,400,000		2,000,000	
Mansfield	Recreation and Student Life Center Expansion (\$8M Bonds; \$5M Local)	13,000,000		6,000,000	
Mansfield	Schuttera Service Center				3,200,000
Marion	New Science and Art Building (\$5M Bonds; various other sources for remaining \$10M)	15,000,000			5,000,000
Newark	Founders Hall and Hopewell Hall Renovations (\$1M; various other sources for remaining \$6.3M)	7,300,000		1,000,000	
OARDC	Selby Hall BSL-2 Greenhouse Replacements			5,500,000	
OARDC	New Entomology Building	21M-28M			
OARDC	BioHio Concept (Job Ready Site Grant to City of Wooster for Total Project Cost)	3,373,600			
OARDC	Pounden Hall Renovation (EDA Grant and Local OARDC funds)	744,091			
ATI	Horticulture Operations Building (Project Budget is \$8.5M: \$1.26 State; various other sources for remaining	8,500,000			
	Total Regional Requests		19,500,000	14,500,000	8,200,000

The projects are to be funded with a combination of State capital funds, cash reserves, and University bonds. We recommend these projects proceed within the bond capacity limits identified in Section IV Part D.

All four regional campuses expressed a desire to provide additional housing at some point in the next six years. Two campuses (Newark and Mansfield) have such housing. The Lima and Marion Campuses have requested housing as well.

Unfortunately, the University does not have the debt capacity for these as University built and operated facilities and to meet the other capital needs of the regional campuses. Therefore, we recommend both Lima and Marion be directed to explore options with private developers who could provide student housing in close proximity to those campuses, as long as this can be done without adversely affecting the University's debt capacity. Proposals for housing on any of the regional campuses will need to be linked to each regional campuses' strategic plan, once endorsed from an academic priority perspective.

7. Projects from Individual Colleges

We have pending requests from the colleges listed below. These requests are currently in the process of being evaluated for further consideration.

College	Project	Amount Requested (in millions)	
Engineering	New Airport T-Hangers	4,000,000	2007 or 2009 Bonds
University Libraries	Book Depository	5,000,000	Future Bond cycle
University Libraries	Cartoon Research	12,000,000	Fundraising

8. Affiliated Entities

In the 1990s, the University created a number of affiliated entities to undertake strategic initiatives. Two of these, Campus Partners and SciTech, made use of University-backed credit during their start-up phase. Since then, the rating agencies now include University-backed credit to affiliated entities as counting against the University's debt capacity.

Since both Campus Partners and SciTech are now viable, we recommended two years ago that their capital needs be financed by their own equity, thus preserving the University's debt capacity for other priorities in the Academic Plan.

We have discussed this concept with the leadership of both Campus Partners and SciTech. They are supportive of the recommendation, provided that in the case of SciTech, the existing University \$10 million line of credit be maintained as a revolving line of credit and that in the case of Campus Partners, the goals of the five-year business plan are met.

We think these conditions are reasonable. Therefore, we are showing Campus Partners and SciTech capital plans for information only, rather than as requests for additional University-backed credit or State capital funds.

		Estimated Cost (in millions)		
Entity	Projects	2009-2010	2011-2012	2013-2014
Campus Partners	TBD	15,000,000	15,000,000	TBD
	CCF Site Development	TBD	TBD	TBD
	Total Campus Partners	\$15,000,000 +	\$15,000,000 +	TBD
SciTech	New Electrical Engineering Research Lab	6,200,000		
	1275 Kinnear Road Complex Build Out	1,600,000		
	SW Quadrant OSU Research Park – Ph I	6,100,000		
	Total SciTech	\$13,900,000		

Note: amounts listed above are estimated project costs, funding sources will need to be identified.

9. Other Projects

In addition to the projects discussed above, there are a number of capital projects that do not fit into the categories listed above. These are:

- University District Neighborhood Safety Center – this project was announced by President Holbrook and Mayor Coleman in October 2004. It is a city project, but the University has committed to pay half of the construction cost and rent for University use of the building for University Public Safety and Community Crime Patrol. The original total project cost was estimated at between \$3.5 and \$4.0 million. Our share of \$1.75-2.0 million will come from central University cash reserves set aside for this purpose.

The estimated cost has increased to \$4.6 million as a result of delays and design changes required by the University Area Review Board. The University has agreed to commit up to \$2.3 million, but to cap its commitment at that amount. Funding has already been set aside in the operating budget for this purpose.

- The renovation and expansion of Blankenship Hall is designed to address space and infrastructure needs for four critical public safety functions:
 - Meet increased demand for computer and communications network space and infrastructure.
 - Provide better security and control for key operations.
 - Upgrade radio communications, including interoperability and redundancy.
 - Enhance emergency response capability.

The recommended proposal provides for approximately \$7 million in project costs for the renovation in FY 2007 dollars, and \$12 million for communications equipment and upgrades.

The \$7 million in project costs will come from University bonds. The \$12 million for the communications equipment will come from General Funds, user fees and other sources.

- Real Estate and Property Management has prepared a prioritized list of improvements and possible acquisitions. These requests are currently under review, but should be funded by internal lines of credit to be paid back by rental income rather than bonds.

IV. IMPLICATIONS

In this section, we address the implications of these recommendations in nine specific areas: the Academic Plan; deferred maintenance; future capital requests; debt capacity; students, faculty and staff; future operating costs; transportation and parking; physical feasibility and energy conservation.

A. Impact on Academic Plan

These recommendations are strongly aligned with the Academic Plan. Funding of the Library Renovation completes a high priority specifically identified in the Plan. The Academic Core - North provides a unique opportunity to improve the quality of the teaching and learning environment for several core disciplines that house world-class faculty and leading academic programs. This approach represents a potential model that might be applied to other areas of campus.

The Ohio Union project, and the new Student Services Building, along with upgrades to Residence Halls, are key components to enhance and better serve the student body.

B. Impact on Deferred Maintenance

Funding of these recommendations will address many of the University's lingering deferred maintenance problems. Brown Hall, Hughes Hall, Koffolt, Cunz and Lord Halls are among the worst deferred maintenance problems. Addressing these will remove at least \$67 million in deferred maintenance.

In addition, addressing renovations of the Thompson Library and Graves Hall, and the replacement of the Ohio Union proactively keeps these key facilities from becoming deferred maintenance problems in the future.

The recommendations proposed as part of FY 2009-FY2014 Capital Plan will address 9 buildings ranked in the top 30 with the largest deferred maintenance problems on the Columbus campus (Attachment C). And will begin to evaluate options for another 4 buildings on this list in future years.

C. Impact of Future State Funded Capital Requests

With State funds declining and becoming more uncertain, multiyear planning becomes more important. In general, we have to

phase large projects over three biennia, one for planning and two for construction. Although this is not ideal, this provides greater flexibility in use of State funds. The table below shows how the recommendations in this memo would play out over the next three biennia. For planning purposes, a control total of approximately \$70 million is projected for each future biennium.

Project	Total Funding (millions)		State Funding (millions)			
	State	Other	Previous	FY09-10	FY11-12	FY13-14
Library Renovation	\$71.7	\$36.8	\$63.0	\$8.7		
Academic Core-North (see above)	\$243.8	\$242.9	\$5.0	\$48.7	\$48.7	\$35.0
Health Sciences Renovations	TBD	TBD	\$6.0	\$6.0	TBD	TBD
Cunz Hall Renovation	\$15.2	\$5.0	\$8.6	\$6.6		
Totals	\$330.7	\$284.7	\$82.6	\$70.0	\$48.7	\$35.0

D. Impact on Debt Capacity

In order to preserve debt capacity for the highest priorities of the Academic Plan, the University Board of Trustees approved guidelines on the use of bonds and other credit instruments on March 4, 2005. These recommendations are consistent with those guidelines and envision a bond issue of no more than \$450 million in calendar year 2007 and no more than \$500 million in calendar year 2009. The current recommended target for FY 2011 would be \$500 million. How this would be allocated among the various groups of users is illustrated in Attachment B.

E. Impact on Students, Faculty, and Staff

A large number of students, faculty, and staff regularly use the buildings recommended here for replacement or renovation. They will benefit when the projects are done, but will be inconvenienced while they are under construction.

The single largest challenge will be the inconvenience caused by the Library renovation and Ohio Union replacement projects, both of which will be under construction at the same time. Temporary sites have been provided for both functions with appropriate transportation. This transition for the Library project seems to be proceeding smoothly. Additional planning and the ability to quickly respond to emerging issues will need to be done to minimize inconvenience and confusion in both cases.

Temporary student study and social space while both projects are unavailable during construction will be provided at several locations across campus. These temporary measures to minimize adverse impact of this needed construction were reviewed with the Board's Fiscal Affairs Committee at their June 2005 meeting.

F. Impact on Operating Costs

In the University's budget system, the benefiting units are responsible for operating costs of additional space assigned to them. The table below summarizes the approximate net change in ASF for major projects approved for the coming biennium. "Cost Increase" column are projections at the POM rate. Not all these buildings are POM facilities, but using POM rates provides an estimate for increased operational costs.

Unit	Project	Net Change in ASF	Cost Increase (or Decrease)
OAA	Library Renovation	-11,500	(\$155,940)
English ASC/Humanities	Brown Hall Renovation	4,600	\$62,376
Medicine	Graves & Meiling Hall Renovations	TBD	TDB
Music ASC/Arts	Hughes Hall Renovation and/or new construction	TBD	TBD
Engineering	Koffolt Laboratory Renovation	TBD	TBD
Health Science	Murray Hall Renovation	43,000	\$583,080
Health Science	Clinical Expansion Phase I	TBD	TBD
Student Affairs	Ohio Union Replacement	23,000	\$311,880
Various	Student Academic Services Building	-12,200	(\$165,422)

G. Impact on Transportation and Parking

Meeting additional space needs without exacerbating parking and accessibility continues to be an enormous challenge. Vehicular and foot traffic congestion will undoubtedly occur as a result of building construction and road improvements, but it is a temporary obstacle.

Most projects that are renovations or replacements will not increase long-term parking demand. The Medical Center Expansion project will create additional demand on a part of the campus where congestion is high and parking in short supply, but the project will replace any parking that is removed and will add additional parking to accommodate additional needs.

The Student Services building will eliminate approximately 500 surface parking spaces, but these will be replaced by the proposed Lane Avenue Garage. The new garage will increase parking availability in the north campus area, shifting demand from west campus to Lane Avenue and increasing the amount of vehicular traffic in this area.

The Ohio Union project will increase parking demand on the east side of campus, but this can be mitigated by the expansion of the Ohio Union Garage

H. Physical Feasibility

Many of the proposed projects are tied to existing projects and will require careful planning when it comes to timing and space coordination. The availability of Smith Lab for swing space will facilitate demolition of Lord Hall. The new Student Services building will have to be completed before Lincoln can be converted to additional housing. There will be swing-space issues associated with the Academic Core-North plan but these will be resolved once the sequence of steps for this district are more fully resolved.

I. Energy Conservation/Sustainability

The recent rise in the cost of energy is expected to be a long-term trend; consequently, strengthened emphasis on energy conservation makes sense from both a financial and environmental protection standpoint. New design standards for campus planning and development were approved by the President's Cabinet on January 24,

2007 and will be incorporated into all new building and renovation projects. Three projects have already been designated to be LEEDS certified: the 4-H building, the Ohio Union and the new Student Services Building.

We hope this information is helpful to you in evaluating our capital recommendations. With your approval, we will circulate these recommendations across campus for input prior to bringing them to the Board for a first reading at the July Board of Trustees Meeting.

c: President's Cabinet
 Council of Deans
 Senate Committee on the Physical Environment
 Senate Fiscal Committee
 Space Facilities Committee
 Melissa Bellini
 Kathy Dillow
 Tom Johnson
 Mike Sherman
 Lee Walker

PART V: Appendix

Supplemental Materials

- A. Funding Principles**
- B. Proposed Allocations for FY 2009 and FY 2011 bond issues**
- C. Top 30 Building on the Columbus Campus ranked by Deferred Maintenance Needs**

Attachment A

2009-2010 Capital Budget Funding Principles

With stable enrollment, limited funding and a substantial amount of deferred maintenance, the priority for use of central funds (including State funds) will be to enhance or improve existing space and reduce operating costs. Therefore, as a general rule:

1. Central funds will not be used to increase academic or administrative space assigned to a unit unless:
 - Paid for by the benefiting unit, or
 - Reallocated from elsewhere.
2. Central funds will not be committed to additional operating costs.
3. Capital and operating costs for additional research space should come from increased cost recoveries.
4. Any additional space for non-general fund units should be funded by that unit and meet the space service needs of the University.
5. Fund raising is an important consideration but fundraising does not guarantee matching funds independent of the criteria listed above. Fundraising is particularly encouraged for upgrades and renovations that do not require additional central support.
6. Use of University bonds and other credit instruments may be requested where a repayment mechanism can be identified. However, availability of this funding source is extremely limited and must be specifically approved as part of the project review approval process.
7. The ability to document and track proposed benefits of the project as to the Academic Plan will be an important consideration in choosing among competing projects.

NOTE: This list is preliminary; projects and amounts for FY 2009 and FY 2011 have not yet been approved

<u>Proposed Project</u>	<u>FY2007</u>	<u>FY2009</u>	<u>FY2011</u>
FOD			
Boiler Replacement/McCracken	\$16,000,000		
East Chilled Water Plant	\$4,000,000		\$0
North Hot Water Loop	\$2,500,000		
Increase Campus Electric Capacity Phase I	\$6,000,000		
High Voltage Air Break Switch Replacement	\$15,000,000		\$13,280,000
Kinnear Corridor Improvements	\$5,000,000		
12th Avenue/Neil Corridor Improv	\$2,000,000		
South Dorm Sewer Separation	\$625,000		
SAS Chilled Water Extension	\$7,458,000		
Capacity Coordination with Med Center	\$2,545,000		
Boiler 8 Replacement	\$1,130,000	\$5,100,000	\$5,049,000
Central Power Plant Water Treatment	\$1,430,000	\$12,859,000	
Drainage Improvements ACN 1	\$1,900,000		
Domestic Cold Water System Improvements	\$1,669,000		
Steam and Condensate Distro System Upgrade phase 1	\$8,150,000		
Put In Bay Water & Sewer Line Extension	\$1,000,000		
Steam and Condensate Distro System Upgrade phase 2		\$6,370,000	
John Hendrick Drive Rebuild (phase 1 & 2)		\$2,858,000	\$4,568,000
Tunnel Durability Evaluation (ACN)		\$1,000,000	
Woodruff and Tuttle Park Place Rebuilds		\$3,303,000	
Water and Natural Gas Distro Upgrades		\$1,671,000	
Woody Hayes Drive and Fyffe Rebuilds		\$483,000	\$4,344,000
Campus Electric Capacity Phase 2 New substation		\$8,000,000	\$43,132,000
East Chilled Water Plant		\$2,000,000	\$47,146,000
Haggerty Drive Rebuild			\$4,107,000
Defiance Drive Rebuild			\$1,207,000
Capacity Coordination with Med Center			\$5,000,000
Selected Tunnel Replacements			\$5,479,000
Infrastructure Improvements for West and MidWest Campus Phase 1			\$18,012,000
17th Avenue Rebuild			\$3,860,000
18th and 19th Avenue Rebuild			\$1,998,000
South Campus Sewer Separation			\$2,331,000
North Campus Sewer Separation			\$4,182,000
FOD Total	\$76,407,000	\$43,644,000	\$163,695,000
Student Affairs			
Larkins Hall	\$43,470,000		
Wilce Health Center Renovations Phase 3		\$3,300,000	
Housing & Food Service Renov	\$16,000,000	\$14,000,000	\$14,000,000
Fawcett Tower Rehab to residence	\$8,600,000		
Off Campus Properties		\$1,500,000	\$1,500,000
Ohio Union	\$20,000,000	\$87,000,000	\$5,000,000
Food Service Master Plan	\$2,500,000	\$450,000	\$3,600,000
Lincoln Tower 1-15	\$1,000,000	\$11,000,000	\$27,000,000
Archer Conversion	\$5,300,000		
Jones Tower 460 beds		\$6,000,000	
New Housing Construction Phase I		\$1,200,000	\$13,900,000
New Housing Construction Phase II		\$700,000	\$12,000,000
South High Rise Bathrooms/Air Phase I		\$7,000,000	\$25,000,000
South High Rise Bathrooms/Air Phase II			\$7,000,000
Wilce Center Phase 4		\$2,000,000	\$3,900,000
Student Affairs Total	\$96,870,000	\$134,150,000	\$112,900,000

NOTE: This list is preliminary; projects and amounts for FY 2009 and FY 2011 have not yet been approved

<u>Proposed Project</u>	<u>FY2007</u>	<u>FY2009</u>	<u>FY2011</u>
Other			
Student Services Building	\$14,000,000	\$18,000,000	
Blankenship Renovation		\$7,000,000	
Airport Hangars			\$8,500,000
Other Total	\$14,000,000	\$25,000,000	\$8,500,000
T&P			
Lane Avenue Garage	\$14,000,000	\$14,000,000	
9th Avenue Garage Rehab	\$2,500,000		
Ohio Union Parking Garage Rehab and Expansion		\$21,500,000	
Arps Rehabilitation			\$4,000,000
9th Avenue Garage Addition	\$21,700,000		
Surface Lots	\$1,500,000		
Surface Lots		\$1,500,000	
T&P Total	\$39,700,000	\$37,000,000	\$4,000,000
Medical Center			
Biomedical Research Facility	\$42,350,000		
Doan Digestive Disease	\$26,200,000		
Ross addition	\$32,500,000		
Parking Deck		\$29,200,000	
Project Programming	\$3,500,000		
MRI Relocation	\$7,000,000		
Means/Garage Demo	\$4,900,000		
Tower 1		\$60,667,000	\$75,432,000
D&T		\$70,430,000	\$87,570,000
Faculty Offices		\$18,900,000	
Infrastructure	\$1,000,000	\$17,500,000	\$40,000,000
Remediation and Modernization		\$46,380,000	\$76,420,000
Ambulatory			\$27,500,000
Medical Center Total	\$117,450,000	\$243,077,000	\$306,922,000
Regionals			
Mansfield Campus Recreation		\$6,000,000	
Mansfield Schuttera Service Center			\$3,200,000
Marion Science/Art building			\$5,000,000
Lima student Life Building	\$7,000,000		
Lima Maintenance Building		\$2,000,000	
Newark Founders Hall		\$1,000,000	
OARDC BSL 2		\$5,500,000	
Newark Warner Library	\$5,500,000		
Mansfield Ovalwood Renovations	\$7,000,000		
Regionals Total	\$19,500,000	\$14,500,000	\$8,200,000
Total Requested	\$363,927,000	\$497,371,000	\$604,217,000

- **2007 bond** allocation was approved for \$450 million. Above requests were approved as part of the FY 2007 - FY 2008 capital process.
- **2009 bond** allocation was approved for \$500 million. Amounts above are requested, not approved amounts. Final recommendations for 2007 bonds will be made at the September 2007 Board of Trustees Meeting
- **2011 bond** allocation is targeted at \$500 million. Amounts above are requested, not approved amounts. Final recommendations for 2007 bonds will be made at the September 2007 Board of Trustees Meeting

**Top 30 Columbus Campus Buildings
Ranked By Deferred Maintenance Needs**

Attachment C

DM		Renewal & Deferred Maintenance	AGE	YEAR BUILT	YEAR REN	STATUS	Building Maintenance Funded by:
impact	RANK	BLDG NAME	2006	2006	BUILT	REN	
	1	SMITH LABORATORY	\$51,845,000	56	1950		Renovation in Progress General Funds
	2	ST. JOHN ARENA	\$48,690,000	50	1956		General Funds
	3	OHIO UNION	\$46,968,000	55	1951		Demo 2007 Auxiliary
	4	BAKER(E&W)	\$41,547,000	66	1940		Auxiliary
	5	UHOSP EAST	\$33,817,812	40	1966		OSUMC
	6	MEANS	\$27,034,000	55	1951		Demo +/- 2009 OSUMC
	7	RESEARCH CENTER	\$21,537,000	57	1949		General Funds
	8	AGRICULTURAL ADMIN. BLDG	\$20,362,000	50	1956		General Funds
	9	BROWN HALL	\$15,022,000	85	1903	1921	Renov/Repl funded 07 CB General Funds
	10	POMERENE HALL	\$14,183,000	84	1922		Feasibility study comp. General Funds
	11	BRADLEY	\$13,848,000	52	1954		Auxiliary
	12	HUGHES HALL	\$12,864,000	57	1949		Renov funded 07 CB General Funds
	13	LORD HALL	\$12,545,000	100	1906		09 bond request for Demo General Funds
	14	COCKINS HALL	\$12,110,000	76	1930		General Funds
	15	CONVERSE HALL	\$11,835,000	65	1941		General Funds
	16	CANFIELD	\$11,601,000	66	1940		Auxiliary
	17	PATERSON	\$11,000,000	52	1954		Auxiliary
	18	VIVIAN HALL	\$10,509,000	55	1951		General funds
	19	FRENCH FIELD HOUSE	\$10,013,000	50	1956		General Funds
	20	PLUMB HALL	\$9,343,000	81	1925		General funds
	21	KENNEDY	\$7,756,000	56	1939	1950	Auxiliary
	22	CAMPBELL HALL	\$5,746,167	44	1916	1962	General funds
	23	EVANS LAB	\$5,596,234	46	1960		Feas. Study in progress General funds
	24	TAYLOR	\$5,593,707	40	1966		Auxiliary
	25	WISEMAN HALL	\$5,559,346	46	1960		General funds
	26	LINCOLN TOWER	\$5,226,389	39	1967		Renovations in planning? Auxiliary
	27	HOPKINS HALL	\$4,991,338	44	1959		General funds
	28	RHODES	\$4,930,004	27	1979		MEP Renovations planned OSUMC
	29	KOFFOLT LABORATORIES	\$4,844,451	46	1960		Funding request for 09 CB General funds
	30	GALVIN (Lima Campus)	\$4,821,443	40	1966		General funds

\$491,738,892

Office of Business and Finance
Facilities Operations and Development
June 1,2007

***The Ohio State University
Board of Trustees
July 13, 2007***

TOPIC:

Medical Center Master Facilities Plan Update

CONTEXT:

This is a follow-up to the June 1, 2007 Briefing on this topic.

SUMMARY:

The work by the consultants has been very helpful. Over the last month, progress has been made in the following:

- A decision tree and timeline has been established (attached).
- An administrative structure has been established (attached).
- The reports from Deloitte and Hammes are expected to be finalized before the September Board meeting.
- The next progress report will be made to the Board in September.

CONSIDERATIONS:

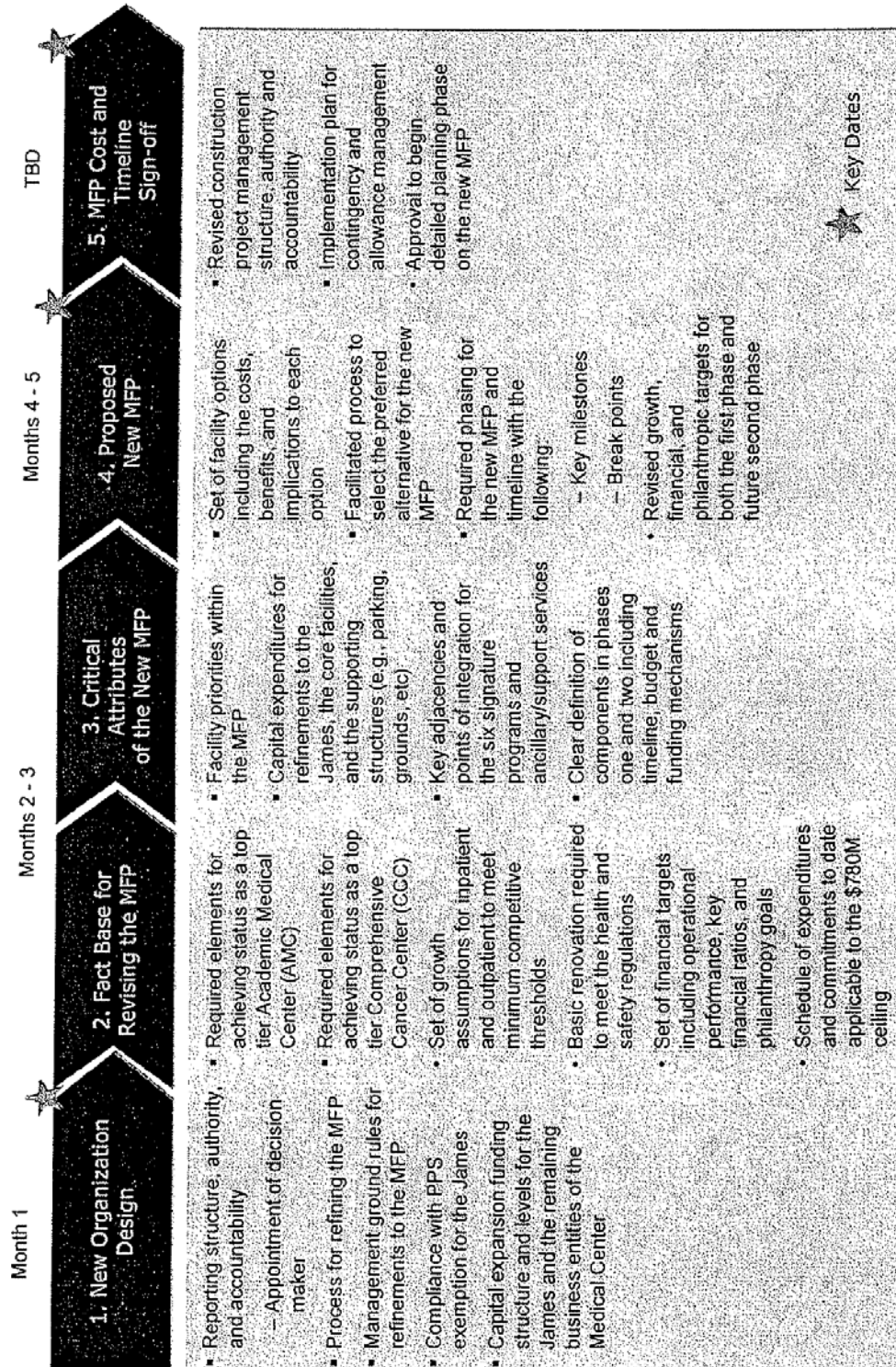
- What additional information would the Board like to have?
- What can we expect in September?
- What effect will proposed changes in the James/CCC governance structure have?

REQUESTED OF BOARD OF TRUSTEES:

For information and discussion. No vote required.

DRAFT

Exhibit 1: Timeline of Key Decisions






Board of Trustees

210 Bricker Hall
190 North Oval Mall
Columbus, OH 43210-1321

Phone (614) 292-6359
Fax (614) 292-5903

Subject: Medical Center Master Facilities Plan

Date: June 20, 2007

From: Gil Cloyd 

To: Medical Center Leadership

Now that the internal work group led by Chris Culley is completing its charge to articulate a governance and operational model for the James and the Medical Center, we need to complete our review of remaining issues involving the Master Facilities Plan. Since this involves facility and financial issues, I have asked Bill Shkurti to take the lead in moving this process to the next phase. This includes making sure this project moves forward as a University project with appropriate support, oversight, accountability and reporting. I expect Bill and his staff to work with you and your staff to make sure programmatic needs are met, but ultimate accountability for financial and facilities decisions rests with Bill as the senior University official involved.

I have asked Chris Culley, Pete Geier, Dave Schuller and Chip Souba to form a small work group under Bill's leadership, to assist in this process. I have also asked the following members of the Board of Trustees to join me in providing advice and support to Bill in this effort: Alan Brass, Jo Ann Davidson, Karen Hendricks, Dimon McFerson, John Ong and Les Wexner.

The working group will be guided in its work by these primary objectives:

1. Move forward with the Medical Center Master Facilities Plan in a manner consistent with the objectives laid out in the April 18, 2007 letter from Bob Duncan and me to the Medical Center Leadership and utilized by the Governance working group:
 - To position the OSU James Cancer Hospital and Solove Research Center to become a top ten NCI-designed Comprehensive Cancer Center;
 - To maintain the excellence and growth of the OSU Medical Center to achieve top twenty status among academic medical centers in the nation in programs, personnel and facilities, through a sound strategic investment plan;
 - To maintain the federal PPS exemption; and
 - To enhance inter-disciplinary synergies among the OSU Medical Center, the James/Solove, and Ohio State broadly.
2. Develop a framework and timeline for resolution of all issues identified by the consultants and other stakeholders as described in Bill's June 1, 2007 presentation to the Trustees. This includes ensuring that the Medical Center and the James leadership and

all relevant groups work with the consultants to achieve strategic alignment with appropriate cost options identified.

3. Define an approach to maximize private philanthropic support which incentivizes leadership to gain substantive private contributions (or an equivalent) in addition to other funding sources.
4. Establish clarity and line of sight reporting responsibility to the Senior VP for Business and Finance for all financial and facilities-related decisions applicable to the expansion. This includes defining an ongoing project management process that ensures proper tracking, milestone evaluations, and adherence to best practices, high quality and effectiveness.
5. Ensure that the credit rating and financial viability of the University, the Medical Center, the James and the Health System are maintained.
6. Ensure that hospitals within the health system, including the James, are managed and governed consistent with an approved five-year financial plan. This includes collaboration and sharing of services to minimize duplication and unnecessary expense.

I have authorized Bill to hire whatever consulting assistance and staff support he needs and, where appropriate, to charge this to the project, including the hiring of a senior, experienced overall Project Leader who reports to the Senior Vice President for Business and Finance.

I have asked Bill to present a follow-up report to the full Board at the July 13 meeting.

The University remains committed to a timely and thorough analysis and consideration of this total proposed project and, ultimately, the implementation of a master plan that will position the James, the Medical Center and all of its component programs for continued excellence and leadership in the years ahead.

Cc: Karen Holbrook, President
Christopher Culley, Vice President for Legal Affairs and General Counsel
William Shkurti, Senior Vice President for Business and Finance
Members of the Board of Trustees
Members of The Arthur G. James Cancer Hospital and Richard J. Solove Research
Institute Board
Members of The Ohio State University Hospitals Board
Members of The Arthur G. James Cancer Hospital and Richard J. Solove Research
Institute Foundation Board



Athletic Department Facilities Master Plan

315-07-2152

Requesting Agency(s): ATHLETICS

Location(s): Various Locations, Columbus

ASF/0 GSF Age:

Description:

This project will hire an associate architect or consultant to assist in the development of an athletic department facilities master plan. The facilities master plan will address sports programming, building analyses and recommendations for improvement or replacement of facilities. The plan will assist decision-making for the next 10 years.

Project Information:

The study area includes up to approximately 380 acres (including the golf course), 77 million gross square feet of existing athletic department space, and 36 varsity sports.

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting the mission of the Department of Athletics to provide student athletes with exceptional education and athletic opportunities.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

Auxiliaries-Athletics

\$500,000.00

Total:

\$500,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$500,000.00

07/13/2007

Feasibility Study Start

09/01/2007

Feasibility Study Completion

12/31/2008

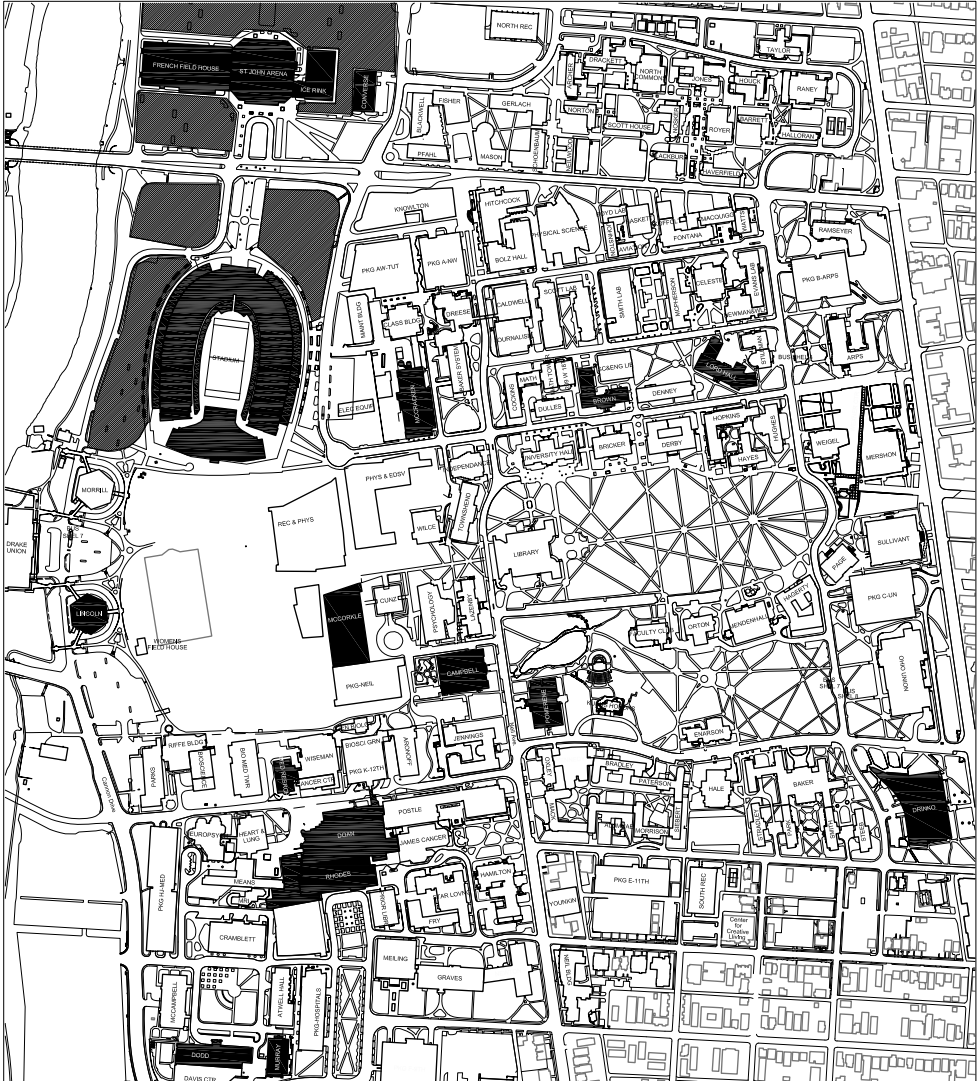
Project Team:

Project Manager: Kim Moss (moss.58@osu.edu)

Project Coordinator: Melissa Griffin (griffin.333@osu.edu)

Core and Medical Campus Projects

- Athletics Facilities Master Plan
- Brown Hall Demolition
- Campbell Hall - Third Floor West HVAC Improvements
- Campbell Hall Elevator Upgrades
- Dodd Hall - 3rd and 4th Floor Renovation
- Drinko Hall Window/Masonry Renovations
- High Voltage Switch and Cable Replacement (campus)
- Lincoln Tower Cooling Tower Replacement
- Lord Hall Demolition
- McCracken Power Plant Sewer Upgrade
- McCracken Water Treatment Upgrade
- Medical Campus Electrical Upgrades
- Murray Hall - Roof Replacement
- Ohio Stadium - South Stands Stair Repair
- Pomerene Hall - Roof Replacement
- Power Plant - Security Upgrades
- Tzagournis Medical Research Facility Curtain Wall Repairs



Office of Business and Finance / Board of Trustees Meeting

July 13, 2007





ATI - Halterman Hall Improvements

315-07-1570

Requesting Agency(s): ATI-ACADEMIC SUPPORT

Location(s): Halterman Hall, Jerry J.

37,009 ASF/55,325 GSF Age: 1973

Description:

This project will upgrade classrooms and laboratories to include replacement of cabinets, counter tops, hoods, laboratory equipment and safety equipment, as well as an upgrade of the entryway to include lighting.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving the teaching and learning environment at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

HB699 ATI Basic Renovation

\$311,867.00

Total:

\$311,867.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$311,867.00

07/13/2007

DESIGN

Schematic Design Approval

10/30/2007

Design Dev Document Approval

10/30/2007

Construction Document Approval

12/15/2007

BIDDING

Bidding Approved BoT

\$311,867.00

07/13/2007

CONSTRUCTION

Construction Start

06/01/2008

Completion

12/30/2008

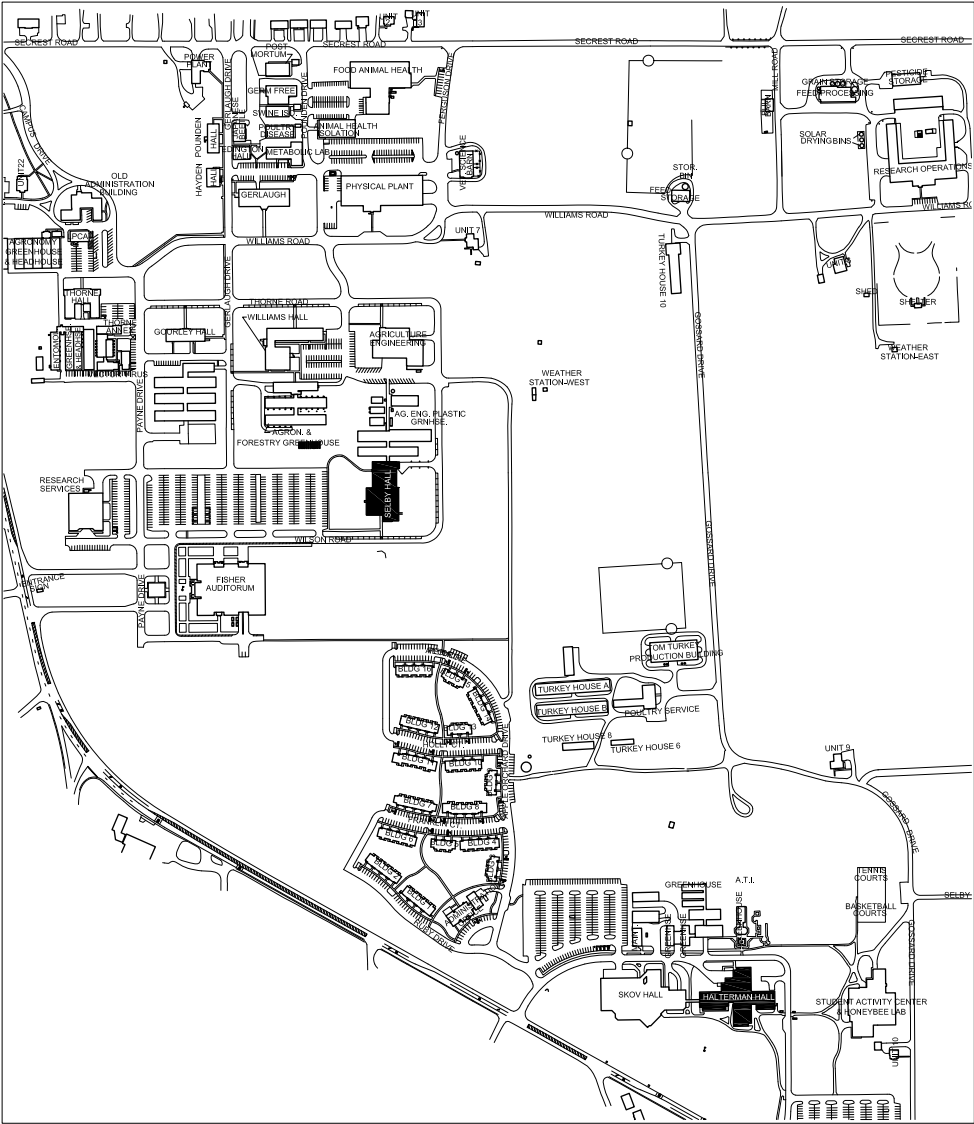
Project Team:

Project Manager: Marjory Trishman (trishman.2@osu.edu)

Project Coordinator: Melissa Griffin (griffin.333@osu.edu)

OARDC / ATI Projects

- ATI - Halterman Hall Improvements
- OARDC - Selby Hall Phytotron Facility Renovation





Brown Hall Demolition
315-07-1537-01

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Brown Hall, Christopher N. **43,103 ASF/69,894 GSF Age: 1903**

Description:
This project will demolish Brown Hall. The current occupants of Brown Hall will be relocated to Smith Laboratory, Pomerene Hall or Townshend Hall prior to demolition.

Project Information:
This project will be coordinated with the Lord Hall Demolition project.

How does this project advance the Academic Plan? This project advances the Academic Plan by reducing operations expenses and improving campus safety.

Outstanding Funding Issues: None

Timing Issues: This project will be coordinated with the Lord Hall Demolition project for improved management of the demolition projects.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: This project will address \$15,022,600 in deferred renewal.

Source of Funds:	Amount
HB699 Line Item Appropriation	\$1,000,000.00
Total:	\$1,000,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,000,000.00	07/13/2007		
BIDDING				
Bidding Approved BoT	\$1,000,000.00	07/13/2007		
CONSTRUCTION				
Construction Start		07/01/2008		
Completion		10/31/2008		

Project Team:
Project Manager: Scott Conlon (conlon.1@osu.edu) Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Campbell Hall - Third Floor West HVAC Improvements

315-07-2021

Requesting Agency(s): HUMAN NUTRITION & FOOD MANAGEMENT

Location(s): Campbell Hall, Elizabeth

68,736 ASF/115,204 GSF Age: 1916

Description:

This project will provide an assessment of the HVAC systems for the building and will provide improvements to the HVAC in labs on the west side of the third floor and also in some offices on the west side of the second floor.

Project Information:

Improvements include ductwork, controls and some replacement work.

How does this project advance the Academic Plan? This project advances the Academic Plan by improving the quality of the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: Project must be completed during the spring and summer months.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

General Funds-Human Ecology

\$402,600.00

Repair & Renovation Fiscal Yr 2008

\$43,000.00

Total:

\$445,600.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$445,600.00

07/13/2007

DESIGN

Schematic Design Approval

05/08/2008

Design Dev Document Approval

07/22/2008

Construction Document Approval

10/05/2008

CONSTRUCTION

Construction Start

05/19/2009

Completion

08/17/2009

Project Team:

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Campbell Hall Elevator Upgrades

315-07-1527

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Campbell Hall, Elizabeth

68,736 ASF/115,204 GSF Age: 1916

Description:

This project will modernize one of the elevators in Campbell Hall.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by addressing safety and access issues.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: The building will have another operating elevator during this project.

Special limitations/risks: None

Deferred Maintenance: This project will address \$202,600 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
HB699 Columbus Basic Renovation	\$329,906.00
Total:	\$329,906.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$329,906.00	07/13/2007		
DESIGN				
Schematic Design Approval		05/23/2008		
Design Dev Document Approval		08/06/2008		
Construction Document Approval		10/25/2008		
BIDDING				
Bidding Approved BoT	\$329,906.00	07/13/2007		
Bid Opening		02/04/2009		
CONSTRUCTION				
Construction Start		05/19/2009		
Completion		08/17/2009		

Project Team:

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Dodd Hall - 3rd and 4th Floor Renovation

315-07-2302

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Dodd Hall, Verne A.

43,256 ASF/84,673 GSF Age: 1961

Description:

This project will renovate the third and fourth floor Nurse and Physician waiting areas, and in-patient rooms in Dodd Hall.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting clinical, teaching and research missions at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

Auxiliaries-OSUMC Health Systems

\$500,000.00

Total:

\$500,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$500,000.00

07/13/2007

DESIGN

Schematic Design Approval

12/21/2007

Design Dev Document Approval

02/08/2008

Construction Document Approval

04/25/2008

BIDDING

Bidding Approved BoT

\$500,000.00

07/13/2007

Bid Opening

06/02/2008

CONSTRUCTION

Construction Start

08/02/2008

Completion

12/19/2008

Project Team:

Project Manager: John Rapp (rapp.87@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



Drinko Hall Window/Masonry Renovations

315-07-1585

Requesting Agency(s): LAW, MICHAEL E MORITZ COLLEGE OF

Location(s): Drinko Hall, John Deaver (Law Bldg)

144,873 ASF/220,112 GSF Age: 1956

Description:

This project will replace all windows and window frames on the east and south facades of Drinko Hall. The project will also provide some masonry repairs, including sealing and crack-filling.

Project Information:

This project will improve insulation for classrooms, conference rooms and offices, resulting in reduced energy costs.

How does this project advance the Academic Plan? This project advances the Academic Plan by improving campus facilities.

Outstanding Funding Issues: None

Timing Issues: Project construction will be phased, with classroom window replacements complete by fall semester 2008, and the remaining windows complete by December.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$36,125 in deferred maintenance on the masonry.

Deferred Renewal: None

Source of Funds:	Amount
HB699 Columbus Basic Renovation	\$608,218.00
Total:	\$608,218.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$608,218.00	07/13/2007		
CONSTRUCTION				
Construction Start		05/15/2008		
Completion		12/31/2008		

Project Team:

Project Manager: Brian Snyder

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



High Voltage Switch and Cable Replacement

315-07-1598

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): See Project Information

ASF/0 GSF Age:

Description:

This project will replace existing primary switches with outdoor pad-mounted SF6 switches. This project will also include high voltage electrical cable replacements. The cable upgrades will provide for future growth in demand for the north campus area.

Project Information:

The switches and cabling, originally installed over 30 years ago, have exceeded their life expectancy. They are failing and are dangerous to operate.

How does this project advance the Academic Plan? This project advances the Academic Plan by improving campus facilities, thereby enhancing the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will begin to address the approximately \$17.7M in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
Univ. Bond Proceeds	\$15,000,000.00
Total:	\$15,000,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$15,000,000.00	07/13/2007		
CONSTRUCTION				
Construction Start		10/01/2008		
Completion		10/01/2010		

Project Team:

Project Manager: Tom Komlanc (komlanc.2@osu.edu)

Project Coordinator: James Hall (jhall@fod.osu.edu)



Lima - Galvin Hall Roof/Galvin and Reed Ext Door Repl
315-07-1600

Requesting Agency(s): LIMA CAMPUS

Location(s): Galvin Hall 47,297 ASF/81,719 GSF Age: 1966
Location(s): Reed Student Activities Bldg 22,508 ASF/29,995 GSF Age: 1968

Description:
This project will replace the existing roofing membrane, flashing and counter flashing at Galvin Hall. The project will also remove existing exterior doors and frame assemblies at Galvin and Reed Halls and install new doors, frames, automatic door assemblies and panic hardware.
Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$163,440 in deferred maintenance on the roof of Galvin Hall and \$52,360 in deferred maintenance on the doors of Galvin Hall.

Deferred Renewal: None

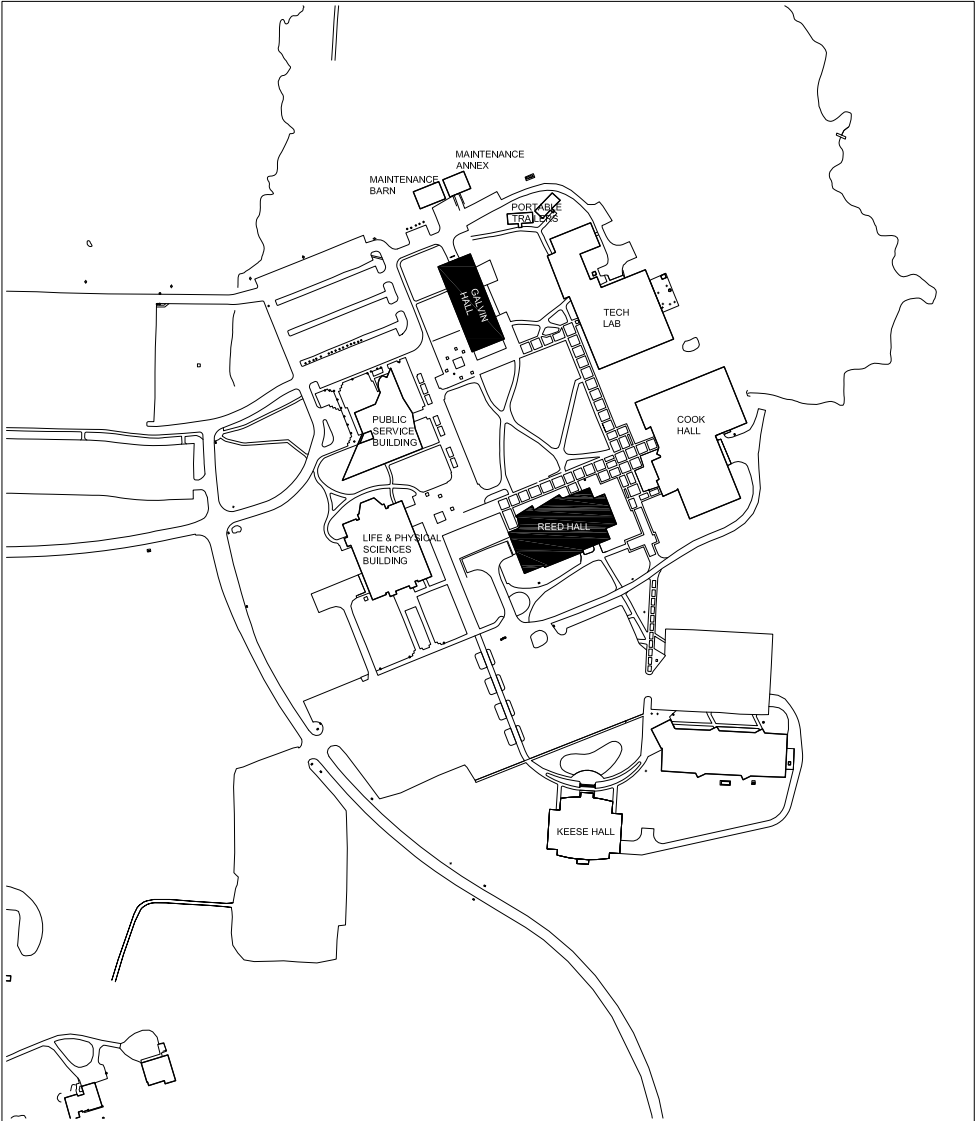
Source of Funds:	Amount
General Funds-Lima	\$1,191.00
HB699 Lima Basic Renovation	\$262,917.00
Total:	\$264,108.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$264,108.00	07/13/2007		
BIDDING				
Bidding Approved BoT	\$264,108.00	07/13/2007		
CONSTRUCTION				
Construction Start		03/17/2008		
Completion		07/02/2008		

Project Team:
Project Manager: Nikolina Sevis (sevis.2@osu.edu) Project Coordinator: Leanne Chandler (chandler.63@osu.edu)

Lima - Projects

- Lima Campus - Galvin Hall Roof/Galvin & Reed Exterior Door Replacements





Lincoln Tower - Cooling Tower Replacement

315-07-2024

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Lincoln Tower, Abraham

208,606 ASF/322,387 GSF Age: 1967

Description:

This project will replace the cooling tower, chiller and transformer in Lincoln Tower and replace the domestic hot water storage tanks at Lincoln and Morrill Towers.

Project Information:

How does this project advance the Academic Plan? This project advances the academic plan by improving the on-campus student living experience.

Outstanding Funding Issues: This project is funded with future University bond proceeds; Student Affairs has identified an interim funding source until bond proceeds become available.

Timing Issues: Equipment replacement will be scheduled during breaks.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$1,773,100 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
Univ. Bond Proceeds	\$2,631,439.00
Total:	\$2,631,439.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$2,631,439.00	02/02/2007		02/02/2007
Arch/Engr Advertisement (Columbus Dispatch)				03/06/2007
DESIGN				
Arch/Engr Contract		05/04/2007	06/15/2007	
BIDDING				
Bidding Approved BoT	\$2,631,439.00	07/13/2007		
Bid Opening		09/04/2007		
CONSTRUCTION				
Construction Start		11/01/2007		
Completion		04/30/2008		

Project Team:

Project Manager: Mark Stelzer (stelzer.28@osu.edu)
KRAMER ENGINEERING - Design

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Lord Hall Demolition
315-07-1539

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Lord Hall, Nathaniel Wright 39,836 ASF/65,177 GSF Age: 1906

Description:
This project will demolish Lord Hall.

Project Information:
The use of the site is to be determined but demolition of the facility at this time will allow operational and energy dollars to be redirected to functional facilities. The remaining building occupants will be relocated to Smith Laboratory. The project will be coordinated with the Brown Hall Demolition project.

How does this project advance the Academic Plan? This project advances the Academic Plan by reducing operations expenses and improving campus safety.

Outstanding Funding Issues: None

Timing Issues: This project will be coordinated with the Brown Hall Demolition project for improved management of the demolition projects.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: This project will address \$12,545,600 in deferred renewal.

Source of Funds:	Amount
HB699 Columbus Basic Renovation	\$1,244,215.00
Total:	\$1,244,215.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,244,215.00	07/13/2007		
BIDDING				
Bidding Approved BoT	\$1,244,215.00	07/13/2007		
CONSTRUCTION				
Construction Start		07/01/2008		
Completion		10/31/2008		

Project Team:
Project Manager: Scott Conlon (conlon.1@osu.edu) Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



McCracken Power Plant Sewer Upgrade
315-07-1531

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): McCracken Power Plant, William C 29,764 ASF/107,910 GSF Age: 1918

Description:
This project will repair, reroute and upgrade the sewer pipe in the McCracken Power Plant.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving campus facilities, thereby improving the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$292,000 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
HB699 Columbus Basic Renovation	\$292,219.00
Total:	\$292,219.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$292,219.00	07/13/2007		
BIDDING				
Bidding Approved BoT	\$292,219.00	07/13/2007		
CONSTRUCTION				
Construction Start		02/01/2009		
Completion		08/01/2009		

Project Team:
Project Manager: Tom Komlanc (komlanc.2@osu.edu) Project Coordinator: James Hall (jhall@fod.osu.edu)



McCracken Water Treatment Upgrade
315-07-1534

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): McCracken Power Plant, William C 29,764 ASF/107,910 GSF Age: 1918

Description:
This project will upgrade the pumps, control valves and softening and polishing equipment to extend the life of the water treatment system.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving campus facilities, thereby improving the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance:

Deferred Renewal:

Source of Funds:	Amount
HB699 Columbus Basic Renovation	\$661,106.00
Total:	\$661,106.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$661,106.00	07/13/2007		
BIDDING				
Bidding Approved BoT	\$661,106.00	07/13/2007		
CONSTRUCTION				
Construction Start		08/01/2008		
Completion		02/01/2009		

Project Team:
Project Manager: Tom Komlanc (komlanc.2@osu.edu) Project Coordinator: James Hall (jhall@fod.osu.edu)



Medical Campus Electrical Upgrades

315-07-1526

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): See Project Information

ASF/0 GSF Age:

Description:

This project will replace transformers and switchgear in Doan and Rhodes Halls.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving the teaching and learning environment.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

HB699 Columbus Basic Renovation

\$439,788.00

Total:

\$439,788.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$439,788.00

07/13/2007

BIDDING

Bidding Approved BoT

\$439,788.00

07/13/2007

CONSTRUCTION

Construction Start

11/01/2008

Completion

05/01/2009

Project Team:

Project Manager: Tom Komlanc (komlanc.2@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



Murray Hall - Roof Replacement

315-07-2204

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Murray Hall

ASF/78,857 GSF Age: 1971

Description:

This project will replace the roofing system including tapered insulation, copings and flashing.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by enhancing the learning, teaching and research environment at The Ohio State University

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$250,000 of deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
Auxiliaries-OSUMC Health Systems	\$475,367.00
Total:	\$475,367.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$475,367.00	07/13/2007		
DESIGN				
Schematic Design Approval		01/04/2008		
Design Dev Document Approval		02/22/2008		
Construction Document Approval		05/16/2008		
BIDDING				
Bidding Approved BoT	\$475,367.00	07/13/2007		
Bid Opening		06/26/2008		
CONSTRUCTION				
Construction Start		08/28/2008		
Completion		11/26/2008		

Project Team:

Project Manager: John Rapp (rapp.87@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



OARDC - Selby Hall Phytotron Facility Renovation

315-07-1571

Requesting Agency(s): OHIO AGRIC RESEARCH AND DEVELOPMENT CTR

Location(s): Selby Hall

43,773 ASF/70,292 GSF Age: 1972

Description:

This project will renovate the 8,100 GSF phytotron space in Selby Hall, replace existing growth chambers and related equipment with modern units.

Project Information:

The renovation will include the installation of new BSL-2/3 containment growth chambers, installation of dew chambers and mist benches with temperature and light control. Modification and expansion of the current soil preparation room into existing space in the building will also occur.

How does this project advance the Academic Plan? This project advances the Academic Plan by enhancing the teaching, research and learning environment for students, faculty and staff at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$34,380 of deferred maintenance.

Deferred Renewal: None

Source of Funds:

Amount

HB699 OARDC Line Item Appropriation \$2,000,000.00

Total: \$2,000,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$2,000,000.00

07/13/2007

DESIGN

Schematic Design Approval

12/30/2007

Design Dev Document Approval

12/30/2007

Construction Document Approval

02/15/2008

CONSTRUCTION

Construction Start

06/15/2008

Completion

12/30/2008

Project Team:

Project Manager: Marjory Trishman (trishman.2@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)



Power Plant - Security Upgrades

9986-07205-011

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): McCracken Power Plant, William C

29,764 ASF/107,910 GSF Age: 1918

Description:

This project will install perimeter and interior Security/Access Control and evacuation alarms to the McCracken Power Plant.

Project Information:

How does this project advance the Academic Plan? This project will advance the Academic Plan by improving the security and controlling access to the McCracken Power Plant for students, faculty and staff.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:	Amount
2007 Central Funds - ACAMS & TCP/IP	\$290,900.30
2004 Central Funds - ACAMS & PFNET	\$11,929.70
Total:	\$302,830.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$302,830.00	07/13/2007		
DESIGN				
Construction Document Approval		04/16/2007		04/16/2007
BIDDING				
Bidding Approved BoT	\$302,830.00	07/13/2007		
CONSTRUCTION				
Construction Start		10/05/2007		
Completion		02/22/2008		

Project Team:

Project Manager: Walter Ingram
SECURITY TECH & DESIGN SERV - Design

Project Coordinator: Melissa Griffin (griffin.333@osu.edu)



Tzagournis Medical Research Facility Curtain Wall Repairs

315-07-1623

Requesting Agency(s): UNIVERSITY HOSPITALS
Requesting Agency(s): RESEARCH, OFFICE OF
Location(s): Tzagournis Medical Research Facility 44,880 ASF/78,705 GSF Age: 1994

Description:
This project consists of repairs to the curtain wall at the Tzagournis Medical Research Facility. The project will include general exterior building envelope repairs, including cleaning of existing limestone panels, application of sealer, repair of joints and replacement of broken panels; wetseal framing and joints; replacing sealant, canopy copying, insulation and broken glass. Work will also include the removal and reinstallation of the spandrel glass.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting the clinical, teaching and research missions at the University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: Continued leaks in the exterior building enclosure will cause additional damage to the building curtain wall system until repairs are made. An additional risk is the loss of centralized quality research space on campus.

Deferred Maintenance: This project will address approximately \$279,500 of deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
General Funds-Research	\$1,000,000.00
Total:	\$1,000,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,000,000.00	07/13/2007		
CONSTRUCTION				
Construction Start		04/08/2008		
FF&E				
FF&E Program Review		10/30/2008		

Project Team:
Project Manager: Jack Bargaheiser (bargaheiser.2@osu.edu) Project Coordinator: Curt Handschug (handschug.1@osu.edu)



University Hospitals East - MRI Installation

315-07-2308

Requesting Agency(s): UNIVERSITY HOSPITALS EAST

Location(s): University Hospitals East

252,650 ASF/465,711 GSF Age: 1966

Description:

This project will renovate existing space in the radiology department for the installation of a new MRI unit and support space.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting clinical, teaching and research missions at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: A structural engineering analysis will be performed prior to initiating this project to verify that the existing structure can support the new equipment.

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

Auxiliaries-OSUMC Health Systems

\$2,700,000.00

Total:

\$2,700,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT

\$2,700,000.00

07/13/2007

DESIGN

Schematic Design Approval

01/23/2008

Design Dev Document Approval

03/08/2008

Construction Document Approval

05/02/2008

BIDDING

Bid Opening

06/02/2008

CONSTRUCTION

Construction Start

08/02/2008

Completion

01/29/2009

FF&E

FF&E Installation

02/12/2009

CLOSE OUT

Moving

02/16/2009

Project Team:

Project Manager: Jack Bargaheiser (bargaheiser.2@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



University Hospitals East - Operating Rooms Renovation

315-07-2309

Requesting Agency(s): UNIVERSITY HOSPITALS EAST

Location(s): University Hospitals East

252,650 ASF/465,711 GSF Age: 1966

Description:

This project will renovate ten operating rooms in the North Wing of University Hospitals East.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting clinical, teaching and research missions at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

	Amount
Auxiliaries-OSUMC Health Systems	\$750,000.00

Total:

\$750,000.00

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

6540 Received by Facilities Design and Construction

Arch/Engr Approved by BoT

\$750,000.00

07/13/2007

06/18/2007

DESIGN

Schematic Design Approval

Design Dev Document Approval

Construction Document Approval

01/08/2008

02/07/2008

03/28/2008

BIDDING

Bidding Approved BoT

Bid Opening

\$750,000.00

07/13/2007

05/05/2008

CONSTRUCTION

Construction Start

Completion

07/05/2008

12/02/2008

FF&E

FF&E Installation

12/16/2008

CLOSE OUT

Moving

12/22/2008

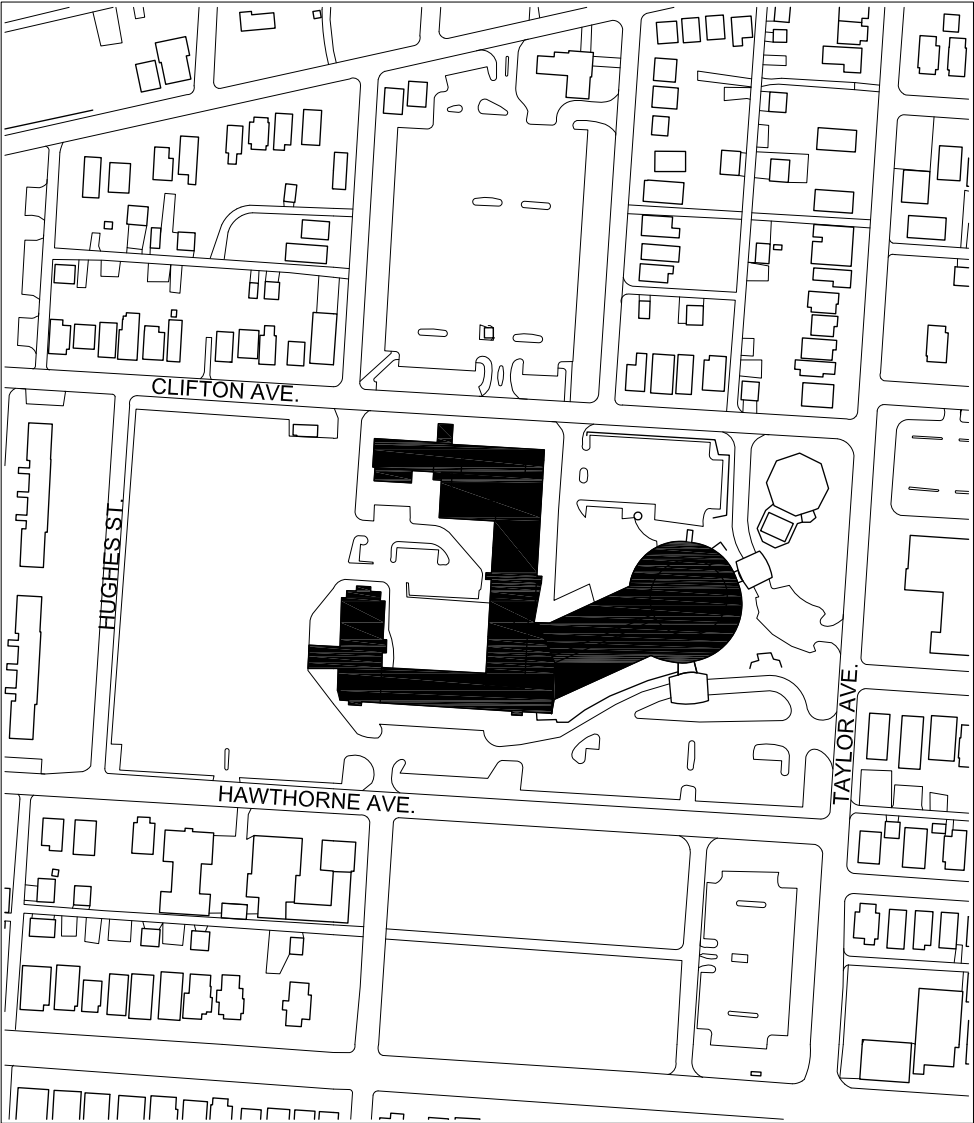
Project Team:

Project Manager: Jack Bargaheiser (bargaheiser.2@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)

University Hospital East Projects

- University Hospitals East - MRI Installation
- University Hospitals East - Operating Rooms Renovation



Office of Business and Finance / Board of Trustees Meeting

July 13, 2007





Ohio Stadium - South Stands Stair Repair

315-2006-914

Requesting Agency(s): ATHLETICS

Location(s): Ohio Stadium

202,778 ASF/812,422 GSF Age: 1922

Description:

The stairs in the south stands of Ohio Stadium are in a state of disrepair. This project will sand-blast to base metal, prime and paint the stairs.

Project Information:

How does this project advance the Academic Plan? Repairing the stairs in the south stands of the Ohio Stadium will address an Athletic facility maintenance issue.

Outstanding Funding Issues: None

Timing Issues: The project will complete prior to the start of the 2007 football season.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:	Amount
Auxiliaries-Athletics	\$400,000.00
Total:	\$400,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
DESIGN				
Construction Document Approval		04/01/2006	04/01/2007	04/01/2007
BIDDING				
Bidding Approved BoT	\$400,000.00	07/13/2007		
CONSTRUCTION				
Construction Start		07/01/2006	10/24/2007	
Completion		08/31/2006	12/31/2007	

Project Team:

Project Manager: Gary Collier (collier.26@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



Pomerene Hall - Roof Replacement Phase II

315-2005-930

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Pomerene Hall, Frank E.

45,497 ASF/72,134 GSF Age: 1922

Description:

This project will replace the lower roofs over the north terrace, kitchen, locker rooms and natatorium.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by enhancing the quality of the teaching and learning environment at The Ohio State University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$265,000 of deferred maintenance.

Deferred Renewal: None

Source of Funds:	Amount
HB16 Columbus Basic Renovation	\$265,000.00
Total:	\$265,000.00

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$265,000.00	09/23/2005		09/23/2005
DESIGN				
Schematic Design Approval		06/25/2006	03/01/2007	02/20/2007
Design Dev Document Approval		08/09/2006	04/16/2007	04/16/2007
Construction Document Approval		10/08/2006	06/14/2007	06/12/2007
BIDDING				
Bidding Approved BoT	\$265,000.00	12/08/2006	07/13/2007	
Bid Opening		01/19/2007	09/25/2007	
CONSTRUCTION				
Construction Start		05/03/2007	01/07/2008	
Completion		08/31/2007	05/06/2008	

Project Team:

Project Manager: Harold Cheyney (cheyney.1@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

SCHORR ARCHITECTS INC - Design



Morehouse Medical Plaza - Surface Parking Expansion Project

315-2004-905-2

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): See Project Information

ASF/0 GSF Age:

Description:

This project will provide the Martha Morehouse Medical Plaza with an increase in surface parking, lighting, and drainage to meet the growing demands of the complex. Adjacent land to the immediate south of the plaza will become part of a staff parking area to meet the desired vehicle count. Once complete, the overall lot capacity will total 940 vehicles, an increase of approximately 514 spaces.

Project Information:

Project budget increased to tie the primary power to the Morehouse Medical Plaza to the University power supply. It is necessary to add this scope to avoid tearing up the new parking lot after construction.

This project is part of the \$13M Outpatient Services - 2050 Kenny Road project previously approved on March 5, 2004 by the Board of Trustees.

How does this project advance the Academic Plan? This project will advance the Academic Plan by providing the needed infrastructure to support the growth of medical outpatient clinics and specialists at one location where complex treatment options and research can be developed.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:

Amount

Auxiliaries-OSUMC Health Systems	\$1,610,502.00
Univ. Bond Proceeds	\$2,050,000.00

Total:	\$3,660,502.00
---------------	----------------

Schedule:

BoT Approved Amt.

Projected

Revised

Actual

PLANNING

Arch/Engr Approved by BoT (Comprehensive project)	\$13,000,000.00	03/05/2004		03/05/2004
Arch/Engr Advertisement		04/01/2004		04/01/2004

DESIGN

Arch/Engr Contract		10/20/2004		10/13/2004
Schematic Design Approval		11/13/2004	01/15/2005	12/22/2004
Design Dev Document Approval		01/20/2005	02/20/2005	02/20/2005
Construction Document Approval		05/01/2006	06/15/2006	06/15/2006

BIDDING

Bidding Approved BoT	\$3,079,677.00	06/02/2006		06/02/2006
Bid Opening		05/10/2007		05/10/2007
Bidding Approved BoT (Project Increase)	\$3,660,502.00	07/13/2007		

CONSTRUCTION

Award of Contracts		07/06/2007		
Construction Start		01/05/2006	07/16/2007	
Completion		12/10/2006	01/27/2008	

Project Team:

Project Manager: Paul Lenz (lenz.3@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)

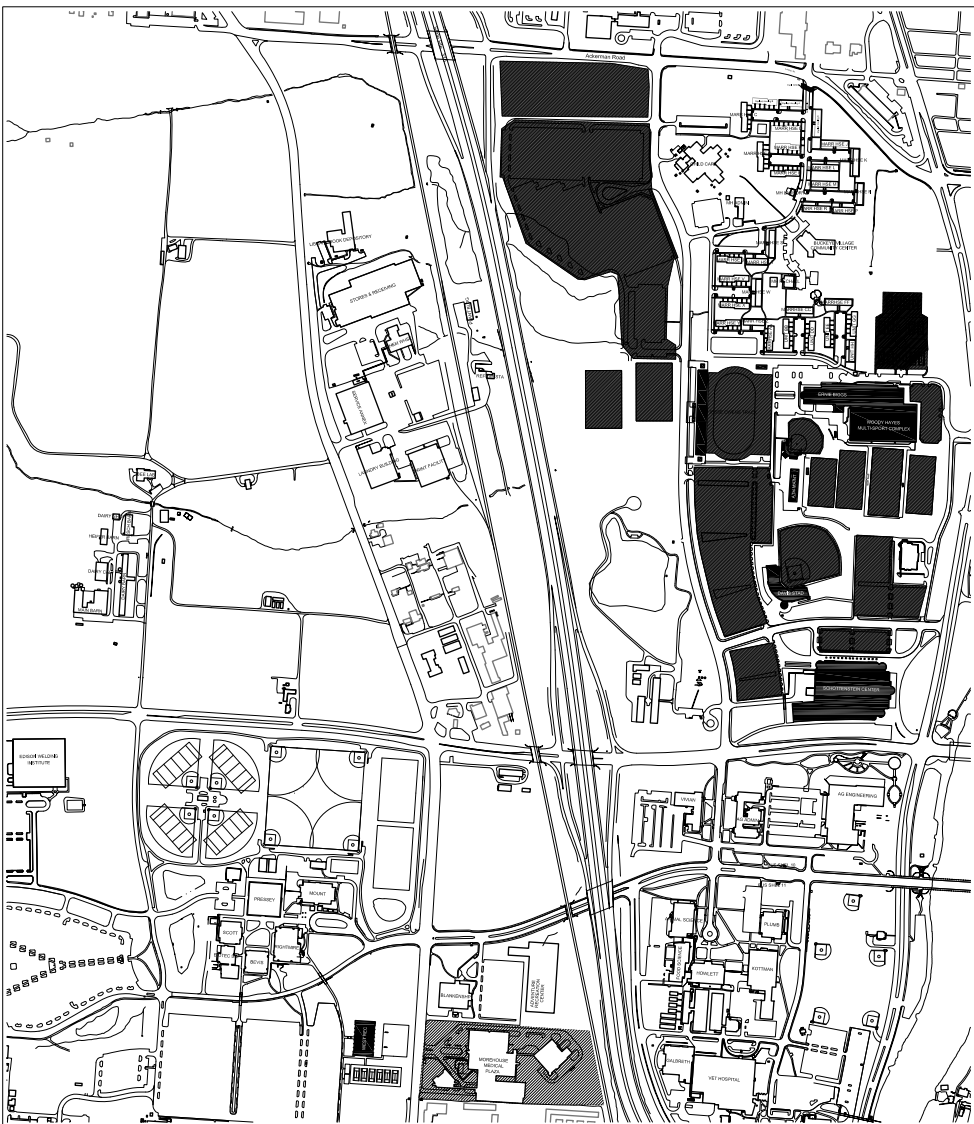
CHAMPLIN HAUPT, INC. - Design

DYNALECTRIC COMPANY OF OHIO - Electric Construction

STRAWSER PAVING - General Construction

West and Midwest Campus Projects

- Athletics Facilities Master Plan
- Morehouse Medical Plaza - Surface Parking Expansion Project



Office of Business and Finance / Board of Trustees Meeting

July 13, 2007





Delaware Speech & Hearing with OSU Medical College (Pass-Thru)

315-07-1556

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): See Project Information

ASF/0 GSF Age:

Description:

This project will provide funds for use in constructing and renovating the Delaware Speech and Hearing Center's facilities in Delaware, Ohio.

Project Information:

This is a pass-thru capital project. A Joint Use Agreement has been prepared between The Ohio State University and the Delaware Speech and Hearing Center. This appropriation will provide increased access to speech, audiology and related services for Delaware County and will provide increased opportunities for clinical education placement for OSU medical students.

How does this project advance the Academic Plan? This project advances the Academic Plan by supporting the teaching and research missions of the University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:	Amount
HB699 Line Item Appropriation	\$75,000.00
	.
Total:	\$75,000.00

Project Team:

Project Manager: Robb Coventry

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)

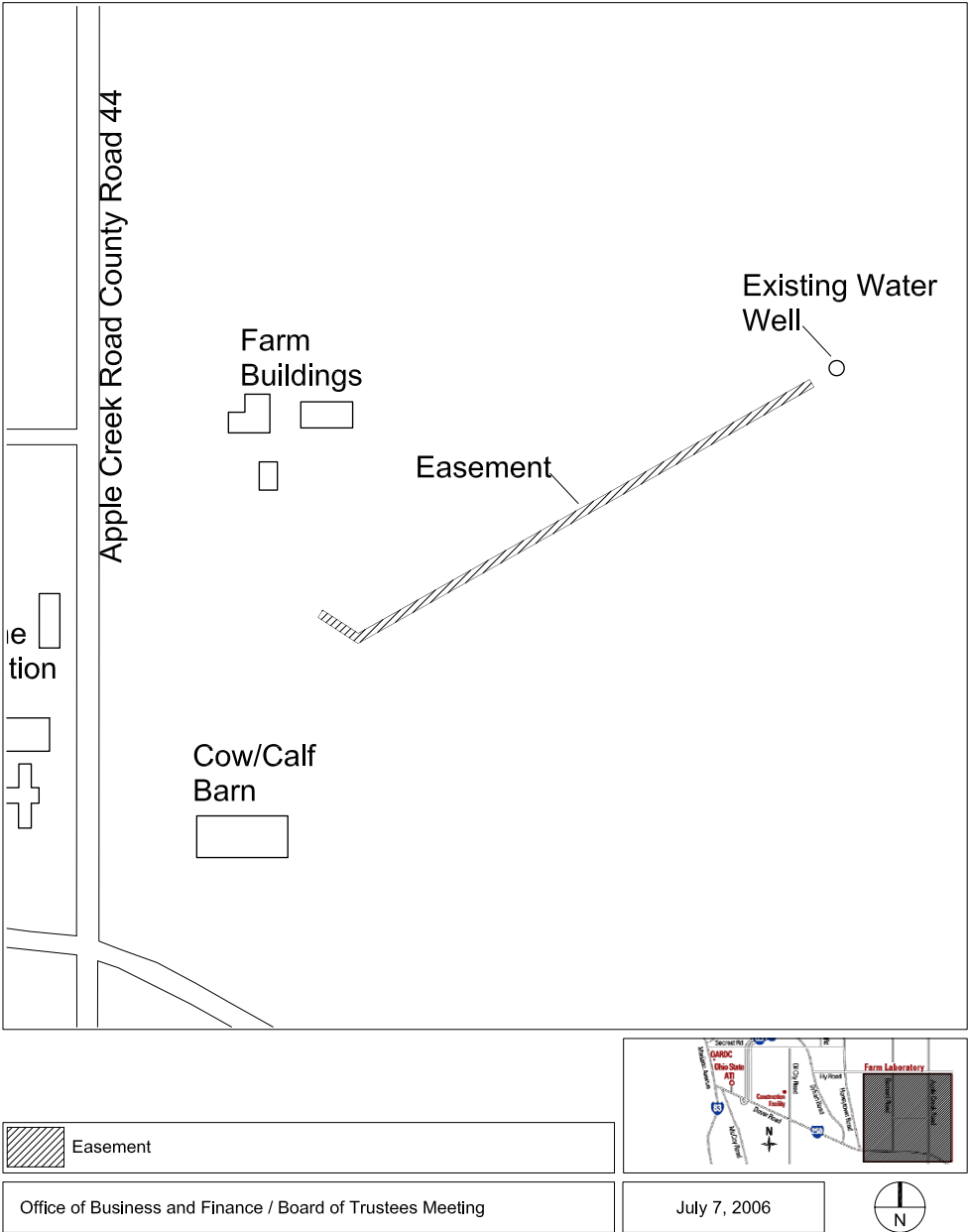
494 W Central Ave., Delaware, Ohio 43015

- Joint use agreement with Delaware Speech and Hearing Center, Inc.



Easement - Ohio Power Company at ATI, Wooster, Ohio

- Easement 10' x 347.4' to Ohio Power for electrical utility distribution line.



(APPENDIX V)
LONG-TERM LEASE

VARSAITY CREW FACILITY
INDIAN VILLAGE ROAD
COLUMBUS, OHIO

Background

Location and Description

The Department of Athletics proposes to lease a boathouse from the City of Columbus, Ohio. The City of Columbus will construct a boathouse and the cost of construction of the boathouse will be the rent paid by the University.

The property is located along the Griggs Reservoir on the Scioto River in a portion of Durenceau Park located at the southerly end of Indian Village Road in Columbus, Ohio. The proposal provides for construction of a boathouse facility by the City, portions of which will be leased by the University. The leased premises will consist of three (3) bays, two (2) meeting rooms, and one (1) office in the permanent boathouse to be constructed upon real property at Griggs Reservoir on the Scioto River together with the right to use in common with the City, the lobbies, kitchen, training rooms, men's and women's locker rooms, common corridors, hallways, public restrooms, and other common areas located within the boathouse, and the dock facilities to be located on the real property accessing the Scioto River.

All costs, lease payments, and any other expenses related to the proposed off-campus property will be funded by the Department of Athletics using funds from reserves that have been set aside for a boathouse project and future fundraising. All costs associated with this project will be the responsibility of the Department of Athletics until the time donor funds have been secured.

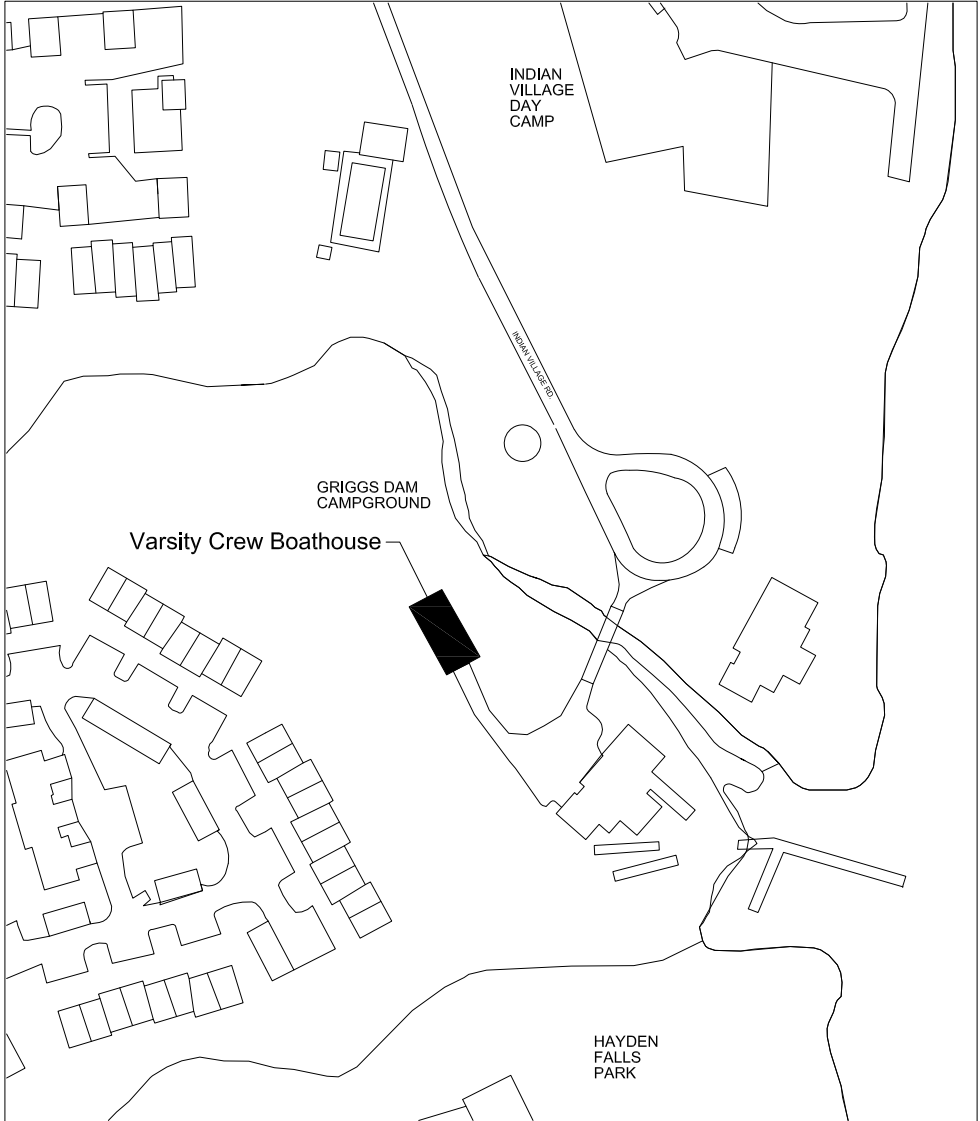
Terms of Lease

The lease term shall be for 40 years, with one 40-year renewal option.

Rent for the property will be the actual costs of construction of the boathouse facility, not to exceed \$3.3 million. The mechanics of payment of rent and additional terms remain to be negotiated and such additional terms and conditions as shall be in the best interest of the University.

Long Term Lease

- Leased Varsity Crew Facility, Indian Village Road, Columbus, Ohio



Office of Business and Finance / Board of Trustees Meeting

July 13, 2007



**The Ohio State University Board of Trustees
Fiscal Affairs Committee
July 13, 2007**

Topic:

FY 2008 Operating Budget Recommendations

Context:

This is the second to last step in approval of the FY 2008 Operating Budget. The final step (Budget Detail) will occur at the September meeting.

Recommendations:

The recommendations are subdivided into five parts:

- Strategic Priorities (pp. 3-4)
- Tuition and Fees (pp. 5-11)
- General Fund Budgets Recommendations (pp. 12-19)
- All Fund Budget Recommendations (pp. 20-24)
- Financial Stewardship (pp. 25-28)

Considerations:

- How does this budget support the Academic Plan?
- How did the leadership transition affect this budget?
- What does the state budget bill portend for FY 2009?
- What happens next?

Requested of Fiscal Affairs Committee:

Approval

**The Ohio State University
Board of Trustees
Fiscal Affairs Committee
July 13, 2007**

FY 2008 Operating Budget Recommendations

- I. Prior Board Actions
- II Strategic Overview
- III. Tuition and Fees
- IV. Columbus General Funds Budget Recommendations
- V. Regional Campuses
- VI. All Funds Budget Recommendations
- VII. Financial Stewardship
- VIII. Conclusions
- IX. Appendices

Office of Academic Affairs
Office of Business and Finance
7/01/07

I. Prior Board Actions

A. April 6, 2007

- Implications of the Governor's proposal were discussed.
- Presented Financial Benchmark report

B. May 4, 2007

- The BOT was presented with the first set of scenarios available for the FY 2008 year.
- Included an analysis and comparison of the Governor's budget bill proposal and the House of Representatives passed version of the budget bill.
- The presentation was information only in May and was viewed as a starting point for the discussion of funding availability for the year. No Board action was required.

C. June 1, 2007

- The BOT approved the used charges for self-supporting auxiliaries and approved an interim budget for the period of July 1 – 13, 2007, inclusively.
- The first reading for the supplemental instructional fees that do not impact all students was presented.

II. Strategic Overview

The FY 2008 budget is structured to support key University-wide initiatives, consistent with the Academic Plan:

- Competitive Compensation
- Reform of the Undergraduate Curriculum
- Quality Graduate Programs
- 2008 Enrollment Plan
- Enterprise -Wide Systems
- Safety and Security
- Compliance Activities

Funding for these initiatives is described below:

- A. Competitive Compensation – will be funded from college and support unit operating budgets. Most units are able to fund a raise pool at a minimum of 3.5% for faculty, staff and student employees without a reallocation. Further discussions are on-going regarding reallocations required to reach a more competitive increase. The Provost will selectively support high quality academic units that lag behind their benchmark faculty salary levels.
- B. Reform of the Undergraduate Curriculum – The University has approved the implementation of the reduced credit hours proposal for graduation to start August 2007 and work continues on addressing the financial implications of reduced credit hours to graduate.
- C. Quality Graduate Programs – The University has approved the changes for supporting quality graduate programs as proposed by the Senate Fiscal Committee. An assessment on all doctoral granting colleges will be implemented, with the funding being utilized to support the Innovation Incentive funded activities and to fund quality graduate programs not included in the Innovation Incentive program. The targeting of funds to quality programs will allow the university to support these programs and to begin an assessment of other doctoral programs for potential changes and eliminations. This review will be conducted by the Graduate School and the impacted colleges.

- D. 2008 Enrollment Plan – The final year of funding will be used to complete the Enrollment Plan activities. One million, three hundred thousand dollars is being set aside for financial aid to support recruiting of highly qualified undergraduates. In FY 2007, this program was funded through the student services assessment. In FY 2008, this program will be funded centrally by the revenue generated from the higher than anticipated student enrollment and retention that occurred in FY 2007. The goal of this program is to continue to raise academic qualifications of the incoming freshman class to targeted levels. The success of this initiative is reflected in continually improving qualifications of incoming students.
- E. Enterprise-Wide Systems – The major initiative in this area is the Student Information System. Nine million dollars is allocated to fund Phase II of V of this \$50 million project.
- F. Safety and Security – The budget directs a significant level of resources toward safety and security issues, both for the physical safety of the students, faculty and staff of the university and for the security of the information systems. These initiatives include fire safety (\$292,000), building security (\$1.3 million), and Cyber Security activities (\$1.1 million)
- G. Compliance Activities – Compliance issues are also a priority FY 2008. These activities include the audit consultant as requested by the Board (\$800,000) and EPA compliance funding (\$180,000). In addition, the request for funding for meeting Human Subject federal requirements is included (\$250,000).

III. Tuition and Fees

- A. Columbus Campus resident undergraduate
- B. Regional Campuses
- C. Tuition for Other Students
- D. Historical Resident Undergraduate Tuition
- E. Comparison of OSU and Other Ohio Public Universities
- F. Comparison with Benchmark Universities
- G. User Fees

A. Columbus Campus resident undergraduate instructional and general fees will not increase Fall Quarter 2007, per Amended Substitute House Bill (ASHB) 119.

- For Tier-Three students (students admitted Summer 2003 and after), this means full-time instructional and general fees will remain at \$8,406 for a three-quarter academic year.
- For Tier-Two students (students admitted between Summer 2002 and Spring 2003), full-time instructional and general fees will remain at \$8,298.
- Effective Fall 2007, Tier-One students (students admitted before Summer 2002) are now included in the Tier-Two level and Tier-One will be eliminated.
- When the increased RPAC fee is included, the Autumn Quarter 2007 fees for Tier-Three full-time students will be \$8,676, an increase of \$9 or 0.1% over Autumn Quarter 2006.
- Savings to a typical student resulting for the 0% increase in instructional and general fees compared to a 6% increase would be \$1,541 over two years. Aggregate savings to all undergraduate students over the same period would be \$55.4 million.

B. Regional Campuses will not increase undergraduate instructional and general fees Fall Quarter 2007, per ASHB 119. The non-resident undergraduate and graduate instructional fees will increase 6% for FY 08.

C. Tuition for Other Students

- Resident graduate instructional fees for the Columbus Campus are recommended to increase 6% for a full-time student. Including the general fee and the increase in the RPAC Fee, graduate fees will increase 5.7%.
- Non-resident graduate and undergraduate fees for the Columbus Campus are recommended to increase 6% for a full-time student.

D. Historical Resident Undergraduate Tuition

The 0% tuition growth in FY 2008 will be the first time since 1987 that resident undergraduate tuition has not increased. It is the lowest two-year tuition increase since 1965/1966.

Year	Resident Undergraduate Tuition	Percent Change
1960	\$270	0.00%
1961	\$300	11.10%
1962	\$300	0.00%
1963	\$330	10.00%
1964	\$375	13.60%
1965	\$375	0.00%
1966	\$375	0.00%
1967	\$450	20.00%
1968	\$480	6.70%
1969	\$510	6.30%
1970	\$600	17.60%
1971	\$630	5.00%
1972	\$720	14.30%
1973	\$750	4.20%
1974	\$750	0.00%
1975	\$780	4.00%
1976	\$810	3.80%
1977	\$830	2.50%
1978	\$915	10.20%
1979	\$975	6.60%
1980	\$1,005	3.10%
1981	\$1,140	13.40%
1982	\$1,330	16.70%
1983	\$1,458	9.6%
1984	\$1,557	6.80%
1985	\$1,641	5.40%
1986	\$1,704	3.80%
1987	\$1,704	0.00%
1988	\$1,890	10.90%
1989	\$2,040	7.90%
1990	\$2,190	7.40%
1991	\$2,343	7.00%
1992	\$2,568	9.60%
1993	\$2,799	9.00%
1994	\$2,940	5.00%
1995	\$3,087	5.00%
1996	\$3,273	6.00%
1997	\$3,468	6.00%
1998	\$3,687	6.30%
1999	\$3,906	5.90%
2000	\$4,137	5.90%
2001	\$4,383	5.90%
2002	\$4,788	9.20%
2003	\$5,691	18.90%
2004	\$6,651	16.90%
2005	\$7,542	13.40%
2006	\$8,082	7.20%
2007	\$8,667	7.20%
2008	\$8,676	0.10%
2009	\$8,676	0.00%

E. Comparison of OSU and Other Ohio Public Universities

Tuition at OSU will still be lower than most other Ohio public universities with competitive admissions.

University	Fall 2007 Full-time Resident Undergraduate Tuition & Fees (New Students)	Difference with Ohio State Tuition (per student)
Miami	\$10,042	\$1,375
Cincinnati	\$9,399	\$732
Average	\$9,156	\$489
Bowling Green	\$9,060	\$393
Ohio University	\$8,847	\$180
Ohio State	\$8,667¹	
Kent State	\$8,430	-\$237

¹OSU figure does not include the RPAC increase of \$9.

Our resource difference: \$489 X 30,000 FTE = \$14.7 million

Source: Ohio Board of Regents, Fall Survey of Student Charges For Academic Year 2006-2007

F. Comparison with Benchmark Universities

The 0% increase in tuition at OSU will put us far below the percentage increases of our benchmark institutions. In dollars, our tuition will be just above the average tuition costs of our benchmarks.

Proposed Tuition Increases at Benchmark Universities - Fall 2007

Institution	% Increase	FY 2008	Status
Penn State ¹	5.6%	\$12,851	Proposed
Michigan ²	TBD	TBD	Proposed
Illinois	8.6%	\$10,730	Final
Minnesota ³	4.6%	\$9,598	Proposed
Ohio State⁴	0.1%	\$8,676	Final
UCLA ⁵	8.0%	\$7,713	Final
Texas – Austin	0.5%	\$7,670	Final
Wisconsin ⁶	TBD	TBD	TBD
Washington - Seattle	6.4%	\$6,367	Final
Arizona	5.9%	\$5,048	Final
Benchmark Average ⁷	5.7%	\$8,568	

*Source: Resource Planning review of institutional web sites and newspaper articles.
Proposed Estimates are subject to change until final approval.*

¹ Final tuition rates for 2007-2008 are in the process of being determined by the state legislature. Information in the chart is from the Office of Student Aid: *Estimated Penn State 2007-08 First-Year Undergraduate Costs*, based on the budget Penn State anticipated submitting to the General Assembly of the Commonwealth of Pennsylvania. Final tuition and fees will be determined by the Board of Trustees in July, 2007, following approval of the University's state appropriation by the Commonwealth.

²For the University of Michigan-Ann Arbor, the final tuition rates for 2007-08 are in the process of being determined by the state legislature. Per campus representative, the % increase is expected to be less than 10%.

³According to the June 7, 2007, *University of Minnesota, President's Recommended Operating Budget Plan 2007-08*. Includes university and student service fees. Per the University of Minnesota's website, their Board of Regents has just approved a tiered tuition increase. For families with income at or below \$150,000, the tuition increase will be 2.4%. For families with income greater than \$150,000, the tuition increase will be 4.8%. Final rates based on this change are not available at this time.

⁴OSU tuition and fees for students entering after Spring Quarter 2003. Includes the \$27 COTA Fee and the \$243 Mandatory Recreation Fee (this fee is outside the state fee cap).

⁵For the University of California-Los Angeles, figure includes the \$675 Mandatory Medical Insurance Fee, which many students get waived by showing they have other coverage. The university has traditionally included in its costs as reported on national surveys.

⁶For the University of Wisconsin-Madison, the final tuition rates for 2007-08 are in the process of being determined by the state legislature.

⁷Benchmark Average exclude OSU figure.

G. User Fees

- 1) Supplemental Instructional Fees – In June, the first reading for Supplemental Fees was presented. We are seeking Board approval for these fees contained in Appendix A.
- 2) In-House Project Management Fees - These recommendations include increases in the In-House Project Management Fee for capital projects as described below. These increases are necessary to support sufficient staffing to properly oversee multiple contractors and meet state and university requirements. The increased fees apply to new projects only and will be re-evaluated at the end of two years. We are seeking Board Approval for these fees.

	OSU Historical Fees	OSU Proposed Fees
In-House Design Fee	7%	7%
In-House Project Management Fee		
Up to \$100K	4%	6%
\$100,001-\$200,000	3%	3%
\$200,001-\$1M	2%	**
\$1M-\$4M	1%	2%
\$4M-\$5M	1%	2%
\$5M-\$10M	1%	2%
\$10M-\$20M	1%	2%
\$20M+	1%	2%
State Funds	1.5%	1.5%
Studies	1%	1-3%

** Fee would be 3% for under \$500,000 and 2% for over \$500,000

- 3) Differential Fees: In June, the first reading for the differential fees was presented. We are seeking Board approval for these fees contained in Appendix B. The fees range from a 6% to 13% increase for specific students.
- 4) In June, the Board passed a resolution approving the University Health Systems 7.6% weighted average increase in charges at the June 1, 2007 meeting.
- 5) Designated User Fees – The Fees and Charges listed below are required to provide activities and services which are self-supporting and receive no state support or tuition dollars and were approved by the Board at the June 1, 2007 meeting:

Fees and Charges

(All figures are for an academic year of three quarters)

Mandatory for All Students	Current Amount	Dollar Increase	Percent Increase
Recreation Fee ¹	\$234	\$9	3.8%
Health Insurance ²	\$1,365	\$69	5.0%
COTA Bus Pass	\$27	0	0.0%

Non-Mandatory	Current Amount	Dollar Increase	Percent Increase
Room & Board ³	\$7,236	\$345	4.7%
Parking ⁴	\$72	\$3.60	5.0
Football Tickets ⁵	\$116	\$4	3.5
Basketball Tickets ⁶	\$11	\$.50	4.5

¹ This is a flat fee for four credit hours and above.

² Based on "Single Student Comprehensive Rate".

³ Weighted average room rates and basic meal plan. Individual rates may vary.

⁴ Rate is for West Campus.

⁵ Student football package is 4 games.

⁶ Per game – price varies between \$9.50-13.50 depending on location

IV. Columbus Campus General Fund Budget Recommendations

- A. FY 2008 Budget Assumptions
- B. FY 2008 Sources and Uses
- C. Additional Budget Highlights
- D. Increased Support by Area of Focus

**A. FY 2008 General Fund Budget Assumptions
Columbus Campus**

Total Revenue Increase	=	+ 4.3%
Enrollments		+ 0.3%
Tuition Package		
Undergraduate Resident ⁷		+ 0.1%
All Other		+ 6.0%
State Support		+ 4.8%
All Other		+ 5.2%

Total Expenditures Increase	=	+ 4.2%
Salary Pool	Minimum of 3.5%	
Benefits		+9.6%
Utility Costs		+ 9.9%
Student Financial Aid (Undergraduate)		+ 4.3%

Planned Central Reserves

Operating Margin, Utility & Enrollment Reserve	\$10.1M
Rainy Day Fund	TBD ⁸

⁷ Undergraduate Resident Fee includes the \$9 annual increase in the Mandatory Recreation Fee (this fee is outside the state fee cap).

⁸ Currently, the Rainy Day Fund is \$12.5 million.

B. General Funds Sources and Uses Summary FY 2008 - Columbus Campus, Continuing Funds

FY 2008 General Funds Operating Budget Columbus Campus FY 2007 Current Budget Compared to FY 2008 Original Operating Budget (Dollars in Millions)

<u>Headcount Enrollments</u>	<u>2006-07 Actual</u>	<u>2007-08 Projected</u>	<u>Change</u>
UG Resident	108,809	109,386	0.5%
UG Non-Resident	13,414	13,338	-0.6%
Grad Resident	21,319	21,180	-0.7%
Grad Non-Resident	15,258	15,349	0.6%
Professional	10,089	10,140	0.5%
	168,889	169,393	0.3%

<u>Sources and Uses</u>	<u>2006-07 Current</u>	<u>2007-08 Original</u>	<u>Change</u>
Sources			
State (1)	\$ 327.7	\$ 343.4	4.8%
Fees - UG Resident (2,4)	\$ 301.8	\$ 306.8	1.7%
Fees - All Other (3,4)	\$ 320.0	\$ 338.4	5.7%
Research Cost Recoveries	\$ 72.0	\$ 72.2	0.4%
Other Cost Recoveries (5)	\$ 58.9	\$ 64.9	10.2%
Interest Income/Other	\$ 13.1	\$ 14.4	9.9%
Total Sources	\$ 1,093.5	\$ 1,140.1	4.3%
Uses			
Departmental (6)	\$ 838.0	\$ 856.4	2.2%
Financial Aid			
Undergraduate	\$ 69.0	\$ 71.9	4.3%
Graduate	\$ 70.2	\$ 73.9	5.3%
Facilities (7)	\$ 84.8	\$ 93.9	10.8%
Strategic Investment (8)	\$ 31.1	\$ 42.7	37.5%
Total Uses	\$ 1,093.0	\$ 1,138.9	4.2%
Sources less Uses	\$ 0.6	\$ 1.2	

- (1) Based on Conference Committee Budget. Subsidy at 102% plus \$9.3M, includes Success Challenge, does not include Research Challenge which is now treated as a restricted line item.
- (2) FY 08 fees assume 0% base increase for UG Resident. The \$5M increase results from phasing out Tier 1 and projected UG Resident enrollment increase. (Fee Tier 1: full-time continuing undergraduates first enrolled prior to Summer 2002, phased out effective Autumn 2007. Any remaining students moved to Fee Tier 2).
- (3) FY 08 fees assume 6% increase for UG Non-Resident, Graduate & Professional, and includes \$2.48M in differential fee income.
- (4) Does not include \$10.1M in FY 07 undistributed fees to be distributed in FY 08.
- (5) Includes Earnings and Regional Campus Overhead and Cost Containment.
- (6) Assumes a minimum salary increase pool for faculty and staff of 3.5% and benefit cost increase of 9.6%.
- (7) Reflects 9.9% increase in net utility bills.
- (8) Was originally budgeted at \$38.2M in FY 07. \$7.1M has been transferred to fund strategic investments in college departmental budgets. This category includes the Provost's and President's Strategic Investments funds and University Reserves.

C. Additional Budget Highlights

1. This budget includes \$9 million in one-time funds and \$1 million in continuing funds to support Phase II of V of Targeted Investments in Excellence. The total commitment is \$50 million over the next five years.
2. Due to a growing interest in high quality public education, the entering freshman class is expected to be 6,150. This is factored into the enrollment and revenue estimates. In addition, increased retention rates have been taken into account.
3. To ensure a robust University Development effort, this budget recommends a revised model for funding Development. The model was developed in conjunction with the Council of Deans, Office of Academic Affairs, the Office of University Development and the Office of Business and Finance. The model assumes a FY 08 endowment payout in support of development of 1.075% or about \$13 million. The payout is recommended to be reduced by .025% in FY's 09, 10 and 11 to reach a recommended permanent endowment support of development level of 1%. The colleges and support units will increase their support of development by \$1.8 million and an estimated \$1.6 million in interest earned on unspent endowment distributions and current use gifts. These recommendations in combination with the 180-day hold on current-use gifts and the revenue from the first six months of new endowments distribution, both already in place, along with the revenue from existing unrestricted gift money and endowments set aside for the support of development, will reduce the FY 08 continuing general fund support of development to \$2.4 million while providing a stable funding base for our current level of development efforts.
4. Included in the FY 2008 is the carryover of approximately \$10 million in additional revenue received in FY 2007 due to higher than anticipated enrollment and retention rates. Please note that it is not anticipated that this level of carryover will continue for FY 2009. It is projected that the percentage increase in revenue in FY 09 will be slightly less than the percentage increase for this year.
5. In FY 2007 and 2008, the university invested in STEM related research at the college level through its contribution to the TIE. In FY 2008, the investment of one-time central funds in STEM will continue at the department level. For instance, a \$460K investment will be made in the Research Experience to Enhance Learning (REEL) Program. REEL's goals

are: 1) to transform the current 1st and 2nd year Chemistry courses into research-intensive, 2) to increase the retention and graduation rates in STEM fields; and, 3) to generate new knowledge in the chemical sciences through multi-site faculty-student collaborative research projects that pool their results in a common data base. The REEL program will dramatically enhance the education of undergraduates who take chemistry, either as a major or as a prerequisite for medicine, biology or engineering.

6. OSU Facilities and Administrative Recovery Rate on most federal research grants has been approved at 50.0% effective July 1, 2006 through June 30, 2009. By FY 2009 this will generate an additional \$1 million in cost recoveries annually. However, even with this higher recovery rate, there is only a slight increase in recoveries projected for FY 08 as a result of the federal government's flat funding of research.
7. The status of the items highlighted as Items of Concern for the Senate is as follows:
 - (a) Maintain net funding levels at least as favorable as the House version – Done
 - (b) Clarify funding and language issues regarding Innovation Incentives – Vetoed
 - (c) Address what happens to tuition if state support is not forthcoming – Not Addressed
 - (d) Clarify efficiency incentive requirements - Done

D. General Funds Increased Support by Area of Focus

Central discretionary funds of \$4.8 million in continuing funds and \$32.4 million in one-time funds are recommended to seed University-wide initiatives that cross college boundaries and strengthen core support services. This chart does not include over \$5.3 million which will be reallocated from current uses to fund priority initiatives.

New Continuing Funds	
Services to Students	\$1,632,000
Research Support	1,350,000
All Other ¹	713,000
Safety and Security	534,000
Library Support	500,000
Facilities Support	<u>52,000</u>
Compliance	0
Total	\$4,781,500
One-Time Funds (cash support)	
Research Support	\$16,400,000
Services to Students	9,288,000
Safety and Security	2,600,000
Facilities Support	1,290,000
Compliance	1,016,000
Academic Programs	1,010,000
Outreach and Engagement	500,000
All Other ¹	<u>480,000</u>
Library Support	250,000
Total	\$32,834,000

¹ The All Other category contains funding for President's Operating (continuing funds), E-Procurement (continuing and one-time funds), Financial Archiving (continuing funds) and the Women's Place (continuing funds).

V. Regional Campuses

Recommended resident undergraduate tuition levels for the regional campuses are consistent with the legislatively mandated 0% tuition freeze.

Budgeted Revenue and Allocations

<u>Lima</u>	FY 2007	FY 2008	% Change⁹
Resources			
General Funds	\$ 11,949	\$ 12,970	8.5%
Earnings Funds	\$ 475	\$ 475	0.0%
Restricted Funds	\$ 1,735	\$ 1,702	-1.9%
Total Resources	\$ 14,159	\$ 15,147	7.0%

Expenditures			
General Funds	\$ 11,947	\$ 12,965	8.5%
Earnings	\$ 395	\$ 395	0.0%
Restricted	\$ 1,735	\$ 1,702	-1.9%
Total Expenditures	\$ 14,077	\$ 15,062	7.0%

<u>Mansfield</u>	FY 2007	FY 2008	% Change¹
Resources			
General Funds	\$ 13,654	\$ 15,748	15.3%
Earnings Funds	\$ 523	\$ 313	-40.2%
Restricted Funds	\$ 2,237	\$ 2,037	-8.9%
Total Resources	\$ 16,414	\$ 18,098	10.3%

Expenditures			
General Funds	\$ 13,393	\$ 15,567	16.2%
Earnings	\$ 725	\$ 469	-35.3%
Restricted	\$ 2,237	\$ 2,037	-8.9%
Total Expenditures	\$ 16,355	\$ 18,073	10.5%

⁹ Changes reflect variance between FY 2007 and FY 2008 budgets as well as the differences between FY 2007 budgets and FY 2007 actuals. FY 2007 budget to actual will be reconciled after year end.

<u>Marion</u>	FY 2007	FY 2008	% Change¹
Resources			
General Funds	\$ 13,703	\$ 14,582	8.8%
Earnings Funds	\$ 54	\$ 54	0.0%
Restricted Funds	\$ 3,332	\$ 3,256	-2.3%
Total Resources	\$ 17,089	\$ 17,892	6.6%

Expenditures			
General Funds	\$ 13,642	\$ 14,553	8.7%
Earnings	\$ 88	\$ 79	-10.2%
Restricted	\$ 3,332	\$ 3,256	-2.3%
Total Expenditures	\$ 17,062	\$ 17,888	6.5%

<u>Newark</u>	FY 2007	FY 2008	% Change¹
Resources			
General Funds	\$ 19,025	\$ 20,616	8.4%
Earnings Funds	\$ 84	\$ 75	-10.7%
Restricted Funds	\$ 2,294	\$ 2,224	-3.1%
Total Resources	\$ 21,403	\$ 22,915	7.1%

Expenditures			
General Funds	\$ 18,946	\$ 20,614	8.8%
Earnings	\$ 84	\$ 74	-11.9%
Restricted	\$ 2,294	\$ 2,224	-3.1%
Total Expenditures	\$ 21,324	\$ 22,912	7.4%

<u>ATI</u>	FY 2007	FY 2008	% Change¹
Resources			
General Funds	\$ 9,105	\$ 9,175	0.8%
Earnings Funds	\$ 1,643	\$ 1,832	11.5%
Restricted Funds	\$ 2,139	\$ 2,088	-2.4%
Total Resources	\$ 12,887	\$ 13,095	1.6%

Expenditures			
General Funds	\$ 9,042	\$ 9,203	1.8%
Earnings	\$ 2,021	\$ 2,020	0.0%
Restricted	\$ 2,139	\$ 2,088	-2.4%
Total Expenditures	\$ 13,202	\$ 13,311	0.8%

¹ Changes reflect variance between FY 2007 and FY 2008 budgets as well as the difference between FY 2007 budget and FY 2007 actuals. FY 2007 budget to actual will be reconciled after year end.

VI. Analysis Of Budget Recommendations For All Funds – Columbus Campus

- A. Summary of Recommendations by Funding Source
- B. Budget Recommendations for Health System and Major Auxiliaries
- C. Consolidated Statement of Health System Operations
- D. Consolidated Statement of Health System Changes in Net Assets

A. Summary Of Recommended Allocation Levels – Columbus Campus Only – All Funds Revenues (In Millions)¹⁰

Category	FY2007	FY 2008	% Change
General Funds			
Tuition and Fees	622	645	4.8%
State Support	328	343	3.8%
All Other ¹¹	\$144	152	5.2%
Sub Total	\$1,094	\$1,140	4.3%
Earnings			
Health System ¹²	\$1,351	\$1,510	11.7%
Auxiliaries ¹³	261	278.5	6.7%
OSUP	242	254	5.0%
All Other ¹⁴	90	92.7	3.0%
Sub Total	\$1,944	\$2,135	9.8%
Restricted			
Appropriations ¹⁵	\$57	\$71	24.6%
Gifts & Grants	589	610	3.6%
Endowments ¹⁶	19	19	0.0%
Sub Total	\$665	\$700	5.3%
Grand Total	\$3,703	\$3,975	7.3%

¹⁰ Totals may not reconcile with other tables due to adjustments for inter-fund transfers.

¹¹ Includes miscellaneous student fees, cost recoveries, and interest income.

¹² FY 2007 resources represent 10 month actual & 2 month projection.

¹³ Auxiliaries include Athletics, Transportation and Parking, Student Affairs.

¹⁴ Includes conference fees and departmental earnings.

¹⁵ The significant increase is due to the inclusion of the appropriation for the Research Incentive at the request of the BOR. Research Incentive funds were formerly accounted for as part of the General Funds.

¹⁶ Does not include all endowment income.

B. Summary Of Budget Recommendations For Health System, Major Auxiliaries and Development (FY 2008)

Includes All Funds (General, Restricted, and Auxiliary)¹⁷
(in millions)

Unit	FY 2007 Resources ¹⁸	FY 2008 Resources ¹⁹	Percent Change	FY 2008 Expenditures/ Transfers	Net Income
Health System	\$1,351	\$1,510	11.7%	\$1,407	\$103
Student Affairs - Housing	56.1	57.4	2.3%	57.0	0.4
Student Affairs – Other	80.4	84.0	4.5%	82.5	1.5
Intercollegiate Athletics	98.0	109.9	12.0%	109.9	0
Transportation and Parking	26.0	27.6	6.1%	27.1	0.5
University Airport ²⁰	7.9	8.1	2.6%	7.6	0.5
Development Operating	21.8	23.0	5.2%	23.0	0

¹⁷ Totals may not reconcile with other tables due to adjustments for inter- and intra-fund transfers.

¹⁸ FY 2007 resources represent 10 month actual & 2month projection.

¹⁹ Planned budget.

²⁰ Airport revenue may not be diverted for non-airport purposes by federal law.

C. Consolidated Statement of Operations

The Ohio State University Health System for the Years Ending June 30, 2007 and 2008 (in thousands)

	FY 2007 Forecast	FY 2008 Budget	Budget % Var.
REVENUE			
Net Patient Revenue	\$1,273,207	\$1,428,128	12.1%
Medical Education Revenue – Indirect	\$19,608	19,226	-1.9
Resident Income	14,858	20,203	35.9
Related Operations	539	550	2.1
Reference Lab Operations	12,762	12,439	-2.6
Other Revenue	<u>30,440</u>	<u>29,118</u>	<u>-4.4</u>
Total Operating Revenue	\$1,351,414	\$1,509,665	11.7%
EXPENSE			
Salaries	\$477,697	\$534,477	11.9
Benefits	135,403	154,205	13.9
Hospital Physician Fees	17,443	19,070	9.3
Physician/Faculty Services	4,162	4,027	-3.3
Supplies	177,928	204,045	14.7
Cost of Drugs	86,080	95,394	10.8
Services	149,218	163,656	9.6
Resident Salaries and Benefits	33,123	37,733	13.9
Med Staff & Academic Faculty Support	-	-	-
Depreciation	53,622	59,405	10.8
Interest	9,708	10,596	9.1
Provision for Bad Debt	80,616	92,208	14.3
University Overhead	<u>28,718</u>	<u>32,176</u>	<u>12.0</u>
Total Expense	\$1,253,718	\$1,406,995	12.2%
Gain (Loss) from Operations	\$97,696	\$102,670	5.1%
Operating Margin	7.2%	6.8%	
NON-OPERATING GAIN (LOSS)			
Income from Investments	\$9,239	\$9,504	2.9
Gain/Loss on Sale of Assets	<u>(1,481)</u>	<u>0</u>	<u>-100.0</u>
Excess of Revenue over Expense	\$105,455	\$112,174	6.4%
Total Margin	7.8%	7.4%	

D. Consolidated Statement of Changes in Net Assets

The Health System projects continued growth in FY 2008. Total Operating Revenue is expected to grow 11.7%, while total expenses grow 12.2%. Total Margin is projected to be 7.4%, or an excess of \$112.2 million in revenues over expenses.

FY 2008 year-end Net Assets are budgeted to be \$45.4M greater than FY 2007 forecasted, with the FY 2008 budget reflecting a year-end Net Asset value of \$509.8M.

The Ohio State University Health System Years Ending June 30, 2007 and 2008 (in thousands)

	FY 2007 Forecast	FY 2008 Budget	Budget % Var.
Net Assets Beginning of Period	\$421,903	\$464,350	10.1%
Excess of Revenue over Expenditures	105,455	112,174	6.4%
Less Total Medical Center Investments	(63,009)	(66,754)	5.9%
Contributions for Property Acquisitions	<u>0</u>	<u>0</u>	-100.0%
Increase (Decrease) in Net Assets	\$42,447	\$45,420	
Net Assets End of Period	\$464,350	\$509,770	9.8%

VII. Resource Allocations Should Advance Effective Financial Stewardship

- A. Compliance with State and Federal Mandates
- B. New Cost Savings Initiatives
- C. Financial Goals

A. FY 08 Additional Funds Allocated to Assure Compliance with State and Federal Mandates, Columbus Campus

	Continuing	One-Time
Safety Engineer	\$80,000	\$0
Human Subjects	250,000	0
Disability Services	13,000	25,000
Audit Committee Consultant	0	800,000
EPA Compliance	0	180,000
Federal Contract Compliance Audit	0	36,000
Total	\$343,000	\$1,041,000

B. New Cost Savings Initiatives

This budget request includes funding for three continuing cost reduction initiatives summarized below:

Transition to Work (OHR) – This plan is modeled after successful efforts elsewhere that demonstrate savings to employers in Worker's Compensation costs and significant benefits to employees by returning employees with temporary medical or psychological restrictions to work sooner. This requests funds year 2 of 3 of this initiative. The investment of \$241,000 is expected to save between \$1.5 - \$2.8 million annually.

Energy Projects (FOD) – The rising cost of energy has made it cost effective to enhance conservation efforts and explore alternative energy sources across campus. This request includes \$250,000 in one-time funds to enable the Energy Services and Sustainability group to provide leadership and coordination and approval of project proposals targeted at reducing energy costs. This is the second year that funding has been provided to address energy conservation.

E-Procurement (Business and Finance) – This request includes funding for the continued implementation of the E-Procurement System in order to reduce costs for the purchase of goods and services and to improve the internal controls surrounding the procurement processes. This will allow for an improved bidding and negotiation position with vendors, streamlined central purchasing processes to focus on strategic purchasing and contract management. Included is \$285,000 in continuing funds and \$480,000 in one-time funds.

Before funds are released, the sponsoring unit will be expected to complete a detailed work plan that includes deliverables and measures of success. Outcomes will be reported to the University community and the Board of Trustees at the September meeting.

C. Financial Goals For FY 2008

The table below outlines the financial goals and targets that were originally approved by the Board in 1997. The Office of Business and Finance is currently reviewing the financial targets and will be presenting a recommendation for revised targets to the Board in September.

	FY 2007	FY 2008	Long-Term Target
Operating Margin ²¹	0.46%	0.88%	0.5 – 1.0%
Rainy Day Fund	\$12.5M	TBD	\$25M
Other Reserves	Varies	Varies	Varies
Operating Cash	60 days	70 days +	30 days+
All Funds Debt Service as a % of Total	2.13%	3.31%	Less than 5.0%
Bond Rating	AA	AA	AA
Future Commitments of Continuing Funds	\$11.9M	\$9.4 M	No more than 1% ²²

²¹ Includes budgeted operating margin, utility and enrollment reserves.

²² One percent of the FY 08 Revenue is \$11.4 million.

VIII. Conclusions

- A. Chronology – What Happens Next
- B. Financial Issues for FY 2008
- C. Summary

A. What Happens Next

September

- Current Funds Budget Books
- Expense Reduction Update
- Capital Plan Approval
- New Financial Goals Recommendations

November

- Year-End Financial Review
- First Quarter Budget Report/Risk Assessment

December

- Energy Management and Sustainability Report

February

- Mid-Year Review
- Budget vs. Actual

B. Financial Issues for FY 2008

1. Engaging with state officials to develop a long-term, sustainable academic and financial partnership
2. Implementing the reduction of undergraduate credit hours needed to graduate from 191 to 181 and development of a plan to address financial implications
3. Implementing the doctoral funding assessment to support high quality graduate programs
4. Continued development of an enterprise-wide risk management structure.
5. Approval of the Next Capital Plan for the University and the development of the North Academic Corridor as a new approach to capital planning for OSU
6. Review investment performance of all assets
7. Implementation and tracking of key cost reduction initiatives
8. Continue to strengthen internal controls through the implementation of E-Procurement and Human Resources controls
9. Continue to monitor financial performance of affiliated entities
10. Oversight of SIS installation
11. Completion of five-year financial plan
12. Review of Budget Allocation Model to determine consistency with academic goals
13. Continue to identify funding sources to address deferred maintenance issues
14. Evaluation of sources, uses and cost allocation processes regarding support of research
15. Monitoring FY 2008-FY 2009 state revenue trends/planning for FY 2009

C. Summary

1. This budget supports the priorities of the Academic Plan/Leadership Agenda.
2. Revenue assumptions are reasonable and in compliance with state law.
3. Best news financially for resident undergraduate students in 40 years, but depends on the state meeting its commitments regarding funding support.
4. Expenses are fully funded and within available revenues.
5. Financial Stewardship is strengthened.
6. Next steps and strategic issues for the next budget cycle have been identified.

IX. Appendices

A. Supplemental Instructional Fees

B. Differential Fees

Appendix A
Supplemental Instructional Fees

Technology Fees	FY07 Rate	Dollar Increase	Percent Increase
College of Arts (Undergraduate & Graduate)	\$53	\$3	6%
College of Business (Undergraduate)	\$112	\$15	13%
College of Business (Graduate)	\$159	\$15	9%
College of Engineering (Undergraduate)	\$110	\$0	0%
College of Engineering (Graduate)	\$120	\$0	0%
College of Nursing (Undergraduate & Graduate)	\$50	\$3	6%
MAPS in CSE (Undergraduate)	\$73	\$0	0%
Dept of Engineering Physics (Undergraduate)	\$73	\$0	0%
School of Music (Undergraduate)	\$50	\$0	0%
School of Public Policy & Mgt (Graduate)	\$120	\$0	0%

Undergraduate Program Fee	FY07 Rate	Dollar Increase	Percent Increase
College of Biological Sciences	\$60	\$0	0%
College of Business	\$150	\$50	33%
College of Engineering	\$0	\$50	New
College of Nursing	\$50	\$0	0%
School of Allied Medical Professions	\$50	\$0	0%
School of Music	\$150	\$9	6%

Field Practicum Fee	FY07 Rate	Dollar Increase	Percent Increase
College of Social Work	\$0	\$50	New

Clinical Fees	FY07 Rate	Dollar Increase	Percent Increase
Optometry Clinic Usage Fee	\$340	\$0	0%
Dental Hygiene EFDA Fee (Continuing Students)	\$128	\$0	0%
Nursing Clinical Fee	\$250	\$0	0%

Appendix B

Differential fee increases for other students range from
6.0% – 13.3%, effective Fall Quarter 2007

	FY 2007	Proposed FY 2008 Increases ²³			
College	Instructional Fees/Qtr	Resident Instructional Fee		Non-Resident Surcharge/Qtr	
		% Chg	\$ Chg	% Chg	\$ Chg
Business					
EMBA	11,652	13.3%	1,550	N/A	N/A
MAcc	6,831	7.5%	512	6.0%	267
MBA	6,106	9.0%	550	6.0%	267
MBA (Part-time)	6,094	7.5%	457	6.0%	267
MBLE	6,106	9.0%	550	6.0%	267
MLHR	3,031	6.0%	182	6.0%	267
Dentistry	7,334	9.0%	660	6.0%	548
Law (semester)	8,434	10.0%	843	2.0%	141
Medicine	8,203	7.9%	644	6.0%	272
Occupational Therapy	3,122	6.0%	187	6.0%	267
Physical Therapy	3,195	6.0%	192	6.0%	267
College of Public Health					
MPH/PEP	3,090	6.0%	185	6.0%	267
MHA	3,487	6.0%	209	6.0%	267
Optometry	5,091	9.0%	458	6.0%	548
Pharmacy	4,231	9.0%	381	6.0%	284
SBS					
AuD	3,028	6.0%	182	6.0%	267
MSLP	3,028	6.0%	182	6.0%	267
MSW ²⁴	2,918	11.0%	321	6.0%	267
Vet Medicine	6,315	9.0%	568	6.0%	567

²³ Non-resident students pay both resident and non-resident fees as well as general fee, student activity fee and recreation fee not shown on this table.

²⁴ This is the first year of a differential fee for the Master of Social Work.

**Waivers of Competitive Bidding Requirements
January - March 2007**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>				
Health Systems - Professional Health Care Services	\$3,328,000	7	\$3,178,000	6	\$0	0	\$150,000	1
Health Systems - Administrative Equipment and Services	\$8,644,564	32	\$7,769,908	28	\$0	0	\$874,656	4
Merchandise for Resale	\$1,454,411	10	\$1,454,411	10	\$0	0	\$0	0
Instructional and Research Equipment and Services	\$3,028,533	28	\$2,021,992	12	\$128,400	1	\$878,141	15
Administrative Support Equipment and Services	\$7,487,779	34	\$1,859,471	15	\$391,494	6	\$5,236,814	13
TOTAL	\$23,943,287	111	\$16,283,782	71	\$519,894	7	\$7,139,611	33

(APPENDIX VII)

Report on Waivers of Competitive Bidding: January - March 2007

Vendor	Category	Unit	Purchasing Group	Description of Goods or Services	Amount
Abiomed Cardiovascular, Inc.	Sole Source	Cancer Hospital and Research	OSUMC	Ventricular assist devices for Circulation Technology	\$1,000,000
Accountemps	Economic	Controller, Office of	UNIV	Temporary data entry services	\$70,000
Accreditation Council for Grad Med Ed	Sole Source	University Hospitals	OSUMC	Medical educational accreditation services, Graduate Medical Education	\$144,500
Ace Building Maintenance	Economic	Facilities Operations and Development	UNIV	Temporary custodial services for Prior Health Sciences	\$60,208
Act 1 Videotape Production, Inc.	Economic	Student Affairs, Office of	UNIV	Production of student orientation video	\$74,164
Advanced Informatics	Economic	Medicine, College of	UNIV	Software maintenance fee curriculum	\$54,600
Aloka	Economic	Veterinary Medicine, College of	UNIV	Ultrasound machine	\$29,500
Alpha Imaging Inc	Sole Source	University Hospitals	OSUMC	Imaging software for Radiology - Stoneridge location	\$67,350
American Red Cross	Sole Source	Medicine, College of	UNIV	Blood for pulmonary research	\$30,000
Applied Biosystems	Economic	Behavioral Medicine Research, Institute of	UNIV	Laboratory equipment for behavioral medicine research	\$29,900
Applied Biosystems	Sole Source	Food, Agr. & Environ. Sci, College of	UNIV	DNA gene typing systems	\$49,000
AT Systems Great Lakes	Economic	Treasurer, Office of	UNIV	Armored car services	\$78,928
Auddinos Bakery	Emergency	Student Affairs, Office of	UNIV	Food service products	\$55,000
Baxter Healthcare Corporation	Sole Source	University Hospitals	OSUMC	Antibiotics, Pharmacy	\$300,000
Beatrice Bruscoli	Sole Source	Academic Affairs, Office of	UNIV	Instructional services for Study Abroad Program	\$130,000
Beckman Coulter Inc	Sole Source	University Hospitals East	OSUMC	Extension of rental reagent agreement for the Clinical Laboratory	\$187,256
Beckman Coulter Inc	Sole Source	University Hospitals	OSUMC	Metered test agreement for DXC600 analyzers for the Special Functions Laboratory	\$1,760,055
Beckman Coulter Inc	Sole Source	University Hospitals	OSUMC	Extension of metered test agreement for LX20 pro analyzers for the Critical Care Lab	\$948,269
Bernzott Capital Advisers	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$100,847
Biotronics Great Lakes, LLC.	Sole Source	University Hospitals	OSUMC	Technician Services for EEG Lab	\$80,000
Blackboard Inc	Sole Source	Facilities Operations and Development	UNIV	Door hardware for the Fawcett Center	\$36,532
Blackrock Capital Mgmt	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$181,037
Blue Ribbon Meat	Emergency	Athletics, Department of	UNIV	Food items for golf course restaurant	\$45,000
Brandywine Global Investment, LLC	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$57,472
Brucker Biospin Corp	Economic	Medicine,College of	UNIV	MRI machines for Davis Heart and Lung	\$60,000
Caliper Life Sciences, Inc.	Sole Source	Food, Agr. & Environ. Sci, College of	UNIV	In vivo imaging system for OARDC	\$129,600
Capital City Jet Center, Inc.	Emergency	Athletics, Department of	UNIV	Air charter services for football recruiting	\$150,000
Champion Products, Inc.	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$75,000
Cliffwater LLC	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$208,331
Cobe-Gambro-BCT Inc	Sole Source	Cancer Hospital and Research	OSUMC	Disposable tubing for medical procedures, Aphaeresis Department	\$325,000
Colhoc LTD Partnership	Sole Source	Student Affairs, Office of	UNIV	Nationwide Arena - executive suite license for 2007-2008	\$129,488
Columbus State Community College	Sole Source	Corporate Services	OSUMC	Tuition, lab and college fees for Educational Development and Resources	\$415,000
Controlled Power LLC	Economic	Facilities Operations and Development	UNIV	OSU substation electrical work	\$76,891
Dan Scott & Associates	Sole Source	Veterinary Medicine, College of	UNIV	Video endoscopy system	\$32,190
Department of Surgery	Sole Source	University Hospitals	OSUMC	Medical Directorship Services, Clinical Direction	\$905,000
Digene Inc	Sole Source	University Hospitals	OSUMC	HPV DNA test assays, Cytology/Cytometry	\$55,472
Dolaware Management Co.	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$74,239
Fairmont Scottsdale Princess	Sole Source	Board of Trustees, Office of	UNIV	Fiesta Bowl expenses, including accommodations, for official party	\$354,830
FEI Company	Sole Source	Math and Phys. Sciences, College of	UNIV	Lab equipment, Dual beam, Nanolab	\$1,267,632

Report on Waivers of Competitive Bidding: January - March 2007

Vendor	Category	Unit	Purchasing Group	Description of Goods or Services	Amount
Field Turf	Economic	Facilities Operations and Development	UNIV	Football field turf replacement	\$854,178
Fifth Third Bank	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$118,189
Fifth Third Bank	Economic	Treasurer, Office of	UNIV	Debt maintenance fees	\$54,484
Five Star Temporary Services	Economic	Student Affairs, Office of	UNIV	Temporary catering services for Fawcett Center	\$69,999
Freedom Capital Management	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$157,709
GBBN Architects	Economic	Facilities Operations and Development	UNIV	Feasibility study for collections displays at the Wexner Center	\$60,000
GE Healthcare Bio-Sciences Corp	Sole Source	Math and Phys. Sciences, College of	UNIV	Laboratory equipment for Department of Chemistry	\$162,177
General DataComm	Sole Source	Corporate Services	OSUMC	Software/hardware for location alert notification training, Telecommunications	\$40,295
Geneva Scientific	Emergency	Medicine, College of	UNIV	Replacement of in-lab animal housing for Department of Neuroscience	\$128,400
Harlan Teklad	Sole Source	Research, Office of	UNIV	Parts and maintenance for veterinary tunnel washer	\$49,999
Heartlab Cardiac Solutions	Sole Source	University Hospitals	OSUMC	Hardware/software for cardiac software, PACS Support	\$42,595
Hirons & Associates Inc	Economic	Controller, Office of	UNIV	Consulting services for moveable equipment records for Accounting	\$320,000
Holloway Sportswear	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$75,000
Hoover Investment Mgmt LLC	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$124,531
Hospira Worldwide	Sole Source	University Hospitals	OSUMC	Drug: Precedex for use in intensive care units, Pharmacy	\$80,000
Hospital Med Physicians of Franklin County	Economic	University Hospital East	OSUMC	Physician Services for Veterans Disability Testing Center	\$150,000
Hyatt Regency	Economic	Medicine, College of	UNIV	Catering services for SMA summit for the Department of Neurology	\$73,542
Hypertion Solutions	Economic	Resource Management Systems	UNIV	Renewal of maintenance agreement for financial reporting software	\$216,106
Independence Investment LLC	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$135,720
Innogenetics	Sole Source	University Hospitals	OSUMC	Genetic primers for SSO typing, Tissue Typing Laboratory	\$129,098
Intraop Medical	Sole Source	University Hospitals	OSUMC	Medical equipment maintenance for Clinical Engineering	\$80,705
Invitrogen Corporation	Sole Source	University Hospitals	OSUMC	Proprietary reagents for Tissue Typing Laboratory	\$424,852
John Hrusovsky	Economic	Academic Affairs, Office of	UNIV	Consultant services for OIT systems upgrade	\$58,000
JP Morgan Securities	Economic	Treasurer, Office of	UNIV	Debt maintenance fees	\$80,341
JP Morgan Securities	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$113,133
K2 Licensing & Promotions Inc.	Sole Source	Athletics, Department of	UNIV	Advertising specialties for resale	\$38,000
Kid N/ Me Sportswear	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$31,561
Kirk Williams Company, Inc.	Emergency	Facilities Operations and Development	UNIV	Larkins Hall demolition process and restoration	\$51,600
Lane Avenue Office Building LLC	Sole Source	Facilities Operations and Development	UNIV	Lease expenses for Lane Ave Development offices	\$147,000
Lasting Impressions	Sole Source	Student Affairs, Office of	UNIV	Special food purchases for University Catering	\$75,000
Leica Microsystems	Economic	Medicine, College of	UNIV	Laboratory supplies for Pathology	\$50,000
LSV Asset Management	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$147,609
Marcyn Leasing Corporation	Economic	Food, Agr. & Environ. Sci, College of	UNIV	Office space rental for OSU Extension Center at Lima	\$56,850
MD Technologies	Sole Source	University Hospitals	OSUMC	Equipment for Endoscopy/Gastroenterology	\$46,950
Medical College of Wisconsin	Sole Source	Comprehensive Cancer Center	UNIV	Research subcontract for study of prostate cancer	\$100,000
Medtronic Navigation	Sole Source	University Hospitals	OSUMC	Pain pump kits, Pharmacy	\$80,000
Meeder Financial	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$119,900
Merge Left	Sole Source	Athletics, Department of	UNIV	Advertising specialties for resale	\$45,000
Mills James Productions	Sole Source	Corporate Services	OSUMC	Video production/simulcast for branding initiative, Communications and Marketing	\$16,000
Moody/s Investment Services	Economic	Treasurer, Office of	UNIV	Debt maintenance fees	\$59,492

Report on Waivers of Competitive Bidding: January - March 2007

Vendor	Category	Unit	Purchasing Group	Description of Goods or Services	Amount
Morgan Stanley	Economic	Treasurer, Office of	UNIV	Debt maintenance fees	\$59,866
National Public Broadcasting	Sole Source	Fisher College of Business	UNIV	Advertisement services for Executive MBA Program	\$71,400
National Public Radio	Sole Source	University Relations, Office of	UNIV	WOSU AM programming	\$130,799
National Yellow Pages	Economic	Corporate Services	OSUMC	Yellow and white page advertising for Communications and Marketing	\$460,000
Nationwide Arena	Sole Source	Athletics, Department of	UNIV	Equipment and services for NCAA Men's Basketball Tournament	\$56,380
Nationwide Mutual Insurance Company	Emergency	Athletics, Department of	UNIV	Rally towels for OSU/ Michigan Football Game	\$31,644
Newgate Capital Mgmt.LLC	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$77,433
Nicholas Applegate Capital Mgmt	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$462,207
Nike Team Sports	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$1,000,000
O'Connell Machinery Company, Inc.	Economic	Engineering, College of	UNIV	Steel bed plates for the Center of Automotive Research	\$27,050
Ohio Physicians Inc (OSUP)	Sole Source	University Hospitals	OSUMC	Physician Billing Services, Network Billing	\$1,200,000
Ohio Physicians Inc (OSUP)	Sole Source	University Hospitals	OSUMC	Physician Billing Services, Sports Medicine - Ortho	\$350,000
Opus Capital Management	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$115,603
OSU Internal Medicine, LLC	Sole Source	Specialty Care Network	OSUMC	Professional Medical Services, specialty billing for Electrophysiology	\$500,000
OT Sports Industries, Inc.	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$49,950
OTN Pharmaceuticals	Sole Source	Cancer Hospital and Research	OSUMC	Drug: ONTAK, Pharmacy	\$200,000
Parking Solutions, Inc.	Economic	Student Affairs, Office of	UNIV	Valet parking services/Blackwell	\$250,000
Pizzuti Management LLC	Sole Source	Medicine, College of	UNIV	Off-campus space rental for the Department of Otolaryngology	\$91,999
Radon Medical Imaging Corp-WV	Economic	Specialty Care Network	OSUMC	X-ray digital processor for Podiatry - Bethel Road	\$48,560
Regional Oncology	Sole Source	Cancer Hospital and Research	OSUMC	Physician Services, Medical Director, for JamesCare East	\$143,000
Richard P. Chait	Sole Source	General University - Governance	UNIV	Consulting services for Board development project	\$250,000
Roche Diagnostics	Sole Source	University Hospitals	OSUMC	Proprietary reagents for existing instrumentation, Special Functions Laboratory	\$89,169
Scottsdale Marriott at McDowell Mtns.	Sole Source	Board of Trustees, Office of	UNIV	Fiesta Bowl expenses, including accommodations, for official party	\$175,000
Sebesta Blomberg & Bourassa Inc.	Emergency	Facilities Operations and Development	UNIV	Consulting services related to HVAC system - Design Construct Local Project	\$58,250
Siemens	Sole Source	Corporate Services	OSUMC	Preventive maintenance and support services for Business Systems	\$480,000
Siemens Airfield Solutions	Economic	Engineering, College of	UNIV	Taxiway lights for University Airport	\$69,997
Siemens Building Technologies, Inc.	Sole Source	Facilities Operations and Development	UNIV	Fire alarm system upgrade at Archer House	\$51,926
Siemens	Sole Source	Corporate Services	OSUMC	Database conversion and consulting services for OSUMC Business Systems	\$78,000
Siemens Medical Solutions	Sole Source	University Hospitals	OSUMC	Monthly usage fees for Scheduling.com, Rehabilitation Services, Camera Center	\$80,400
Signature Control Systems LLC	Sole Source	Business Operations	UNIV	Printing of parking keycards for Transportation and Parking Services	\$222,516
Silver Knight Ltd.	Sole Source	Athletics, Department of	UNIV	Advertising specialties for resale	\$49,900
Sirona USA	Sole Source	Dentistry, College of	UNIV	Dental CAD/CAM system for Oral Biology	\$37,995
Sodexho Operations LLC	Economic	Academic Affairs, Office of	UNIV	Catering for 2007 Leadership Collaborative Conference for Undergrad Admissions	\$80,000
Standard and Poors	Economic	Treasurer, Office of	UNIV	Debt maintenance fees	\$73,000
Standard Signs	Economic	Engineering, College of	UNIV	Taxiway signs for University Airport	\$73,963
State St. Bank & Trust Co. (Asset Mgt)	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$221,310
State St. Bank & Trust Co. (Custodian)	Economic	Treasurer, Office of	UNIV	Investment management advisor fees	\$363,887
Steris	Sole Source	University Hospitals	OSUMC	Reliance scope processor for Gastroenterology procedures	\$287,977
Sterling Globalcall Center	Economic	Social and Behavioral Sciences, College of	UNIV	Telephone interviewing services for Center/Human Resource Research	\$130,000

Report on Waivers of Competitive Bidding: January - March 2007

Vendor	Category	Unit	Purchasing Group	Description of Goods or Services	Amount
Sungard Availability Services	Economic	Corporate Services	OSUMC	Disaster recovery services, Data Security	\$356,096
Tera Recon Inc	Sole Source	University Hospitals	OSUMC	3-Dimensional reconstruction software for PACS Support	\$231,000
The Advisory Board	Economic	Corporate Services	OSUMC	Leadership training for OSUMC personnel, Educational Development & Resources	\$10,000
Twins Enterprises, Inc.	Sole Source	Athletics, Department of	UNIV	Clothing for resale	\$55,000
University Blanket & Flag	Sole Source	Athletics, Department of	UNIV	Advertising specialties for resale	\$35,000
University of Cincinnati	Economic	Research, Office of	UNIV	2006-2007 Web hosting services for Ohio Learning Network	\$56,933
Varian Medical Systems	Sole Source	Cancer Hospital and Research	OSUMC	Eclipse software support agreement for Radiation Oncology	\$94,479
Waterpik Technologies	Economic	Dentistry, College of	UNIV	Dental equipment (Articulator)	\$27,806
WBNS - TV	Sole Source	Student Affairs, Office of	UNIV	Television advertising for Schottenstein Center	\$50,000
WCMH - TV	Sole Source	Student Affairs, Office of	UNIV	Television advertising for Schottenstein Center	\$50,000
Xitek	Sole Source	University Hospitals	OSUMC	Protektor DT Acquisition system for EEG Department	\$85,486
					\$23,943,287